Attachment 1, Part I – Application Cover Sheet

Application for a grant under § 78645 of the Safe, Clean, Reliable Water Supply Act of 1996

The Panoche Drainage District
(Exact legal name of local entity applying for the grant)

Of 52027 W. Althea Avenue; Firebaugh, CA 93622
(Mailing address of local entity)

Of the County of Fresno, State of California, does hereby apply to the California Department of Water Resources for a grant in the amount of $300,000.00.

For the following project under the Drainage Reuse Grant Program of the Safe, Clean, Reliable Water Supply Act of 1996:

Ultra-High Recovery Solar Thermal Desalination of Agricultural Drainage Water
(Specify project title)

By _____ Date _____
(Signature of authorized representative)

Dennis Falaschi — General Manager
(Print or type name of authorized representative) *(Title)*

Telephone 209-364-6136 E-mail dfalaschi@aol.com

Brief Proposal Description:

This project will continue the ongoing efforts of sustainable agricultural drainage water reuse by advancing the novel solar thermal desalination technology (concentrated solar still) currently deployed at the Panoche Drainage District. This technology was recently demonstrated to cut thermal energy needs by 50% over conventional thermal desalination, is powered predominantly by solar, and offers the ability to operate at ultra-high recovery since it is robust to crystallization and scaling. Two main objectives will be addressed with the Prop 204 funding opportunity: 1) enhance reliability and operability of the concentrated solar still approach by implementing advanced automation and control strategies, and 2) implement a thermal storage system for storing solar thermal energy captured in excess during daytime operations for continued operation after sunset. Objective 1 will advance the technology towards widespread adoption by reducing the need for skilled operators by reducing operational complexity. Objective 2 will address the further reduction of greenhouse gas emissions as well as operating costs by enabling operation after sunset without the need for fossil fuels.

Attachment 1, Part II – Applicant's Representatives

Project Name Ultra-high recovery solar thermal desalination of agricultural drainage water

Primary Project Contact

Name Dennis Falaschi Title Panoche DD Manager

Address 52027 W Althea Avenue, Firebaugh CA 93622

Telephone 209-364-6136 FAX 209-364-6122

E-mail dfalaschi@aol.com

Alternate Project Contact

Name Dr. Matthew Stuber Title Principal Investigator

Address PO BOX 2304, Healdsburg, CA 95448

Telephone 617-871-9390 FAX _____

E-mail stuber@waterfx.co

Alternate Project Contact (If Applicable)

Name _____ Title _____

Address _____

Telephone _____ FAX _____

E-mail _____

Type of Organization: Drainage District

(city, county, water district, university, etc.)

Attach a copy of the applicant's charter and the names and titles of its officers.

Attachment I, Part III – Summary of Project Costs

Provide a summary of the financing information about the proposed project, including cost share (if applicable).

		% of Total Cost
Total Cost of Project:	$300,991.00	
Amount Requested (CWC §78645):	$300,000.00	99.7
Amount of Cost Share[1]:	$991.00	0.3
Amount of Federal Contribution:	$0	0
In-kind Contributions:	$0	0
Amount to Funded by Others Sources: (Describe below in table.)	$0	0

Sources of funds from partner agencies for this project, if applicable:

Amount	**Name of Source**	**Status of Funds[2]**
$ 991.00	WaterFX, Inc.	available
$		
$		
$		
$		
Total: $ 991.00		

Additional explanation, if necessary:

Notes:

1. No cost share is required; however, grantees are required to show cost share (e.g., federal, local, or other funds) if an awarded project costs more than the grant amount.
2. Identify the current status of funds: available, planned/budgeted, awarded or pending.

PANOCHE DRAINAGE DISTRICT

RESOLUTION NO. 455-14

RESOLUTION AUTHORIZING APPLICATION FOR PROPOSITION 204 FUNDING UNDER THE 2014 DRAINAGE REUSE GRANT PROGRAM FOR THE ULTRA-HIGH RECOVERY SOLAR THERMAL DESALINATION OF AGRICULTURAL DRAINAGE WATER PROJECT, MAKING DETERMINATION UNDER CALIFORNIA ENVIRONMENTAL QUALITY ACT & AUTHORIZING RELATED ACTIONS

WHEREAS, the Panoche Drainage District (the "District") has collaborated with WaterFX to develop the Ultra-High Recovery Solar Thermal Desalination of Agricultural Drainage Water Project (the "Project") to treat agricultural drainage water for reuse.

WHEREAS, the Board of Directors of the Panoche Drainage District (the "Board") has considered the proposal that the District submit an application to the California Department of Water Resources ("DWR") pursuant to all the terms and provisions of the Safe, Clean, Reliable Water Supply Act of 1996 (the "Grant Application").

WHEREAS, the Project continues ongoing efforts by the District of sustainable agricultural drainage water reuse by enhancing reliability and operability of existing operations through implementation of advanced automation and control strategies, and implementation of a thermal storage system to better capture and store solar thermal energy during daytime operations.

WHEREAS, the designated District representative for purposes of the Grant Application and any grant awarded pursuant to the Grant Application is District General Manager Dennis Falaschi.

WHEREAS, authorizing submittal of the Grant Application does not constitute a project under the California Environmental Quality Act ("CEQA") because the proposed action represents administrative activities of the District that will not result in direct or indirect physical changes in the environment (Section 15378(b)(5) of the CEQA Guidelines); further, where it can be seen with certainty that there is no possibility that the proposed action in question may have a significant effect on the environment, the proposed action is not subject to CEQA (Section 15061(b)(3) of the CEQA guidelines).

WHEREAS, should a Grant for the Project be awarded to the District and the District execute a Grant Agreement, implementation of the activities to be funded by the Grant are categorically exempt from further review under CEQA because they constitute information gathering through a demonstration project which will not result in a serious or major disturbance to an environmental resource (CEQA Guidelines Section 15306).

WHEREAS, a Class 6 exemption is available because if the Grant is awarded and a contract negotiated, execution of the contract the Project will be located at facilities on the existing San Joaquin River Improvement Project without any significant expansion of facilities, will continue for a limited duration and therefore will not have a significant cumulative impact on the environment; no unusual circumstances exist with regard to the proposed Project that would create a reasonable possibility that the proposed Project would have a significant effect on the environment; and the siting of the Project does not affect any scenic bylaw, is not on a designated hazardous waste site and will not affect a substantial adverse change in any historical resource.

NOW, THEREFORE, BE IT RESOLVED, AS FOLLOWS:

Section 1. The facts stated in the Recitals above are true and correct, and the Board so finds and determines.

Section 2. District General Manager Dennis Falaschi is hereby authorized and directed to take all steps required for filing the Grant Application, including but not limited to, preparing or causing to be prepared the necessary data, conducting investigations, executing certifications, and executing and submitting the Grant Application with DWR.

Section 3. In the event the District is awarded a grant pursuant to the Grant Application, the General Manager or any District employee or consultant designated by the General Manager is further authorized and directed to take all steps that are reasonably convenient or necessary to receive the grant, including but not limited to negotiating contract terms and executing the grant contract.

PASSED and ADOPTED this 9th day of December, 2014.

AYES: John Bennett, Suzanne Redfern-West, Mike Stearns, Stephen Smith and Michael Linneman
NAYS: NONE
ABSTAIN: NONE

PANOCHE DRAINAGE DISTRICT

JOHN BENNETT, President

ATTEST:

MICHAEL STEARNS, Secretary

* * * * *

I HEREBY CERTIFY that the foregoing Resolution No. 455-14 was duly and regularly adopted by the Board of Directors of the Panoche Drainage District at the meeting thereof held on the 9th day of December, 2014.

MICHAEL STEARNS, Secretary

Ultra-High Recovery Solar Thermal Desalination of Agricultural Drainage Water

California Proposition 204: Drainage Reuse Grant Program

Personnel and Partnerships

Project Director and Project Manager: Dennis Falaschi, Panoche Drainage District General Manager, 52027 W Althea Ave, Firebaugh, CA 93622 (dfalaschi@aol.com)

As General Manager of the Panoche Drainage District, Mr. Falaschi will serve as the point of contact and project manager from the District's side of the project. Mr. Falaschi served in similar roles during the first phase of the Aqua4 solar desalination pilot in 2013-2014. Panoche Drainage District is a California local agency formed under the Drainage District Act of 1903, set out in Appendix 8 of the California Water Code. The District has implemented many novel projects surrounding agricultural drainage as part of the San Joaquin River Improvement Project involving growing salt tolerant crops, such as pistachios and Jose tall wheat grass, and desalination, such as the WaterFX solar desalination pilot.

Principal Investigator: Dr. Matthew D. Stuber, WaterFX, Inc. Cofounder and Director of Engineering, PO BOX 2304, Healdsburg, CA 95448 (stuber@waterfx.co)

Dr. Stuber will serve as the point of contact for all correspondence which is technical in nature. Dr. Stuber is the principal investigator at WaterFX, Inc. leading the process systems engineering efforts, including the technology design, experimentation, and continuous improvement efforts behind the company's solar desalination systems. Dr. Stuber holds a Bachelor of Chemical Engineering degree from the University of Minnesota – Twin Cities and a PhD in Chemical Engineering from the Massachusetts Institute of Technology (MIT). Dr. Stuber was the acting PI for the first phase of the Aqua4 pilot demonstration at the Panoche Drainage District in 2013-2014 which included the design, implementation, and operation of a novel solar desalination technology for treating subsurface agricultural drainage water. Dr. Stuber is the first author and corresponding author on the peer-reviewed journal article (Stuber, et al., Desalination, 355, pp. 186-196, 2015) which was published in the scientific literature documenting the favorable results of the first phase of the Aqua4 pilot project and its overall success.

Engineering, Procurement, Construction, Management: ATSI, Inc., 415 Commerce Dr., Amherst, NY 14228

Mr. Kevin Green (green@atsi.com) will serve as the project manager for the project from the consultant side.

ATSI, Inc. is a *multi-discipline,* full-service engineering and consultancy firm providing front-end engineering design (FEED/FEL), engineering, procurement, construction, and management (EPC/EPCM), and technical engineering services. For the last 40 years, ATSI has performed engineering, detailed installation design, project management, field support, commissioning, and procurement services on industrial projects throughout North America and the world. Throughout its history, ATSI has provided engineering and support services on projects of varying size and complexity in many energy facilities and large industrial projects across the United States and Canada. In addition, ATSI and its personnel have

provided Design, Engineering, Procurement, and/or Construction management for many other industrial facilities including mini-mills, power facilities, energy facilities, waste-to-energy facilities, water and wastewater treatment, etc.

ATSI has significant experience to execute turnkey installation projects, including engineering, procurement, manufacturing/construction, commissioning, and operations. ATSI served as the EPCM company for WaterFX during the first phase of the Aqua4 solar desalination pilot at the Panoche Drainage District in 2013-2014.

ATSI is an OSHA safety certified company that belongs to the safety certifying organizations ISNetWorld and PICS. ATSI has achieved an "A" safety rating with its customers through these safety agencies.

With experience in both practical industrial construction execution as well as first-principle engineering, ATSI has the unique experience of being able to successfully bring new technologies to market.

ATSI's has extensive expertise and experience with:

- Turnkey and EPC-related Services
- First-Principles / Innovation Engineering
- FEED Process engineering
- Modularization design
- Project Management
- Heavy Industry Engineering
- Instrumentation and Controls for process optimization
- PLC / DCS Programming and Cabinet Manufacturing
- Electrical Cabinet Manufacturing
- Finite Element and Finite Difference Analysis
- 3D and 2D drafting and design

Project Description and Scope of Work

Technology Description and Scope

WaterFX has developed a *Concentrated Solar Still* (Aqua4™) for ultra-high recovery solar thermal desalination of high scaling propensity water sources. The core technologies developed and implemented in the Aqua4™ system dramatically increase the efficiency of traditional distillation for desalination applications. The development efforts focused on maximizing overall energy efficiency while reducing capital costs and maximizing operational flexibility and robustness making sustainable brackish groundwater and agricultural drainage water desalination a viable technology as part of a drainage reuse strategy in the Central Valley.

The broad scope of the Aqua4™ technology project is to treat subsurface agricultural drainage water from the San Joaquin Basin with varying salt content (typically ranging 5,000-35,000ppm TDS) to zero-liquid discharge (ZLD) using primarily renewable energy in the form of concentrated solar thermal power. At the heart of the Aqua4™ concentrated solar still lies tried and true thermal technology, multiple-effect distillation (MED), which has been broadly applied in the food and water industries for concentration and purification applications since 1845. Put simply, MED is a technology that offers incredibly efficient steam utilization as well as extremely low electrical requirements. Beyond these energy advantages, MED is extremely robust to scaling and corrosion as well as variations in feedstock. The MED system is specifically designed to operate below the temperatures at which scaling is most prevalent and in the event of an unforeseen scaling event performance can be recovered quickly with a simple non-toxic acid flush without taking the system offline. The flexibility and robustness of MED makes it an ideal candidate for brackish groundwater desalination over the alternative membrane technologies. What makes the Aqua4™ system novel is its highly optimized heat integration. In the Aqua4™ system, a specially-designed vapor-absorption heat pump (AHP) is implemented to capture low-pressure steam (waste heat) from the MED system and upgrade its quality. The AHP technology provides 100-140% increase in efficiency with minimal capital cost increase due to its simplicity. Aqua4™ has the lowest specific energy consumption amongst available thermal desalination processes, consuming as little as 32 kwh/m^3 of water or roughly half the energy consumption of conventional thermal desalination.

The combining of the specialized AHP with the MED system offers two advantages. First, the operating temperature of the combined AHP-MED is ideal for medium temperature solar process heat, such as the heat generated by a concentrated solar collector and even some non-concentrating solar collectors. Second, the overall efficiency of the thermal technology is dramatically improved which reduces the energy requirement by at least half, resulting in the dramatic reduction in solar array footprint by at least half.

Process heat is supplied to the AHP-MED section via concentrated solar thermal collectors. Very low overall energy and operating costs are achieved by generating 50-80% of the total energy required for daily operation directly from the collection of solar radiation and utilizing thermal energy storage for operation during periods without sunlight. Unlike solar PV, which operates as high as 12% overall energy

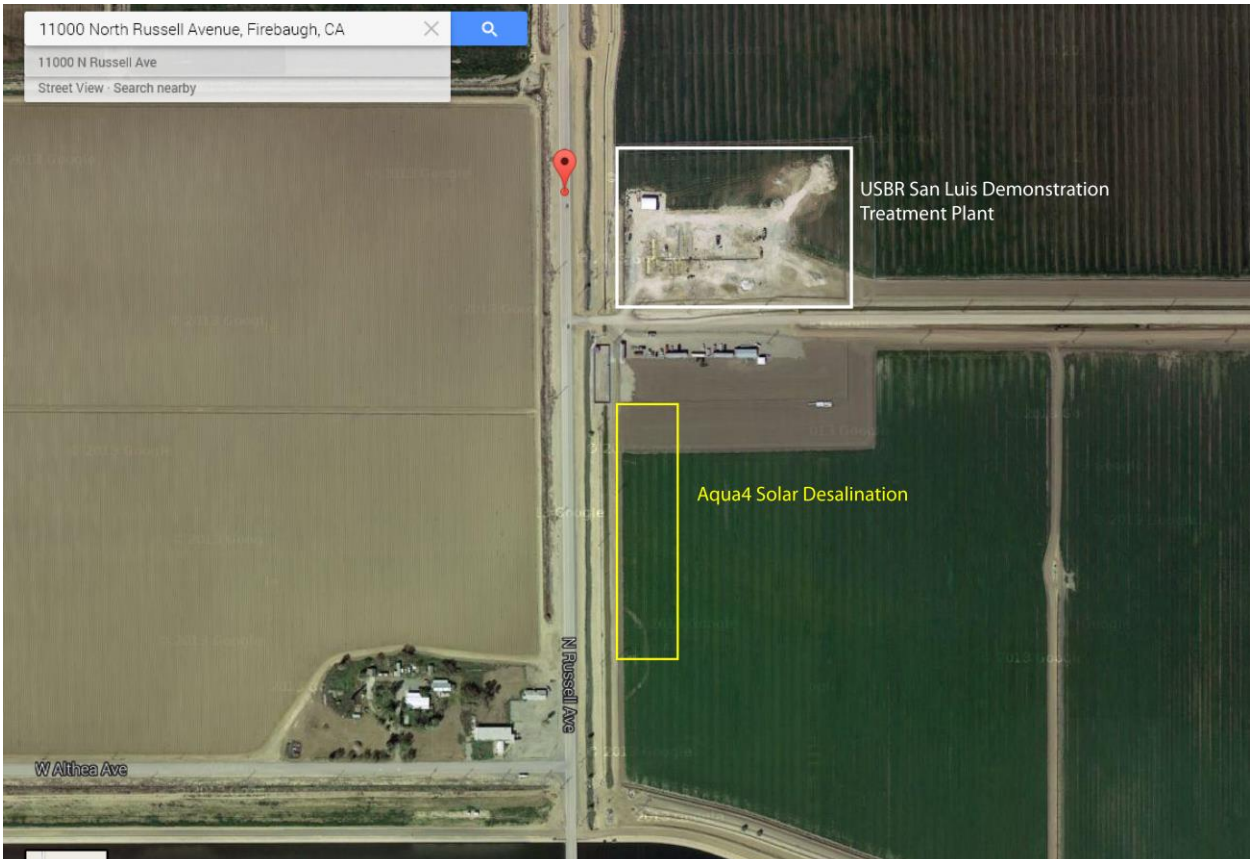


Figure 1: A satellite view of a portion of the Panoche Water District's drainage region with the Aqua4 solar desalination site outlined in yellow.

efficiency, Aqua4™'s parabolic troughs capture up to 75% of the available solar energy. Furthermore, the system has the capability of operating for a full 24-hour schedule by switching to a "hybrid mode" which, in addition to the solar thermal energy, utilizes propane or natural gas.

Initial Pilot Demonstration Project (Phase I)

Late in 2012, the Panoche Water and Drainage District partnered with WaterFX, Inc. to execute an initial pilot project to demonstrate the feasibility of desalinating subsurface agricultural drainage water using predominantly renewable energy.

In July 2013, the first phase of the Aqua4™ pilot plant (4-8gpm) was brought online at the Panoche Water and Drainage District at the location of 11000 N Russell Ave, Firebaugh, CA (36.894527N, -120.654343W) which is adjacent to the USBR San Luis Demonstration Treatment Plant (see Figure 1). The plant went through initial shakedown and began producing meaningful data shortly thereafter. The primary objective was to demonstrate treatability of the drainage water using this technology. Treatability was adequately demonstrated, treating tile water from 15,000ppm-35,000ppm TDS producing a distillate stream with <10ppm and a concentrated brine stream with >100,000 ppm TDS. During these operations, no negative impacts of scaling were observed and physical inspection of the MED internals suggested scaling was minimal. Similarly, the efficiency gain by integrating the AHP was adequately demonstrated by recording an efficiency that was roughly double that of conventional MED in the same configuration. The results were published in the peer-reviewed scientific literature (Stuber et al., *Desalination*, 355, pp. 186-196,

2015) attached. Although a vast wealth of information was obtained during this pilot study, limited funds restricted its scope and so a second pilot project is proposed here which builds upon the first.

Proposed Pilot Project (Phase II)

The goal of the proposed pilot project is twofold. First, the robustness, flexibility, and operability of the process will be greatly improved by automating the unit operations and implementing a robust control strategy. Second, the utilization of solar energy to power the process will be maximized with the incorporation of thermal energy storage. Utilizing the ideal thermal energy storage technology, peak solar energy can be stored for off-peak operation effectively offsetting the use of backup heating systems and further reducing greenhouse gas emissions associated with conventional desalination technologies.

Neither automation and control nor thermal storage are entirely novel ideas on their own. However, for the case of automation and various control strategies, the Aqua4 system is an industry first-of-its-kind process system with advanced heat integration. Research on ideal automation and control strategies for reliable, safe, and optimal operation is non-existent. Similarly, in the case of solar thermal storage, its incorporation with medium-temperature process heat applications has been nearly non-existent. Solar thermal technology is most commonly applied in the power generation sector and in residential and commercial heating and cooling. This means that the storage media and technology have been optimized for either high temperature (e.g., molten salts) or low temperature (e.g., hot water). In medium-temperature applications, such as the Aqua4™ technology, using water/steam as a storage media is still infeasible yet using molten salts are dangerous because the operating temperatures are close to the salt's freezing point.

With the help of funding through this DWR drainage reuse grant opportunity, the Panoche Water District in partnership with WaterFX, Inc. aims to implement a pilot project at the current Aqua4 project site which includes developing, constructing, and integrating the following technologies into the current Aqua4 solar desalination plant:

1. automation and control, and
2. thermal energy storage.

With these two implementations, the novel technology for solar desalination of subsurface agricultural drainage water and other brackish groundwater sources, currently implemented at Panoche, will be further advanced by reducing the knowledge gap and bring it closer to widespread adoption in the Central Valley as part of a sustainable drainage treatment and reuse strategy.

Deliverables

The high-level deliverables for this project will be a novel thermal storage technology optimized for solar desalination of agricultural drainage and a control policy optimized for reliable operation of the desalination system, including the solar energy component. The deliverables will be in the form of scientific data and knowledge collected through experiment and operations and communicated through written reports. Since Phase I of the Aqua4 pilot demonstration has been completed, a large amount of data and reporting exists which document the performance and reliability of the system prior to Phase II. Therefore, assessing progress and accomplishments will be relatively straight-forward using the previously acquired data as the benchmark. Specifically, a successful implementation of automation and controls will be demonstrated through the reduction in significant deviations from steady-state operations. Likewise, a successful implementation of the thermal storage technology will be

demonstrated through the operation of the solar desalination plant solely on stored energy while the solar array is deactivated or by operating after sunset and/or before sunrise. Success will further be demonstrated through the analysis of reductions in fossil fuel consumption and greenhouse gas emissions versus conventional thermal and membrane desalination technologies.

Project Objectives and Program Priorities

The high-level project objectives are to minimize uncertainties and close the knowledge gaps associated with sustainable desalination for subsurface agricultural drainage water. An extraordinary wealth of knowledge was gained on the subject during the Aqua4 pilot demonstration Phase I; however, limited research funding had constrained the extent to which specific knowledge gaps could be closed. Furthermore, as some knowledge gaps were reduced or even closed, others became highlighted for their negative impact on moving the technology forward. Building on the knowledge gained during the Aqua4 pilot demonstration Phase I, it has been concluded that one major source of uncertainty comes from the solar thermal storage technology which has been identified as the key enabling technology for: 1) maximizing the availability of the solar resource to reduce fossil fuel consumption and greenhouse gas emissions, and 2) reducing the capital and operating costs of solar desalination of subsurface agricultural drainage. Acquiring validation of the thermal storage technology enables an accelerated path to scale-up and optimization for large-scale deployment with minimal safety and performance risk. Furthermore, during Phase I of the project it was identified that a major challenge that must be overcome in order to make this technology viable for sustainable and reliable desalination of subsurface agricultural drainage water is the requirement for full-time operations and support staff to manually mitigate the effects of process transients or upsets. Although this strategy is generally acceptable for a research project, it is incredibly labor-intensive and costly. By implementing automation and studying control strategies, the labor intensity will be dramatically reduced and the knowledge gap further reduced; bringing sustainable desalination closer to commercial-ready status via a dramatic reduction in operating and maintenance costs.

Quantifiable objectives

1. Significantly reduce the standard deviation of the measured specific thermal energy consumption data (in kWh/m^3 water produced) for daily operation.
2. Significantly reduce the standard deviation of the measured productivity data (in gal/min) for daily operation.
3. Significantly reduce the standard deviation of the measured recovery data (fraction of drainage water feed recovered as freshwater) for daily operation.
4. Sustain ultra-high recovery steady-state operation with reduced operator input requirements.
5. Construct and deploy a novel medium-temperature thermal storage solution.
6. Validate the thermal storage mathematical model.
7. Characterize heat losses from storage to the environment.
8. Characterize the mechanical energy (pumping) requirements of the storage system.
9. Desalinate agricultural drainage water for a minimum of 4h on stored solar energy without direct input from other thermal energy sources (i.e., solar array or fossil fuels).

The objectives described above cumulatively contribute to Project Example 13 under the category "Drainage Treatment and Salt Separation/Utilization" identified as a program priority in the Proposition 204 grant funding solicitation package. Closing the knowledge gaps previously discussed in this section are critical to the development of a viable commercial-ready solution for sustainable desalination of subsurface agricultural drainage and brackish groundwater sources. Accomplishing these objectives will also bring the technology much closer to the ultimate goal of Panoche Water District of fully concentrating

agricultural drainage water and brackish groundwater to eliminate brine discharge as well as harvest salts and toxic elements and compounds (i.e., Project Example 12) as part of a final phase of the Aqua4 technology pilot demonstration.

Task Breakdown

The major tasks are categorized into 2 significant efforts: The design and installation of the solar thermal storage equipment, and the design and installation of the desalination / AHP automation equipment. The individual tasks are also highlighted in the more detailed schedule which is attached in the Appendix A.

The main goal of the thermal storage is equipment to provide after-sun-hours of operation of up to 4 hours of thermal operation. This design of the storage capacity is sized based on the required energy for operating the desalination and AHP equipment. The quantifiable basis of the thermal storage will be based on the achieved temperatures in the thermal beds during daylight hours, and the release of energy to the working AHP and desalination system after the sun is not available. The thermal storage system can also be tested artificially by defocusing the solar array, even during daylight hours.

The automation system's purpose is to allow the plant to operate safely and stably with a single operator (or part-time operator). This goal will be easily measurable based on the time required to actually operate the automated plant.

Thermal Storage

The thermal storage installation begins with the process and mechanical design of the thermal storage tanks. These tanks will be sized based on the required time of operation (nominally >4 hours) after solar insolation energy is not available. In order to minimize cost of foundation due to wind loading and localized weight, the size of the tanks will be minimized. Therefore, there will be a minimum of 2 tanks installed for the capture of the thermal solar energy in these tanks.

Once the thermal storage tanks are sized, the tank solid media will be selected. The media will have a high thermal conductivity in order to maximize thermal storage capacity. The media will be heated with hot thermal fluid from the solar array during the daytime hours, and will then release its energy into the thermal fluid at night to heat and run the AHP / desalination unit.

Once the weight of the tanks (including media and thermal fluid volume) is determined, the foundations will be designed. The tanks will then be designed to be integrated into the plant via piping and controls. The piping will be designed with appropriate expansion considerations to accommodate the thermal cycles between daytime and nighttime operations. Instrumentation and controls will be employed in order to maximize the efficiency of the operating system – capturing maximum energy during the daytime while still effectively operating the AHP/Desalination unit, and then allowing maximum energy recovery during non-solar hours. Once the design for the equipment (tanks, media, piping, instrumentation, and controls) is completed, the equipment will be purchased.

Approximately 8 weeks after purchasing, the equipment will arrive at site. However, before the equipment arrives at site, the foundation will be prepared. Concrete will be poured and cured (based on the engineered foundation design). Once the foundation is cured, the tank will be set in place and secured. The piping will be installed and the tank media will be filled. Once the piping has been hydro-tested and proven, the piping and tank will be filled with the thermal fluid.

Desalination Automation

Concurrently with the thermal storage design, the desalination and AHP automation design will also be executed. Automation points required for the operation and critical to data collection will be identified.

Specific instrumentation includes pressure controllers, temperature recorders, flow controllers, and liquid level recorders on the AHP, and pressure recorders, temperature recorders, and flow controllers on the desalination equipment. The schematic of proposed automation equipment is highlighted in the attached schematic (Appendix B).

Once identified, the individual instruments and control valves will be specified and purchased. In addition, the design control logic will be identified and prepared for later programming. At the same time, the control equipment, including Human-Machine Interface (HMI) and Programmable Logic Control (PLC) will be identified, specified, and purchased. After receipt, the PLC will be programmed and tested in a program model in order to ensure its accuracy in the field. After the PLC box has been programmed and tested, it will be shipped to site along with the rest of the purchased instrumentation. During the time of the tank foundation installation, the PLC box will be retrofitted into the existing plant and wires run between the PLC and the control equipment in the field. Also, the individual instrumentation and control valves will be retrofitted into the existing AHP and desalination plant. Any lines that are opened for control valve or instrumentation installation will be flushed or refilled with absorber fluid.

Once the PLC equipment, instrumentation, and control valves have been installed in the field, the control equipment will be given a final site acceptance test for accuracy (loop checks). After all the appropriate thermal storage equipment has been installed and the automation has been integrated, the plant will be ready for operation.

Current Status

Currently, the preliminary engineering has been completed for all the tasks above, which has allowed us to generate an accurate budget for all this work.

The thermal storage tanks have been sized and the cost has been estimated for the tanks, media, piping, and concrete foundations. Based on the engineering sizing of the tanks, prices have been acquired from local tank manufacturers. The media pricing has been acquired as a commodity item. Piping prices have been acquired based on

The instruments and control valves, including the Input/Output points for the PLC programming have been identified. The PLC and HMI equipment has been identified, the assembly of the PLC cabinet (including labor) has been calculated, and the PLC/HMI programming efforts have been calculated. All of the identified equipment pricing has been acquired as commodity-priced items. Labor for the programming and installation of the PLC and HMI are based on ATSI, Inc.'s labor rates (see attached, Appendix C).

The cost of labor and installation costs have also been identified for the equipment. Labor rates for the Panoche Water District have been used based on the company employed for the first-round installation of the existing desalination equipment (McElvaney, Inc.).

All of the tasks identified above have allowed us to identify a high-quality cost and schedule estimate for the required work.

The line-item budget for the installed equipment and the associated design engineering and installation labor is attached in Figure 2 under the Budget Section.

Materials, Methods, and Scientific Merit

The scientific merit of the technology at the heart of the Aqua4 solar desalination system has already been proven during Phase I with results published in a peer-reviewed journal article (Stuber, et al., *Desalination*, 355, pp. 186-196, 2015) attached in Appendix D. A discussion of the work proposed for Phase II of the Aqua4 solar desalination project will be presented here. The complete list of materials is tabulated in Figure 2 in the Budget Section.

The thermal storage solution technology will consist of two cylindrical liquid storage tanks filled with a solid rock material that is semi-spherical in shape and likely to have an average diameter of around 0.5in. The storage tanks will have a piping inlet at the bottom and outlet at the top for moving the heat transfer oil (chosen to be food-grade mineral oil for its non-toxic and environmentally benign properties) through and be arranged in series of one another. As explained previously, this type of technology is preferred over a two-tank molten salt storage technology, common in solar thermal power generation, because molten salts have freezing point limitations that present unnecessary operational challenges and risk. Alternatively, simply storing hot heat transfer fluid, similar to residential hot water tanks (household water heaters), is too costly since it would require a large volume of food-grade mineral oil.

Prior to this written proposal, WaterFX developed a rigorous mathematical model of the thermal storage system and control policy using first principles of mass and energy transport and thermodynamics. This model was used to design the thermal storage system and controls through dynamic numerical simulation. Furthermore, the team completed a review of the relevant peer-reviewed literature for a comparison of their models with similar designs and assumptions operating at different states and found the results to be consistent. In order to validate the mathematical model of the thermal storage system to be constructed with this funding opportunity, experimental data will be collected and compared with the dynamic simulation results. In order to validate the temperature profiles within the thermal storage system, thermocouples will be placed at evenly-spaced intervals from the base of the storage tanks to the tops including on the inlet and outlet pipe connections. Pressure transducers will be used to measure and record the pressure of the heat transfer fluid (food-grade mineral oil) at the inlet and outlet of the storage device to characterize the pressure drop across the storage system. The flow rate and pressure of heat transfer fluid will also be monitored and recorded. While operating throughout the day and into the evening, the temperature, pressure, and flow data will be recorded and the stored energy capacity, thermodynamic efficiency, heat loss to the environment, and pumping energy requirements (and mechanical losses) will be characterized. This data will be used directly for validating the mathematical model used to design the storage system as well as demonstrate solar desalination of subsurface agricultural drainage after sunset and before sunrise.

The proposed automation and control strategy is depicted in the instrumentation and controls diagram in Appendix B. The list of sensors and control valves (Groups 1-5 in Figure 2) monitor and/or control temperature, pressure, flow rate, and water quality. The data transmitted by the sensors will be automatically recorded at regular intervals to properly quantify the performance (various metrics) throughout daily operations. Automatic data-logging will eliminate time-delay artifacts that manifest in data taken by manually by operators (i.e., data points can only be recorded sequentially by operators when monitored manually). The function of each of the Group 1-5 is summarized below.

Group 1 = Solar array energy:

Based on the Oil temperature differential and total thermal fluid circulation, the total energy from the solar array can be calculated. Note that the oil outlet temperature and total oil flow are existing digital instruments and only need to be incorporated in the data recorder. The inlet oil temperature transmitter and recorder will be included in this project.

Group 2 = Absorption heat pump (AHP) data recording:

The inlet and outlet thermal oil temperature transmitters will be included in this project. These temperatures (along with the thermal fluid flow into the AHP, which is already a digital flow instrument at the plant), allows the total energy transferred to the heat pump to be calculated.

The AHP pressures will be recorded in order to calculate the efficiency of energy usage in the AHP and determine any pressure losses in the AHP. The pressure at the regenerator separator (the highest pressure point, indicating the energy transfer efficiency from the thermal oil to the absorber fluid), the pressure at the absorber (the lowest pressure point) and the pressure downstream of the ejector (which should be similar to the inlet of the first stage steam to the desalination plant) will all be included as transmitters and recorders in this project.

In addition to the pressures within the heat pump, the total flow of oil to the AHP regenerator / separator will also be included in the project as a flow transmitter and recorder. This total flow of AHP fluid will be recorded to calculate the total efficiency of the absorber regenerator.

Finally, the level of the absorber will be monitored (level transmitter and recorder will be included in the project) in order to monitor the health of the absorber and ensure that there is always enough fluid in the absorber. If the absorber fluid is over-concentrated (i.e. too much evaporation and not enough vapor absorption), then the absorber fluid may crystallize and plug. Therefore, a minimum level in the absorber must be maintained.

Group 3 = Desalination control and monitoring:

The temperatures and pressures of each of the 3 desalination effects (MED) will be monitored and recorded (all transmitters are included in this project. This data will be collected to ensure the MED is operating as efficiently as expected. Note that the first effect pressure is already included as a digital transmitter in the existing plant. While the pressures and temperatures are normally in water-vapor equilibrium, any deviation from equilibrium indicates the presence of non-condensable gases that would need to be vented.

The product flows and pressures from each effect and final condensation stage will be recorded and controlled. 4 flow transmitters/recorders are included in this project. 4 pressure transmitters along with pressure control valves (with PI-control) are also included in this project. These transmitters and controllers are used to ensure that each condensate pump has sufficient back-pressure so as to not over-pump and result in vapor-lock. This discharge pressure also ensures that there is sufficient head at the pump suction and that vapors are not passing through the exchangers uncondensed. If vapors are passing through the exchangers, then there is not an efficient use of the latent heat in the steam.

Group 4 = Product monitoring:

The flows for the salt-water feed, the condensate product, and the reject brine are recorded for mass balance and yield calculations. New flow transmitters are included in this project. In addition, the quality of the brine / product waters will be monitored by a conductivity meter / recorder that will be installed as part of the project.

Group 5 = Thermal storage control:

Temperature indicators (multiplex thermocouples) will be installed in the tanks and in the piping at the inlet/outlet of the thermal storage tanks. These temperature indicators will determine when the thermal storage is fully charged, fully discharged, and the associated transient conditions. A temperature control valve (with PI-control) will control the flow of the oil based on the energy requirement of the desalination plant (discharging) or based on the thermal storage capacity requirements in the tanks (charging).

Following commissioning, the plant will go back into operation. The first tasks will be ensuring that the PI-controllers are properly tuned to exhibit ideal behavior. Traditional controller tuning methodologies will be employed at this stage. The system will then be operated at various steady state set points and monitored. The Quantifiable Objectives outlined in the Project Objectives and Program Priorities section are mostly written as the hypotheses of this project. Specifically, Quantifiable Objectives 1-3 will be validated by analyzing daily operation data and comparing against the existing data from Phase I. This will largely validate the effectiveness of the automation and controls component of the project and help close one of the key knowledge gaps outlined in the Project Objectives section regarding operations requirements. Completing Quantifiable Objective 6 will help validate the theory and assumptions behind the design of the thermal storage system which includes accounting for heat losses to the environment, mechanical energy requirements, and ultimately the storage capacity and effectiveness. This will help close the other knowledge gap identified in the Project Objectives section regarding the thermal storage technology.

Schedule

The detailed project schedule is contained in Appendix A. The duration of the project will be a relatively short 4 months from award / initiation. Each of the involved parties are ready to commence the project as early as June 1, 2015.

Budget

The table shown in Figure 2 summarizes the total budget for the project. The table includes the material costs for the equipment, the associated labor rates for the tasks (including engineering time, factory construction of the PLC cabinet, programming of the PLC/HMI, field installation time and labor), and the pre-commissioning time for the equipment in the field.

Group	Discipline	Item	Quantity	Material		Engineering			Labor			TOTAL	Notes
				Cost	Total	Hrs	Rate	Total	Hrs	Rate	Total		
Group													
	I&C	New PLC Enclosure with PLC and HMI	1	28500	28500			0	96	93	8928	37428	Equipment / manufacture
	I&C	Assemble Enclosure and Wire on Site	1		0			0	54	93	5022	5022	Site Install
	I&C	Program PLC	1		0	210	111	23310	0		0	23310	Programming
	I&C	Program HMI	1		0	210	111	23310	0		0	23310	Programming
	I&C	Specify Instruments/Equipment	1		0	36	83	2988	0		0	2988	Engineering
	I&C	I&C Drawings	1		0	108	83	8964	0		0	8964	Design/Drafting
	I&C	On-Site Support	1	8000	8000	200	83	16600	0		0	24600	4 wks on site
1	I&C	TT - Oil to Array	1	420	420			0	6	90	540	960	Wire & Install
2	I&C	TT - Oil Entering Heatpump	1	420	420			0	6	90	540	960	Wire & Install
2	I&C	TT - Oil Exiting Heatpump	1	420	420			0	6	90	540	960	Wire & Install
2	I&C	PT - Heat Pump Absorber	1	1150	1150			0	9	90	810	1960	Wire & Install
2	I&C	PT - Heat Pump Separator	1	1150	1150			0	9	90	810	1960	Wire & Install
2	I&C	PT - Heat Pump Extractor	1	1150	1150			0	9	90	810	1960	Wire & Install
2	I&C	FT - Heat Pump H2O Cooling	1	2200	2200			0	11	90	990	3190	Wire & Install
2	I&C	LT - Heat Pump	1	3000	3000			0	12	90	1080	4080	Wire & Install
3	I&C	TT - Steam into first effect	1	420	420			0	6	90	540	960	Wire & Install
3	I&C	TT - Steam into second effect	1	420	420			0	6	90	540	960	Wire & Install
3	I&C	TT - Steam into third effect	1	420	420			0	6	90	540	960	Wire & Install
3	I&C	PT - Steam into second effect	1	1150	1150			0	9	90	810	1960	Wire & Install
3	I&C	PT - Steam into third effect	1	1150	1150			0	9	90	810	1960	Wire & Install
3	I&C	FT - First effect Condensate	1	2200	2200			0	11	90	990	3190	Wire & Install
3	I&C	FT - Second effect Condensate	1	2200	2200			0	11	90	990	3190	Wire & Install
3	I&C	FT - Third effect Condensate	1	2200	2200			0	11	90	990	3190	Wire & Install
3	I&C	FT - Final Exchanger Condensate	1	2200	2200			0	11	90	990	3190	Wire & Install
3	I&C	PCV - First Effect Condensate	1	4800	4800			0	18	91	1638	6438	Wire & Install
3	I&C	PCV - Second Effect Condensate	1	4800	4800			0	18	91	1638	6438	Wire & Install
3	I&C	PCV - Third Effect Condensate	1	4800	4800			0	18	91	1638	6438	Wire & Install
3	I&C	PCV - Final Exchanger Condensate	1	4800	4800			0	18	91	1638	6438	Wire & Install
4	I&C	FT - Brine Entering System	1	2200	2200			0	11	90	990	3190	Wire & Install
4	I&C	FT - Brine Leaving System	1	2200	2200			0	11	90	990	3190	Wire & Install
4	I&C	FT - Condensate Out	1	2200	2200			0	11	90	990	3190	Wire & Install
4	I&C	AT - Brine Conductivity leaving System	1	2100	2100			0	11	90	990	3090	Wire & Install
Thermal Storage Costs													
5	I&C	TT - Oil to Storage	1	420	420			0	6	90	540	960	Wire & Install
5	I&C	TT - Oil From Storage	1	420	420			0	6	90	540	960	Wire & Install
5	I&C	TCV - Thermal Storage Temp Control	1	4800	4800			0	18	91	1638	6438	Wire & Install
5	I&C	PDT - dP transmitter Thermal Storage	1	1150	1150			0	9	90	810	1960	Wire & Install
	Mech	Estimated Tank Cost = 2 units, 8.17 m3 ea	2	12500	25000	48	93	4464	24	90	2160	31624	Pre-mfg, Install
	Civ	Tank Foundations	2	6500	13000	24	111	2664	88	90	7920	23584	Installed
	Mech	Fluid / Media Cost = 24 drums	24	250	5881							5881	Equipment
	Mech	Estimated thermal storage Piping Modifications	1		8000	120	93	11160	120	90	10800	29960	
			Total Material		$ 145,341	Total Engineering / Programming		$ 93,460	Total Field Labor		$ 62,190	TOTAL	$ 300,991

Figure 2: The list of materials and respective costs (material and labor) for the proposed Phase II project.

Deliverables

The deliverables for the project will be based on several critical design and construction points:

1. Engineering Design of Thermal Storage and Automation Equipment
2. Procurement of Thermal Storage Equipment and Piping, Automation (incl. PLC/HMI) Equipment. This procurement will be split up based on the normal billing cycles.
    - 25% down payment to the vendors at ordering
    - 50% due within 30 days
    - Remaining 25% due within 60 days
3. Construction and Commissioning of Thermal Storage and Automation Equipment

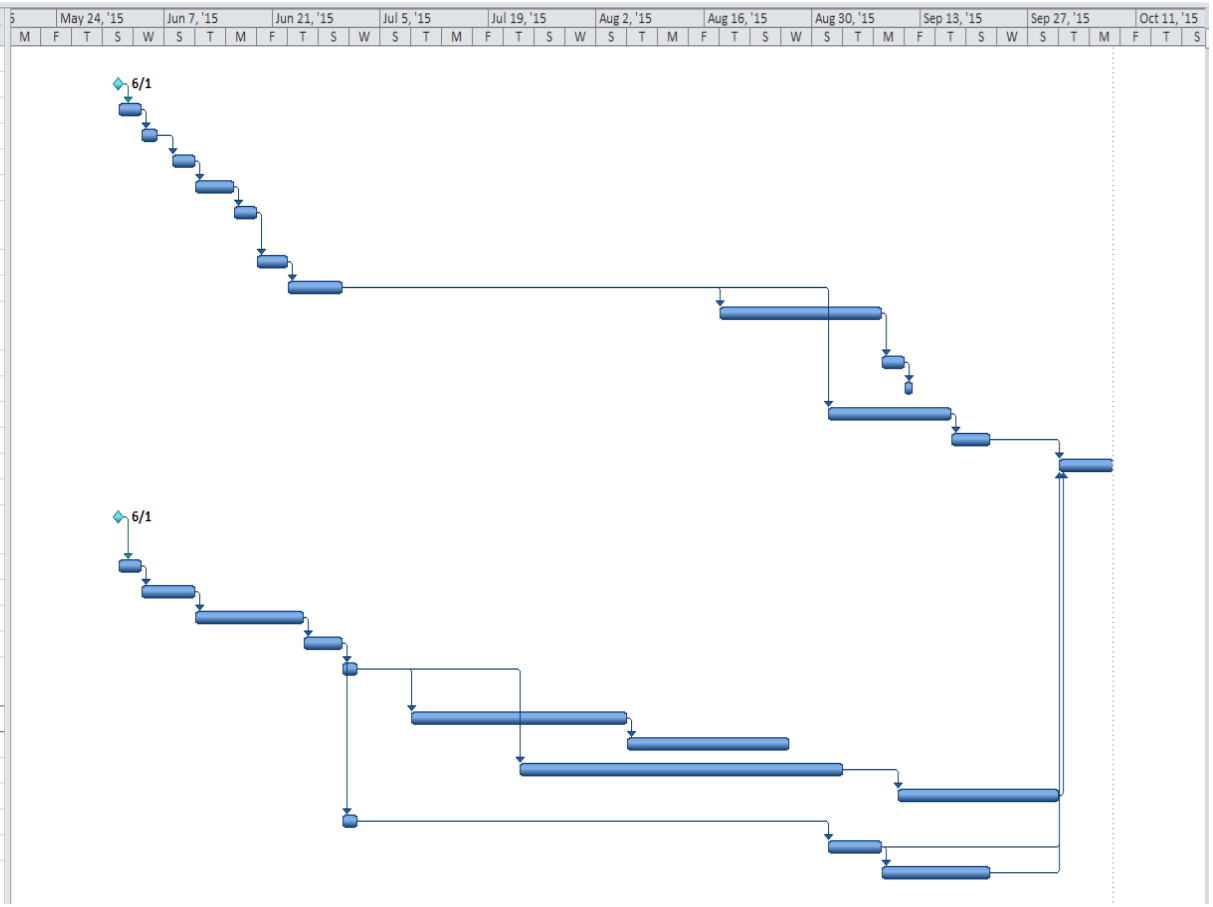
The overall project duration will last less than 2 quarters. Therefore, a summary report will be submitted based on the tasks above. Invoices will be based on the tasks above and the estimated amount of the invoices each period are listed in the table below. Some of the invoices can be consolidated if necessary or to comply with the Grant requirements.

The following table summarizes the estimated reporting and invoicing periods:

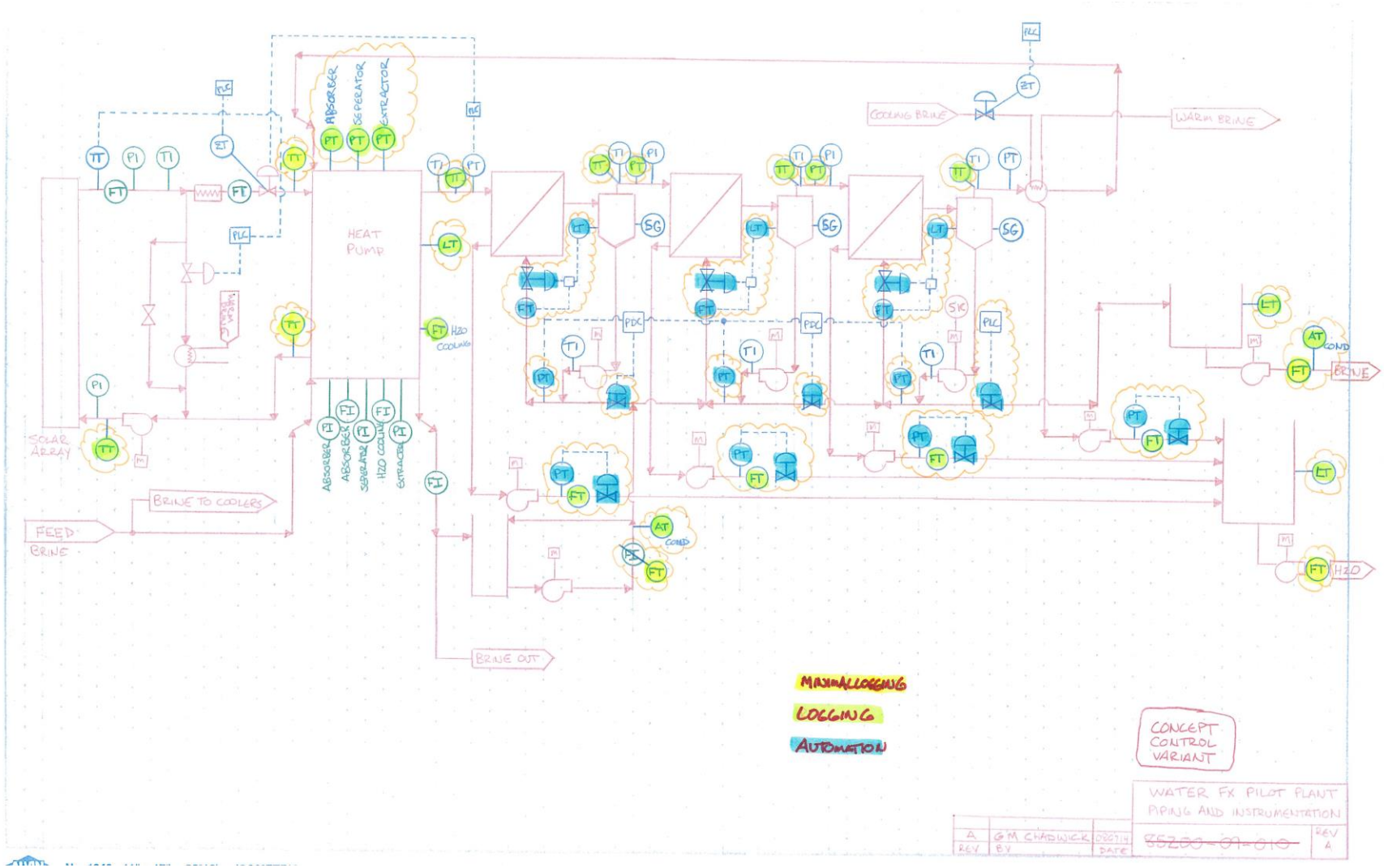
Period	**Schedule Complete**	**Invoice Date**	**Est. Invoice Amount**	**Report Date**	**Report / Invoice Type**
Project Commencement	6/1/2015	N/A	N/A	N/A	N/A
Engineering Design	6/29/2014	6/30/2015	$28,000	6/30/2015	Engineering Design Package (Engineering Invoice)
Procurement (downpayment)	7/1/2014	7/6/2014	$37,000	7/6/2014	Vendor Invoice Summary *(25% Equipment Invoice)*
(July Monthly Invoice)	7/31/2014	7/31/2014	$74,000	N/A	Vendor Invoice Summary *(50% Equipment Invoice)*
Quarterly Progress Report	8/31/2014	8/31/2014	$37,000	8/31/2014	Quarterly Progress Report (Expediting / Construction */ last 25% procurement Invoice*)
Automation Factory Mfg.	9/2/2014	9/9/2014	$46,000	9/9/2014	Factory Acceptance Test (FAT) Report, Quarterly Report (PLC/HMI programming engineering Invoice)
Field Construction	9/30/2014	9/30/2014	$61,000	N/A	N/A
Final Commissioning Report	10/7/2014	10/10/2014	$17,000	10/10/2014	Final Commissioning (Final Invoice)

Appendix A: Schedule of Tasks for Thermal Storage and Automation

Task Name	Duration	Start	Finish
Thermal Storage Design Kickoff	0 days	Mon 6/1/15	Mon 6/1/15
Tank Design	3 days	Mon 6/1/15	Wed 6/3/15
Media Design	2 days	Thu 6/4/15	Fri 6/5/15
Foundation Design	3 days	Mon 6/8/15	Wed 6/10/15
Layout Design	3 days	Thu 6/11/15	Mon 6/15/15
Piping Integration with Existing Solar Thermal Piping	3 days	Tue 6/16/15	Thu 6/18/15
Automation Design	2 days	Fri 6/19/15	Mon 6/22/15
Purchase Tanks, Media	5 days	Tue 6/23/15	Mon 6/29/15
Foundation Installation (Pouring / Curing)	15 days	Tue 8/18/15	Mon 9/7/15
Tank Installation	3 days	Tue 9/8/15	Thu 9/10/15
Media Installation	1 day	Fri 9/11/15	Fri 9/11/15
Piping Installation	12 days	Tue 9/1/15	Wed 9/16/15
Instrument / Automation Installation	3 days	Thu 9/17/15	Mon 9/21/15
Commissioning	5 days	Thu 10/1/15	Wed 10/7/15
Desalination Plant Automation Design Kickoff	0 days	Mon 6/1/15	Mon 6/1/15
Heat Pump Automation Design	3 days	Mon 6/1/15	Wed 6/3/15
Desalination Pump Automation Design	5 days	Thu 6/4/15	Wed 6/10/15
PLC Cabinet Design	10 days	Thu 6/11/15	Wed 6/24/15
Finalize Specifications	3 days	Thu 6/25/15	Mon 6/29/15
Purchase PLC Cabinet, cards, HMI, wiring, etc.	2 days	Tue 6/30/15	Wed 7/1/15
Program PLC	20 days	Thu 7/9/15	Wed 8/5/15
Program HMI	15 days	Thu 8/6/15	Wed 8/26/15
Build PLC Cabinet in Shop	30 days	Thu 7/23/15	Wed 9/2/15
Install / Wire PLC in field	15 days	Thu 9/10/15	Wed 9/30/15
Purchase Instruments	2 days	Tue 6/30/15	Wed 7/1/15
Install Instruments in Heat Pump	5 days	Tue 9/1/15	Mon 9/7/15
Install Instruments in Desalination Plant	10 days	Tue 9/8/15	Mon 9/21/15



Appendix B: Schematic for AHP / Desalination Automation



Appendix C: ATSI Billing Rates, 2015

ATSI, Inc.

Billing Rate Schedule
2015

Group No	Description	2015
02	Sr Proj Mgr/Sr Proc Engr	155.00
04	Project Manager	133.00
05	Project Engineer	107.00
06	Project Coordinator	87.00
07	Estimator	98.00
08	Purchasing Manager	92.00
12	Principal Engineer	133.00
13	Senior Staff Engineer	111.00
14	Staff Engineer	92.00
15	Design Engineer	82.00
16	Associate Engineer	63.00
17	Engineering Intern	38.00
20A	Design /Drafting Business Unit Leader	110.00
20	Sr Designer	99.00
21	Designer	83.00
22	Design Drafter	72.00
23	Drafter	55.00
24	Junior Drafter	51.00
25	Detailer	40.00
30	Project Controller	77.00
31	Clerical	47.00

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Pilot demonstration of concentrated solar-powered desalination of subsurface agricultural drainage water and other brackish groundwater sources

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HIGHLIGHTS

- A novel renewable-energy powered desalination system is developed and piloted.
- The system integrates an open-cycle heat pump with multi-effect distillation.
- A large parabolic trough solar concentrator is used to power the process system.
- A 49% reduction in thermal energy consumption is demonstrated.
- High scaling propensity agricultural drainage water is desalinated for reuse.

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ABSTRACT

The energy–water nexus is addressed with the experimental demonstration of a solar-powered desalination process system. This system was designed for high-recovery treatment of subsurface agricultural drainage water as a reuse strategy as well as other brackish groundwater sources. These water sources may exhibit wide fluctuations in salinity and makeup and pose a high risk for operational troubles due to high scaling potential. A first-of-its-kind open-cycle vapor-absorption heat pump is coupled with a multiple-effect distillation train and a large parabolic trough solar thermal concentrator. Without the heat pump, the distillation operation showed a minimum thermal energy consumption of 261.87 kWh_{th}/m^3. With the heat pump, the thermal energy consumption was reduced by more than 49% to 133.2 kWh_{th}/m^3. This reduction in thermal energy requirement directly translates into a 49% reduction in solar array area required to power a process with the same freshwater production rate as a system without an integrated heat pump. An optimized design was modeled and the thermal energy performance of a commercial system is projected at 34.9 kWh_{th}/m^3 using a 10-effect MED operating at 85% recovery.
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1. Introduction

The ongoing worldwide water scarcity problem is compounding with population growth, industrialization and development, economic growth, and climate change. The obvious abundance of saltwater sources on Earth has motivated the development and implementation of desalination technologies, primarily in coastal regions, in an attempt to close the water gap (i.e. the water deficit). Such technologies have been widely adopted in the Middle East and North Africa (MENA) regions, accounting for about 50% of the global installed desalination capacity [1, 2]. However, water scarcity is a worldwide problem motivating the adoption of desalination technologies in other regions in recent years. For instance, a report by the International Desalination Association (IDA) [3] projects that the fastest growth in desalination over the next five years is expected to take place in South Africa, Jordan, Libya, Mexico, Chile, India, and China, where their installed capacity is expected to double. Astoundingly, a recent review on the current state and future of desalination states that the current worldwide desalination capacity is growing at a yearly rate of 55% [4].

Water and energy have an inherent interdependence that is typically only explored from an economic perspective (i.e., what is the impact of energy cost on water and vice-versa). However, the interdependency goes deeper than this economic perspective since water consumption is tied to power generation and energy consumption is tied to potable water production. Hussey et al. [5] explored the changing landscape of energy and water of recent years and projections into the future. Interestingly, the authors [5] conclude that as energy sources are diversified

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and modified with emphasis on renewables and carbon capture, there is an increased dependence on water. For example, the worst clean energy source in terms of water usage is dry-rock geothermal which consumes more than five times the water that the standard natural gas combined cycle uses and two-and-a-half times that of a standard coal plant [5]. In this case, energy is harnessed with zero carbon emissions but with a very large water footprint. The UN states that 90% of the global power generation is water intensive [6]. They go on to conclude that "meeting ever-growing energy demands will require seeking coherence between water use and climate change mitigation" [6]. However, producing "new" freshwater sources via desalination brings its own challenges in the form of substantial energy requirements to remove salt from water for all proposed technologies and implementations.

In this paper, an advanced desalination process system, based on multiple-effect distillation (MED), is presented that provides a two-fold improvement in first-law (thermal) efficiency and minimizes the dependence on water-intensive power sources by consuming solar thermal power directly as its primary energy source. A pilot was constructed and operated at the Panoche Drainage District in Firebaugh, CA with the purpose of demonstrating high water recovery and energy efficiency for desalination of subsurface agricultural drainage water for reuse. In the next section, the background on the state-of-the-art will be discussed through a review of the relevant literature as well as motivating this work. Following a literature review, the Materials and methods section will discuss the modeling and simulation methods as well as the pilot system and experimental methods. The results of the simulations and the experiments with the pilot system will be presented along with a thorough discussion and comparison. A projection of the design and performance of a commercial system will then be presented and finally the paper will be concluded.

1.1. Background and motivation

When comparing desalination process systems on a thermodynamic basis, two concepts of efficiency will be referred to: first-law efficiency, which is the typical thermal efficiency of the process, and second-law efficiency, which is typically defined as the ratio of useful work output to the useful work input and quantifies the destruction of thermodynamic availability or exergy.

Desalination technologies are most commonly separated into two categories: thermal methods and membrane methods. As of 2012, the installed capacity of reverse osmosis (RO) membrane technologies was roughly 60% whereas traditional thermal technologies made up roughly 34% [4]. The two common goals in the desalination community spanning the diverse technologies are reducing total specific energy consumption (SC), defined as

$$\mathrm{SC} \equiv \frac{\text{energy input (kWh)}}{\text{total water produced } (\mathrm{m}^3)}, \tag{1}$$

and reducing the total water production cost.

Despite the widespread adoption of RO, the technology is fairly limited to seawater treatment applications and its dependence on application-specific pretreatment makes the technology relatively inflexible. Global water use is dominated by agricultural operations which account for 70% of consumption [6]. In California, agricultural operations account for roughly 79% of the diverted surface waters and pumped groundwater sources [7]. This has motivated the need for desalination of brackish groundwater for agricultural irrigation as well as desalination of agricultural drainage water for reuse. In [8], low-pressure RO was applied to a low-salinity groundwater feed for production of high-purity water for the beverage industry. The authors noted that despite the more favorable conditions for RO, after about 20 weeks, membrane flux decreased by 10% and the pressure drop increased by nearly 10% due to membrane fouling [8]. Besides the treatment of low-salinity feeds, groundwater and agricultural drainage pose a serious technological and environmental challenge for RO. The environmental challenge comes from high-volume brine waste disposal due to limited recovery. In [9], the environmental challenge was considered with the proposal of zero-liquid discharge (ZLD) for solids recovery. However, technological challenges of the implementation persist. For instance, the highest salinity considered by the authors was 1500–3000 ppm total-dissolved solids (TDS) and in the best case, the system would be operated at 95% recovery producing a brine waste stream with 30,000 ppm TDS [9] or just 3% dissolved solids. In this case, the authors' simulation results predict the SC value of the RO (without ZLD) to be 4.4 $\mathrm{kWh_e/m^3}$.[1] They conclude that, as compared to seawater RO desalination, their approach is more favorable for inland applications [9]. Since the paper was more of an initial feasibility study, the authors did not provide an analysis of scaling and fouling for such source waters at high recovery which is expected to be detrimental to the long-term viability of the proposed solution.

In 2010 McCool et al. [10] investigated the feasibility of RO for treating agricultural drainage in the San Joaquin Valley (the same region as the pilot demonstration in this paper). They considered water sources with salinities ranging within 7000–23,000 ppm TDS with wide relative yearly variations. They show that with proper scaling mitigation techniques, the recovery limits are between 44% and 68% across the region [10]. However, they conclude that any implementation of RO for treating these water sources would require site-specific process optimization as well as real-time monitoring for fouling mitigation as a result of feed chemistry variations [10]. Such a monitoring device was constructed and tested using agricultural drainage water at the Panoche Water District in the San Joaquin Valley by Thompson et al. [11] for rapid field evaluation and optimization. The study verified the effectiveness of such a monitoring device and validated the expectations of rapid scaling causing dramatic performance decline at 65% recovery from a 14,400 ppm TDS source [11]. Despite these advancements, due to the high scaling propensity of brackish groundwater and subsurface agricultural drainage water sources, pretreatment costs are high and recovery is limited for RO technologies and therefore cannot adequately address the environmental issue of brine waste disposal.

Of the major advancements in energy reductions, RO stands out partly due to its currently being the dominant technology worldwide but also because the improvements have been quite extreme in the last 40 years. In [12] the authors present a very striking chart that shows the energy consumption of RO decreasing to about 12% of its value in 1970. This reduction primarily represents major advancements in membrane technology over the years. However, the authors state that conveyance and pretreatment still require a relatively high amount of energy input (>50% of the membrane requirement), representing limitations in the technology even if the membranes are operating at their theoretical maximum efficiency [12]. Cohen-Tanugi et al. [13] further explored this idea and concluded that minimal improvement in overall water cost can be realized even if membrane permeability was to increase three-fold. Furthermore, despite reductions in the SC value, the high-pressure pumps required for RO are still electrically driven. Therefore, they require substantial amounts of electrical power at-scale which poses new challenges when considering the energy–water nexus, such as requiring a high thermodynamic availability energy source, as well as the technological and environmental limitations for the application to brackish groundwater and agricultural drainage water.

The two popular thermal desalination technologies are multi-stage flash (MSF) and MED, also referred to as multi-effect evaporation (MEE). Due to its early adoption in desalination, MSF still has the highest installed capacity of all thermal methods [4,14,15]. However, MED has the competitive advantage over MSF as it offers greater efficiency and reduced water cost due to lower capital costs as well as operating and maintenance costs [14,16–18]. Furthermore, for the same overall performance, MED requires substantially less electrical energy

[1] The subscript 'e' denotes electrical energy and 'th' will denote thermal energy.

for pumps by a factor of about 30% (just about 1 kWh_e/m^3 for an 11-effect MED) [16–18]. Despite consuming much more energy overall than RO, MSF and MED technologies are almost entirely powered by low-quality thermal energy directly (except for some electrical pumps). Furthermore, as stated in [15], "due to their reliability and massive field experience" thermal methods are able to keep their water costs competitive with RO. In [19], the authors present a thermo-economic analysis of MSF and MED. They conclude that from an economic perspective, a hybrid MED–MSF system will yield a total product water cost that is 9% lower than the MED system on its own [19]. Similarly, in [20], the structural optimization of a seawater desalination superstructure system model yielded an optimal design that combined both MED and MSF stages.

In [21], Mistry and coworkers formally defined the second-law efficiency specifically for desalination processes. They demonstrated that the single largest source of entropy generation in an MED system (specifically a 6-effect feed-forward system) was in the final condenser where the final effect distillate vapor is condensed. By reducing the size of the condenser or eliminating it altogether may dramatically increase the second-law efficiency of MED [21]. In order to achieve this and optimize efficiency and water cost of thermal systems, there has been active research in coupling them with low-cost heat recovery devices. These heat recovery devices reduce the size of the final condenser and capture the latent heat of the low-quality final effect (or a fraction of some intermediate effect) distillate vapor and increase its temperature and pressure to be reused as driving steam for the first effect. There are four main heat recovery devices available for this task: mechanical vapor compression (MVC), thermal vapor compression (TVC), adsorption heat pumps (ADHP), and absorption heat pumps (AHP). MVC and TVC are the most commonly implemented heat recovery devices. MVC uses mechanical work to drive a compressor to compress low temperature/pressure steam to higher temperatures and pressures. The application of MVC is quite limited to smaller capacities and, barring some examples of MED-coupled units, mostly applied with only a single-effect distillation unit. Furthermore, due to compressors being predominantly driven by electric motors, they pose the same challenges as pumping for RO in terms of the energy–water nexus [22]. TVC uses a steam-jet ejector or nozzle which consumes high pressure motive steam to increase the pressure and temperature of a low-pressure steam source by using the Venturi effect. Due to the simplicity of TVC and the higher thermal efficiency of MED, TVC is most commonly combined with MED. The recent works of Dahdah and Mitsos [23] on the structural optimization of seawater desalination system superstructure models yielded two new optimal designs that combined TVC, MED, and MSF. Despite the simplicity of TVC and the widespread adoption for increasing the efficiency of MED (and MSF), TVCs have a low second-law efficiency [21,24] and so are rather limited in the heat recovery performance they can offer. TVCs are also inflexible at operating at partial capacity and any deviation in operations away from their design point rapidly decreases their efficiency [25].

The final two vapor compression technologies for minimizing the requirement of the final condenser are ADHP and AHP. Both ADHP and AHP implementations for desalination applications are quite similar. As the names suggest, ADHPs utilize special hygroscopic solid media to adsorb low-pressure steam, releasing its latent heat, whereas AHPs utilize special hygroscopic liquid media to do the same. For more on the fundamentals of ADHPs and AHPs, the reader is directed to [26] and [27], respectively.

The work on ADHP–MED combined systems is limited to a few applications with renewable energy powered applications [28–31]. In each case the system performance is quite low and the applicability is limited. Although ADHP emerging technologies are well-suited for waste-heat and some renewable energy applications, poor performance and operational challenges of coupling batch and continuous processes make their current application to commercial desalination infeasible.

The most competitive heat-recovery device is the AHP. Aly [32] proposed using an AHP for multi-effect distillation to be powered by waste heat from the exhaust of a gas turbine. They simulate that with a 14-effect MED system, the combined process could produce 44% more water for the same energy input as other competing waste-heat recovery technologies of the time [32]. Fathalah and Aly [33] showed that combining an AHP with MED would provide a major performance boost over MED on its own. Furthermore, they identified that although AHPs may have worse overall efficiency than MVC heat pumps, the thermal-powered nature of AHPs allows for natural coupling with solar thermal receivers [33]. Right around the same time, researchers constructed a demonstration AHP–MED combined system for seawater desalination [25,34]. A second prototype was constructed about 10 years following the first and experiments yielded promising results demonstrating an extremely low SC of 32 kWh_{th}/m^3 [25,34]. A numerical comparison of an open-cycle AHP coupled with a single distillation stage was given by Mandani et al. [35]. They showed that the theoretical system performed 50–70% higher than comparable TVC–MED systems [35]. Despite being thoroughly-studied and well-known technology, the adoption and implementation with desalination applications are extremely limited. Interestingly, as of 2010, only two pilot facilities of combined AHP–MED systems have been constructed worldwide [34] and each employed closed-cycle AHPs.

The robustness and flexibility of MED for treating high scaling propensity water, especially those from sources with fluctuating quality such as subsurface agricultural drainage, make the technology an ideal candidate for treating subsurface agricultural drainage water and other brackish groundwater sources. Furthermore, since it is a thermal-driven technology that operates at relatively low temperatures (typically <70 °C), it is an ideal candidate for solar-thermal power when operating in regions with adequate solar performance. For this reason, MED was selected to be the technology to be deployed for pilot demonstration at the Panoche Drainage District. Furthermore, due to the high performance, operational flexibility, and the fact that they are also thermal-driven processes, the AHP was selected to be the vapor-compression technology of choice for maximizing the first- and second-law efficiency of the MED. Although AHPs are operated at a higher temperature than the MED—and therefore require higher thermodynamic availability energy sources than MED alone—they offer a favorable mating of medium-temperature concentrated solar power and low-temperature MED from a second-law perspective. Similarly, the increased simplicity, increased performance, and reduced capital investment of an open-cycle AHP design make it an ideal candidate in a first-of-its-kind fully-combined solar-powered AHP–MED desalination process system.

2. Materials and methods

The high-level objective in designing the desalination process system was to maximize first- and second-law efficiencies which directly minimizes losses, specific energy consumption, and reduces the capital cost associated with the concentrated solar thermal power system. In [25], Alarcón-Padilla and coworkers explained that one of the most important benefits of dramatically improving the specific energy consumption of traditional MED when considering solar power is the significant reduction in the size of the solar array; translating into significant reductions in capital costs as well as operating costs.

2.1. Process modeling and simulation

The modeling and steady-state simulation of the desalination process were performed using the OpenModelica language [36] for equation-oriented simulation. The empirical models of the thermophysical properties of saltwater were taken from [37]. The absorption fluid considered was an alkaline nitrate solution referred to as Alkitrate. Alkitrate was originally studied in [38–40] and an improved mixture ratio and vapor–liquid equilibrium correlations were given in [41]. The thermodynamic

properties of water were taken from the steam tables. For this study, the performance of the thermal power block, consisting of the concentrated solar-thermal receiver array and backup heater, was not modeled since it was assumed that the heat supplied to the process was constant (see Assumption 2.1-5).

Since the modeling and simulation of AHP–MED systems have been extensively studied, as discussed in the previous section, the intention of modeling and simulation here is not to explore the fundamental behavior of AHP–MED systems but to predict the performance of a real system and use data collected to validate the models. Such information may then be used to further explore and understand any anomalous experimental data or to verify modeling assumptions. This information is especially useful for minimizing uncertainty in designing and optimizing a large-scale commercial system. Unless noted otherwise, the modeling assumptions used herein are formalized in Assumption 2.1.

Assumption 2.1. Modeling assumptions

1. No heat losses to the environment
2. Constant temperature difference between the condensing steam and the boiling saltwater in each distillation effect
3. Each vessel is well-mixed
4. Thermophysical properties of seawater apply to brackish groundwater
5. Constant heat supply to the process at constant conditions
6. Product water density is constant at 1000 kg/m^3.

Assumption 2.1-6 may seem a bit strange since the density of water at a given temperature and pressure is well-known. However, since the conditions of the product water leaving the plant may vary depending on the operating conditions of the plant, a constant conversion (between mass and volume) is needed in order to make a fair comparison across all sets of data. This is only required because oftentimes the capacity of a desalination plant is given in [volume] / [time] units.

2.2. Pilot system

Fig. 1 shows the process flow diagram of the solar-AHP–MED pilot system (omitting the backup heater). A heat transfer fluid is pumped through the solar array, absorbing solar radiation, in a closed-loop configuration maintaining a set temperature. The fluid delivers the solar heat to the steam generator (also referred to as the generator or desorber) of the AHP. The AHP is an open-loop system consisting primarily of an absorber and a generator. The MED section acts as the condenser, expansion valve, and evaporator/chiller of a traditional closed-loop AHP, depicted in Fig. 2.

The flow rate of the heat transfer fluid sent to the AHP is manipulated to maintain a set point for the produced steam pressure leaving the generator. For example, if steam pressure drops, the controller will increase the heat input to the process and vice-versa. The reader should note that the solution pump, the solution expansion valve, and the economizer heat exchanger depicted in Fig. 2 are intentionally omitted from Fig. 1 for clarity.

The steam produced in the generator condenses in the first effect and its latent heat is captured by the saltwater, causing it to boil and produce steam. The boiling saltwater becomes increasingly more concentrated as steam is produced. The boiling saltwater is recirculated to increase the overall heat transfer coefficient and minimize scaling. A fraction of the recycled saltwater is sent forward to the second effect (i.e., feed-forward). The distillate steam produced in the first effect is condensed in the second effect and its latent heat is captured by the saltwater, causing it to remain boiling and producing steam. This process continues in the third effect in the same manner. The steam condensed in the first, second, and third effects is extracted as freshwater product. The distillate steam produced in the third effect is sent to the absorber. A final condenser is implemented to help control the overall mass balance of steam, if necessary depending on the operating conditions. The flow of the condenser cooling water is automatically manipulated to control the steam pressure in the final effect and the absorber to ensure that the mass of steam sent back to the heat pump is the same as what is sent to

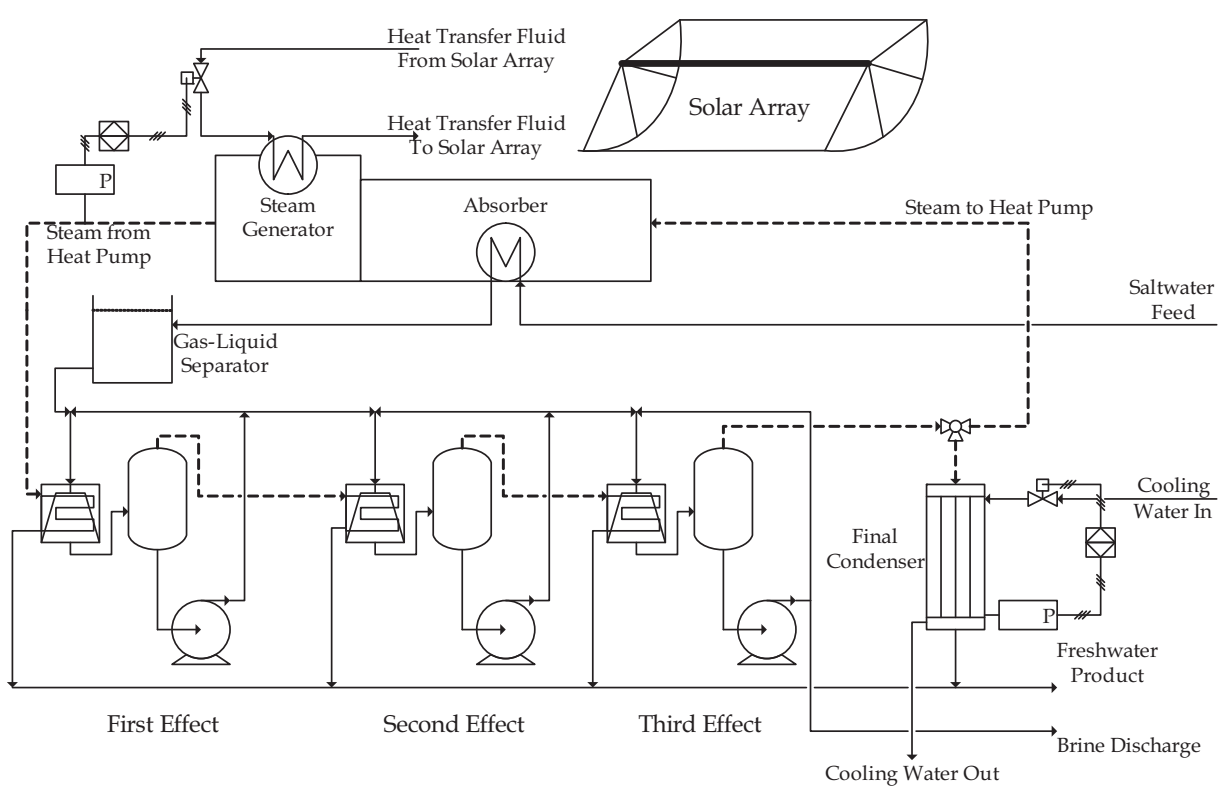

Fig. 1. The process flow diagram for the solar-powered open-loop AHP combined with an MED.

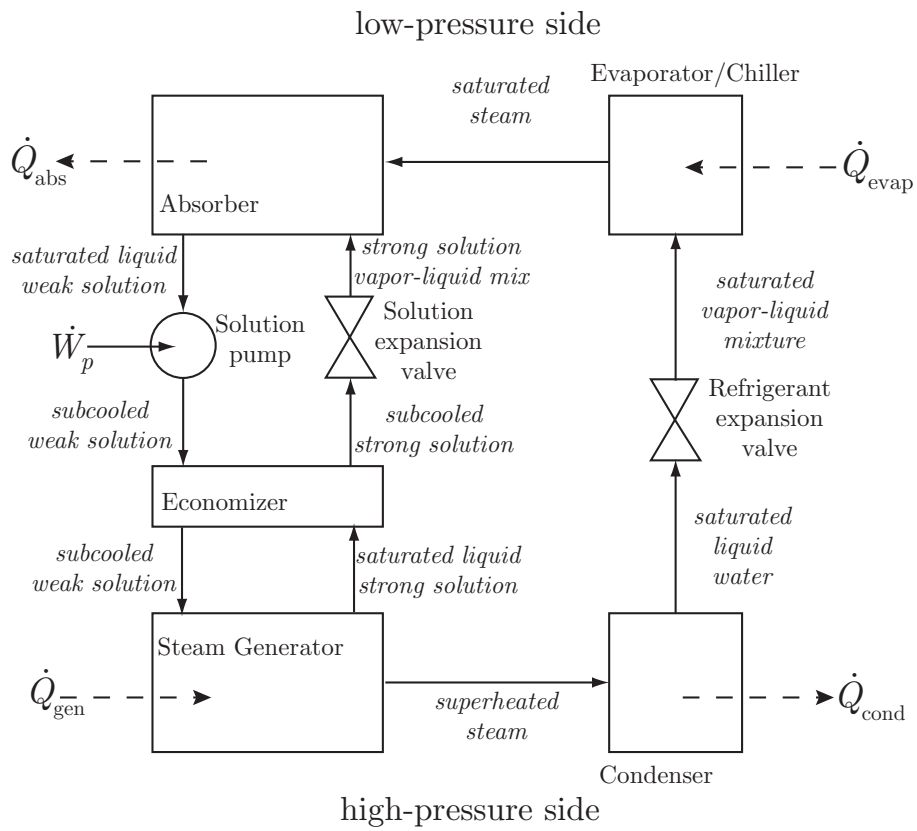

Fig. 2. The process flow diagram of a simple single-effect closed-loop absorption heat pump.

the first effect. Similarly, there is a recirculation valve that redirects the first-effect steam condensate back to the absorber to ensure the mass balance of water in the event that distillate steam production from the third effect is limited (e.g., during startup or a process upset).

Saltwater is fed to the absorber as cooling water where it is heated by the exothermic absorption phenomenon taking place within. The heated saltwater is taken as preheated feed and is then sent to a gas–liquid separator where any non-condensible gases are separated before being fed to the first effect of the MED, which operates under vacuum conditions.

The solar array used to power the process is shown in Fig. 3. The array is a large parabolic trough solar thermal concentrator supplied by SkyFuel, Inc. (Arvada, CO). It has an aperture area of 656 m^2 and a claimed peak thermal efficiency of 73.7% based on 1000 W/m^2 solar irradiance. The heat transfer fluid used to carry heat from the array to the process is Therminol XP (Eastman Chemical Company, Kingsport, TN) which is a food-grade mineral oil for minimizing any hazard for human or wildlife exposure and minimal environmental impact in the case of a spill. A backup heater (CEI Enterprises, Albuquerque, NM) fired by propane was also integrated into the solar oil loop in order to

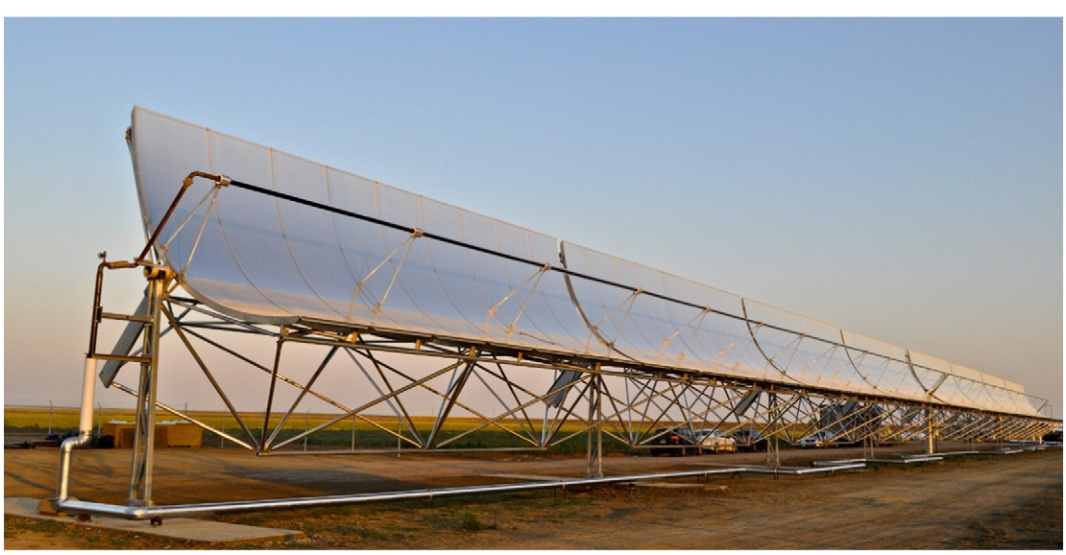

Fig. 3. The large parabolic trough solar thermal concentrator used to power the AHP–MED desalination process.

carry out experiments when solar conditions did not permit operation with the array.

The MED system (Fig. 4) is a re-purposed 3-effect plate-and-frame forced-circulation distillation train originally supplied by APV (SPX, Charlotte, NC) and refurbished for this project. Each effect consists of the rising/falling plate-and-frame evaporator/condenser heat exchanger and a horizontal cylinder disengaging chamber for separating the steam from the boiling saltwater. The MED is configured with each distillation effect having its own recirculation pump and a manual valve for controlling the recycle ratio for high-recovery experiments. A liquid-seal vacuum pump is required to evacuate the MED system during startup and to extract non-condensable gases that may build up during operation due to small vacuum leaks or gas entrainment in the feed. For the experiments conducted herein, there were no feed preheat heat exchangers for reducing the temperature of the condensate streams before they leave the control volume (i.e., hot freshwater leaves the process as the product). The only pretreatment used was microfiltration with a Pentek 100 μm polyester felt filter bag (Pentair, Milwaukee, WI) for removal of suspended solids and an injection of Belclene 200 antiscalant (BWA, Tucker, GA) at 2 ppm. In order to realize capital cost savings, the pilot system was constructed with minimal automatic control and no data-logging capabilities. All data must be recorded by hand predominantly read from analog gauges.

The AHP is a custom-designed single-effect unit constructed by Energy Concepts (Annapolis, MD). It has a peak cooling capacity of 90 tons of refrigeration (316.5 kW) and a COP for heating of 2.0; therefore capable of delivering about 600 kW of heat for the desalination section. For this paper, the absorbent used was the Alkitrate (53 $LiNO_3$: 35 KNO_3: 12 $NaNO_3$) alkaline nitrate mixture. A portion of the heat that evolves in the absorber due to the exothermic absorption of steam is captured by preheating the incoming saltwater feed.

The pilot system was operated in two distinct modes. First, the system was operated in "MED-only mode" without the heat pump for heat integration. The second mode is the "AHP–MED mode," which, as the name implies, is the fully-integrated AHP–MED system depicted in Fig. 1. For both modes of operation the agricultural drainage water feed was taken from the tile sump TS-3 at the Panoche Drainage District which contains a mixture of water drained from the surrounding 90,000 acres of agricultural operations. The salinity of the feed varied throughout operations but the break-down of the primary constituents is shown in Table 1. The representative analysis, from which the data in Table 1 was obtained, showed nearly a one-to-one correspondence between conductivity (in μS/cm) and TDS (in ppm). For the purposes of simulation, it will be assumed that the same proportionality applies.

2.2.1. MED-only operations

In MED-only mode, the heat from the solar-thermal receiver was consumed by a closed-loop boiler system raising driving steam at 30 kPa (69.1 °C) to power the MED system. The feed was not preheated and the distillate steam produced in the third effect was condensed in a final condenser, rejecting its latent heat to cooling water discharged to the environment. This mode is clearly the least efficient since there is no heat recovery at all. However, the objective was to characterize the baseline performance of the MED system. Multiple experiments were conducted with varying water recovery.

Table 1
The break-down of the predominant ions found in the drainage water treated from TS-3 based on a representative sample with 16,300 ppm TDS as verified by an independent laboratory.

Ion	Mass % of TDS	Method
Bicarbonate	1.942	SM2320B
Boron	0.332	EPA200.7/11.2
Calcium	3.336	EPA200.7/11.2
Chloride	22.595	EPA300.0
Magnesium	2.542	EPA200.7/11.2
Nitrate	1.100	EPA300.0
Potassium	0.032	EPA200.7/11.2
Selenium	0.002	EPA200.8
Silica	0.215	SM4500-Si D
Sodium	28.244	EPA200.7/11.2
Sulfate	39.659	EPA300.0
Trace minerals	0.001	EPA200.7/11.2
Total	100.00	

2.2.2. AHP–MED operations

The heat transfer fluid was delivered to the AHP from the solar array at a set point of 180 °C. Steam generated in the AHP was delivered to the first distillation effect at 30 kPa with varying degrees of superheat depending on the operating conditions of the heat pump. The concentrations of the absorbent solution in the generator (strong solution) and the absorber (weak solution) vary throughout operations and are predominantly self-regulating with some influence from the operator. The final condenser was kept in place, as discussed in the process description above, and the flow of cooling water was automatically manipulated to control the steam pressure in the final effect and the absorber. Multiple experiments were conducted with varying water recovery.

3. Results and discussion

3.1. MED-only operations

The MED-only experiments were conducted over a period of 6 days with a total of about 30 h of operations. The data recorded for each day were averaged and the performance of the plant was compared to the expected values given by simulation. A summary of the experimental

Fig. 4. Two views of the 3-effect plate-and-frame MED system used for the pilot demonstration before insulation was applied.

Table 2
The experimental results recorded during the MED-only operating phase. Note that these data are the time-averaged steady-state results for each day.

MED-only experimental results						
Day	1	2	3	4	5	6
<$\dot{Q}_{in}$> [kW]	121.6	134.4	114.1	73.51	83.43	96.37
<Prod. flow> [gpm]	1.528	2.083	1.934	1.058	1.101	1.489
<PR>	1.887	2.484	2.522	2.376	2.250	2.262
<SC> [kWh_{th}/m^3]	399.91	261.87	268.24	311.43	313.91	297.84
<Feed Cond.> [μS/cm]	21,800	23,000	24,300	23,390	26,300	23,170
<Recovery>	27%	30%	54%	41%	31%	60%
<Feed temp> [°C]	25.5	27	27	27	27	27
<ΔT_1> [°C]	7	9	9	9	9	8

data averaged over each day is given in Table 2. Here, the instantaneous performance ratio (PR) is defined as

$$PR \equiv \frac{\dot{m}_{prod}\Delta\hat{H}_v^{ref}}{\dot{Q}_{in}} \tag{2}$$

where $\dot{m}_{prod}$ is the total mass flow rate of product water in kg/s, $\Delta\hat{H}_v^{ref}$ is the latent heat of vaporization of water, in kJ/kg, at a reference temperature taken to be $T_{ref} = 73$ °C, and $\dot{Q}_{in}$ is the heat input to the process in kW. The PR value represents the mass of freshwater product that can be produced per mass of steam (at 73 °C) fed to the process. It is a metric for comparing the first-law efficiencies of thermal processes. The reader should note that the choice of the reference temperature is to maintain a standardized definition for PR for valid performance comparisons within the community.

It can be seen from the results in Table 2 that the performance of the system, in terms of SC and PR, increases with the percent recovery. This is relatively intuitive because at low recovery, a larger percentage of the total heat input is leaving with the concentrated brine discharge and therefore contributes less to freshwater production. Alternatively, at high recovery, a larger percentage of the total heat input is captured for freshwater production.

The MED system was modeled prior to construction of the pilot system to aid in the initial design and optimization. The inputs to the model were taken to be the solar heat input ($\dot{Q}_{in}$), the conductivity of the saltwater feed, the recovery, the temperature of the saltwater feed, and temperature difference between the condensing steam and the boiling temperature of the saltwater between each distillation stage (ΔT_1). Simulations at the conditions observed in Table 2 were performed and the results are found in Table 3 in terms of the percent deviation of the experimental data from the model:

$$\%\ \text{deviation} = \frac{(y_{measured} - y_{model})}{y_{model}} \cdot 100\%. \tag{3}$$

Parametric plots of the pilot data and the simulation data for the PR and SC values are shown in Fig. 5 as functions of the percent recovery. As previously mentioned, the system is expected to be more efficient, from a first-law perspective, as the recovery increases. From the results summarized in Table 3, the model is in good agreement with the actual pilot system. For MED-only operations, it was observed that Assumption 2.1-2 may not be valid. The data shows that the temperature difference in the first distillation effect is oftentimes two to three times more than that of the subsequent effects. This is likely due to the fact that the saltwater feed is not preheated in this mode and since the driving steam is not superheated, a portion of the latent heat goes towards heating the saltwater from the feed temperature up to saturation. Similarly, without preheating the saltwater before it is fed to the first effect, it carries with it a considerable amount of dissolved non-condensable gases. When the saltwater is heated in the first effect, gases come out of solution and effectively raise the operating pressure of the first effect. In order to maintain steam production, these gases must be continuously removed by the vacuum pump. Operating with the first effect partially open to the vacuum source reduces the operating pressure of the effect and therefore the vapor pressure of water in the effect, increasing the temperature difference.

Table 3
The relative deviation (%) of the experimental data from the model for MED-only operations.

MED-only model vs. data						
Day	1	2	3	4	5	6
Prod. flow	−1.2425	10.839	5.8581	−3.4953	−6.5838	−4.2316
PR	1.0777	21.031	7.3844	8.5713	8.479	−4.4519
SC	15.540	−16.831	−2.4577	5.4649	0.7616	9.1353

3.2. AHP–MED operations

The fully-combined solar-AHP–MED experiments were conducted over a period of about 50 days with nearly 400 h of up-time. The data pertaining to each experimental run were averaged and the performance of the plant was compared to the expected values given by the simulation. Fig. 6 shows the PR values and SC values as functions of the percent recovery and the saltwater feed preheat temperature (T_f). The numerical data can be found in Table 4.

The characterization of the performance of the system is more complicated than the MED-only configuration. This is because the performance is sensitive to two competing influences that are linked to one another: feed rate and recovery. Since the saltwater is being preheated in the absorber, its flow rate must be sufficient to capture the heat that evolves from the exothermic reaction. The flow rate of the saltwater feed can be controlled by manipulating the final preheat temperature, T_f (i.e., the temperature of the saltwater being fed to the first effect); however, T_f has an upper bound which is the steady-state temperature of the absorber. Alternatively, if T_f is set too low, then a large feed rate is required. Since the feed rate is constrained by the capacity of the MED system and the heat input, if T_f is set too low, then some portion of the saltwater feed would need to be discharged to the environment, reducing the PR value and increasing the SC value. If the system was to be operated at high recovery, then the performance of the MED section would increase (i.e., higher PR value and lower SC value) according to the results previously discussed. However, due to the aforementioned limited capacity of the system, more heat will be rejected to the environment in the form of discharging a portion of the preheated saltwater. In other words, the MED is more efficient at high recovery but more heat is captured by the heat pump when operating at low recovery (for the same heat input). The simulation results in Fig. 6 demonstrate this result clearly.

The experimental results for the AHP–MED operations appear to exhibit the expected behavior, predicted by the simulation, for the higher feed temperatures ($T_f \geq 65$ °C). However, for $T_f < 65$ °C the performance of the system seems to increase with recovery. The system seems to follow the behavior of the model until the data points for recovery greater

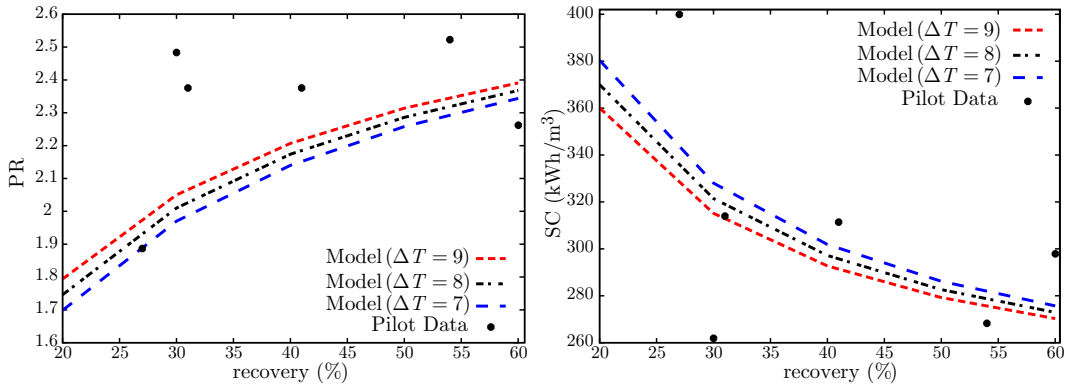

Fig. 5. The parametric plots of the PR values (left) and the SC values (right) as functions of the recovery and temperature difference between effects for the measured pilot data and the model data.

than 59%. This is most likely due to the inability of the simplified heat pump model to capture the internal behavior of the actual heat pump implemented in the pilot plant. Due to the limited published information on the thermophysical properties of Alkitrate, the accuracy of the heat pump model may be inadequate under certain operating conditions. As a whole, the data also appears to be fairly noisy, which contributes to the perceived departure from the simulation results. This is primarily due to the fact that the data was recorded manually from analog gauges, as mentioned in the process description above. The shear volume of data coupled with the time delay inherent to manual recording compounds this noise.

From Table 4, the best observed PR value was 5.269 and the best SC value was 133.2 kWh_{th}/m^3. The best observed PR value from the MED-only operations was 2.522 and the best SC value was 261.87 kWh_{th}/m^3. The AHP–MED values represent a 108.9% increase in the PR value and a 49.1% reduction in the heat input over the MED-only values. This corresponds with a peak COP of heating of between 1.966 and 2.089. From the simulation, the MED has a peak PR value of about 2.5 and a peak SC value of about 259 kWh_{th}/m^3. From the simulation, the AHP–MED has a peak PR value of about 4.61 (at $T_f = 75$ °C and 20% recovery) and a peak SC value of about 140 kWh_{th}/m^3. This represents about an 84% increase in PR value and a 46% reduction in SC over the MED-only system. Therefore, the peak observed performance of the pilot system was observed to be about 5%–13% better than the model.

3.3. Scaling on the MED heat transfer surfaces

As mentioned in Section 1, the MED technology was chosen due to its robustness and flexibility for treating high scaling propensity water sources. As part of the characterization of the performance of the solar-AHP–MED pilot system, the heat transfer properties of the first distillation effect were closely monitored. Monitoring the heat transfer performance allowed for the characterization of the impact of scaling on the heat transfer surfaces. The salts expected to be primarily responsible for adversely affecting performance, especially when treating agricultural drainage water, are $CaCO_3$, Na_2SO_4, and $CaSO_4$. This is because solid precipitation of each of these compounds occurs under heating. The first effect was considered to be the most susceptible to performance degrading scaling for two reasons. First, under normal operations, it is the highest temperature effect in the train. Second, although the saturation temperature of the steam delivered from the AHP is about 69 °C, the steam may exhibit up to 25 °C of superheat. This high temperature may cause local hot spots that cause rapid precipitation of the scaling compounds.

Following about 20 days of operation, the MED plates were opened and manually cleaned. Following this cleaning step, about 30 days of operations and experiments were carried out measuring the heat transfer characteristics of the first effect. In Table 4, the final column labeled ΔT_1 shows the observed steam saturation temperature difference in the first

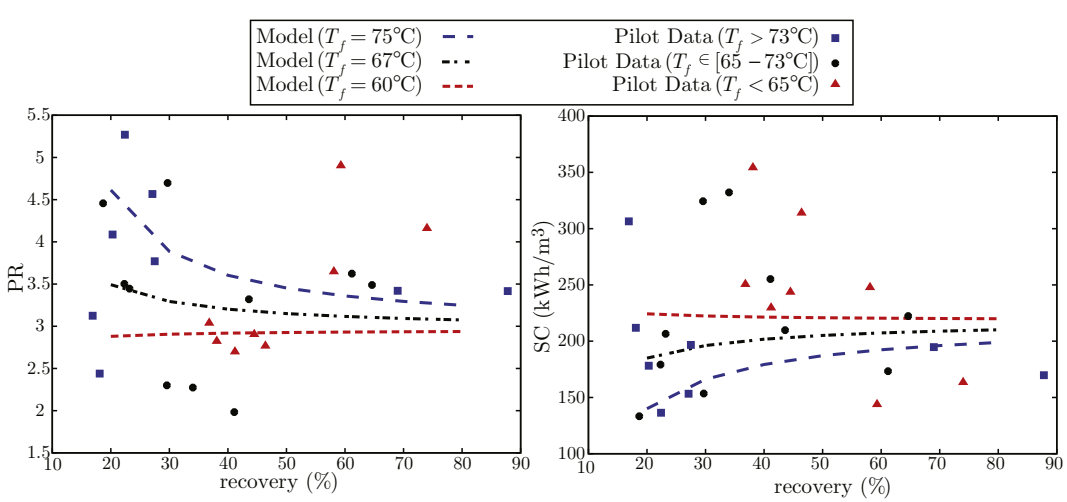

Fig. 6. The parametric plots of the PR values (left) and the SC values (right) as functions of the recovery and the feed preheat temperature for the measured pilot data and the model data.

Table 4
The experimental results obtained from the AHP–MED operations.

AHP–MED experimental results							
<$\dot{Q}_{in}$> [kW]	<Prod. flow> [gpm]	<PR>	<SC> [kWh_{th}/m^3]	<Feed Cond.> [μS/cm]	<Recov.> [%]	<T_f> [°C]	<ΔT_1> [°C]
114.4	1.97	2.699	229.7	22,800	41.2	60.0	3
120.5	2.86	3.770	196.7	24,900	27.5	75.0	5
117.0	1.61	2.439	211.9	25,100	18.1	75.0	5
100.0	3.09	4.567	153.3	25,800	27.1	75.0	2
108.3	1.40	1.982	255.2	25,500	41.1	70.0	2
84.7	1.68	3.039	250.6	25,500	36.8	60.0	2.5
119.4	1.57	2.301	324.3	22,500	29.6	68.0	2
82.8	2.14	3.417	169.8	1000	87.8	75.0	3
115.6	2.70	3.488	222.3	25,800	64.6	72.0	3
98.8	2.09	3.125	306.5	20,600	16.9	76.0	2
118.0	3.10	3.319	209.8	20,900	43.6	73.0	2
94.4	3.05	5.269	136.4	21,000	22.4	75.0	2.5
113.7	3.06	4.087	178.2	20,500	20.3	74.0	2.5
112.6	3.53	4.698	153.4	20,400	29.7	73.0	3.5
114.7	2.63	3.504	179.1	19,000	22.3	71.0	2.5
55.8	1.92	4.458	133.2	19,700	18.7	73.0	2
105.0	2.40	3.445	206.5	19,600	23.2	72.0	2.5
117.2	2.75	3.420	194.7	700	69.0	76.5	2.5
91.8	1.37	2.272	332.1	1000	34.0	65.0	2.5
107.7	1.99	2.768	314.0	1200	46.4	60.0	4.5
139.2	3.35	3.648	247.8	1100	58.1	54.0	5.5
125.2	3.71	4.904	143.9	800	59.3	63.5	5.5
124.6	3.36	3.625	173.4	25,900	61.2	66.6	6.5
137.7	3.70	4.161	163.5	31,700	74.0	63.0	5
133.4	2.53	2.905	243.7	32,200	44.5	53.9	3.5
133.2	2.37	2.824	354.3	34,500	38.1	51.2	2.5

effect (between the driving steam from the AHP and the produced steam from the saltwater) for each experiment. Fig. 7 shows the overall heat-transfer coefficient (U) of the first effect for nearly 30 days of operation following the initial cleaning. It can be seen that prior to in-situ cleaning (marked in Fig. 7), U exhibits an overall decreasing behavior likely due to scale build-up. The first eleven days of data show a peak value of over 2300 W/m^2-K and an average value of roughly 1600 W/m^2-K. The manufacturer's established range is 500–2500 W/m^2-K so this is likely to correspond with the optimal performance. The lowest value observed was about 700 W/m^2-K prior to in-situ cleaning. The average value prior to in-situ cleaning was about 1300 W/m^2-K. The plates were opened at this point and the heat transfer surfaces inspected prior to in-situ cleaning. It was confirmed that measurable scale formation was present. Without cleaning, the plates were reassembled and the in-situ cleaning was performed. In-situ cleaning consisted of opening each disengaging chamber and adding a dose of HCl. Each distillation effect was placed in full-recycle mode circulating the acid wash for about 45 min. Finally, the solution was neutralized and the saltwater was drained from each effect. From Fig. 7 the effects of cleaning can be seen. Following cleaning, U increased by approximately 54% and exhibits a generally increasing trend over the next few days. The corresponding affine regressions are also plotted in Fig. 7 demonstrating these trends. For comparison, the average U value following cleaning was about 1270 W/m^2-K.

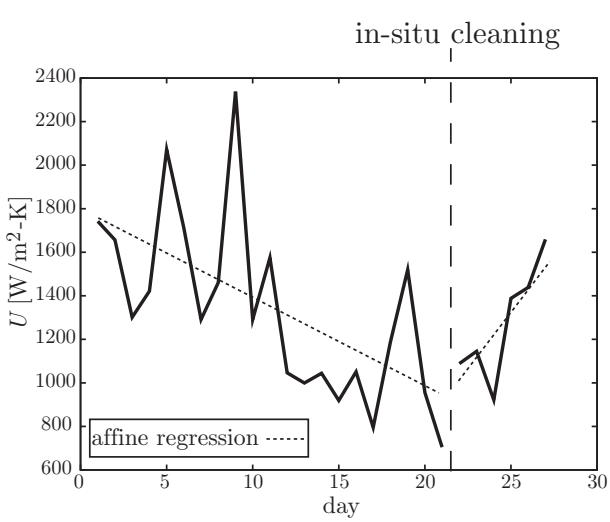

Fig. 7. The observed overall heat transfer coefficient (U) in W/m^2-K of the first distillation effect.

What is perhaps most important when discussing scaling is that no correlation between the system's overall (first-law) efficiency and scaling can be determined from the collected data. That is, even in the face of scaling, the performance of the system in terms of PR and SC remains unaffected. Furthermore, because of this, for a given heat input the freshwater production rate remains unaffected. It should be noted that this may not always be the case, especially when operating with many more distillation effects in the MED train. For instance, if substantial scaling is apparent, then for a given heat input a greater ΔT across the scaled heat transfer surfaces will be required, reducing the second-law efficiency of the MED system. Furthermore, if ΔT gets too large, then the overall temperature difference between the driving steam (from the generator) and the distillate steam from the final effect (i.e., the temperature lift) will increase and the COP of heating for the AHP will decrease according to the second-law of thermodynamics. If the COP decreases, then the PR value will decrease and the SC value will increase. To mitigate the effects of scaling, regularly scheduled in-situ cleaning is recommended when treating agricultural drainage water or other high scaling propensity water sources. Furthermore, to prevent hot spots and maintain uniform heating of the saltwater, it is important that the brine-side of the heat transfer surfaces remain fully-wetted. For this reason, it is imperative that the liquid holdup in each disengaging chamber is sufficient to maintain adequate hydrostatic head for the circulation pump to prevent cavitation and maintain forced circulation of the saltwater.

3.4. Commercial system projection

A number of changes to the pilot configuration will be made for the commercial process system design in order to increase flexibility, which in turn will increase the PR value over a wide range of operating conditions. Furthermore, a commercial implementation should implement

more heat exchangers for better heat integration in order to maximize first- and second-law efficiencies.

The most effective way to increase the PR value and decrease the SC value is to increase the number of distillation effects in the MED system. Ideally, an AHP should be operated with no more than around 30 °C temperature lift in order to minimize entropic losses. Considering a temperature difference between the MED effects of about $\Delta T = 3$ °C, this means that the commercial system should have about 10 effects. In [25,34] a 14-effect MED system was employed. Although exhibiting higher PR and lower SC values than a 10-effect MED, a 14-effect MED leaves very little margin for any performance degradation caused by scaling on the heat transfer surfaces of the MED. However, the 14-effect MED of [25,34] has integrated heat exchangers for reducing the temperature of the condensate by preheating the feed. In order to maximize the efficiency of the process, a commercial system must incorporate similar heat exchangers to capture the heat of the product water before it is lost to the environment.

The integration of the AHP to the MED will be the same as in the pilot system in that the steam produced in the generator will be the condensing steam driving evaporation in the first effect. However, a secondary source of steam will be added to this stream. The secondary source of steam will be produced from the absorber heat as an alternative cooling configuration to the pilot which preheats the saltwater feed with this heat. After the steam condenses in the first effect, a fraction is looped back to the absorber as cooling water similar to the closed-loop boiler configuration during the MED-only operations. By decoupling the saltwater feed rate from the heat pump, the combined cycle will be more flexible and allow for the operation at high recovery without negatively impacting performance. Furthermore, depending on the application, an open-cycle double-effect AHP may be favored (e.g. an open-cycle version of the double-effect AHP of [25,34]). Such devices have been known to have a higher second-law efficiency and therefore can have a COP for heating of up to 2.3. Furthermore, the open-cycle implementation will reduce capital cost and be more efficient from both a first- and second-law perspective when integrated with the MED system.

An open-cycle double-effect AHP using the LiBr–H_2O pair was modeled as coupled to a 10-effect MED with intermediate feed preheating such as in [25,34]. The simulation results show a PR value of 18.4 and an SC value of 34.9 kWh_{th}/m^3. With the implementation of 10 effects and the feed preheat heat exchangers, all streams leaving the system are no more than 39 °C. In the summer time, this is very close to ambient and no more than about 12 °C above the available cooling water source, minimizing entropic losses.

4. Conclusion

A first-of-its-kind solar-powered desalination process system was demonstrated for high recovery of high scaling propensity agricultural drainage water as a water reuse strategy at the Panoche Water & Drainage District in California's Central Valley. The system was designed with the energy–water nexus in mind in order to decouple water production from energy production as well as limit the use of fossil fuels by turning to renewables in the form of solar-thermal power.

The system was operated both with and without the AHP in order to demonstrate its effectiveness at reducing the overall energy requirement of the process. The experimental and simulation results showed fairly good agreement. The AHP–MED system performed very favorably from both an energy consumption viewpoint as well as a robustness viewpoint. The final results are summarized below.

- MED-only operated at a maximum PR of 2.522 and a minimum SC of 261.87 kWh_{th}/m^3.
- AHP–MED operated at a maximum PR of 5.269 and a minimum SC of 133.2 kWh_{th}/m^3.
- Design and simulation of an optimal AHP–MED utilizing ten distillation effects showed a PR of 18.4 and an SC of 34.9 kWh_{th}/m^3.
- Measured degradation of the first distillation effect overall heat transfer coefficient attributed to scaling which was recoverable with short-downtime non-toxic in-situ cleaning.
- No degradation in overall system performance (from a first-law perspective) was measured as a result of scaling.

The future research objectives with this project will include performing a rigorous analysis of the thermodynamics of the fully-combined solar-AHP–MED process system. This will allow for further optimization of the system as currently configured by identifying the largest source(s) of irreversibilities in the current implementation. Furthermore, two new devices will be incorporated into the pilot system: thermal storage for off-peak operation and an integrated brine crystallizer for zero-liquid discharge. Finally, upon completion of these tasks and utilizing the results obtained with this study, a larger-scale solar-AHP–MED will be constructed at the same site as a long-term agricultural drainage reuse solution.

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Eligibility Checklist		
Applicable?		
Yes	**No**	**Eligibility Criteria**
Local Agency Certification		
☑	☐	1) Local Agency: The applicant must provide a written statement (and additional information if noted) containing the appropriate information outlined below: - Is the applicant a local agency as defined in CA Water Code §78640(b)? - What is the statutory or other legal authority under which the applicant was formed and is authorized to operate? - Does the applicant have legal authority to enter into a grant agreement with the State of California? - Describe any legal agreements among partner agencies and/or organizations that ensure performance of the proposal and tracking of funds.
☑	☐	2) Basin Plan: Is each project consistent with a Regional Water Quality Control Plan (Basin Plan)?
Urban Water Suppliers		
☐	☑	3) Urban Water Suppliers: List the urban water suppliers that will receive funding from the proposed grant. Please provide the agency name, a contact phone number and an e-mail address. Those listed must submit self-certification of compliance with CWC §525 *et seq*. and AB 1420 (links to appropriate forms in Appendix A).
☐	☑	4) Urban Water Suppliers: Have all of the urban water suppliers listed in #3 above submitted complete Urban Water Management Plans (UWMPs) to DWR? Have those plans been verified as complete by DWR? If not, explain and provide the anticipated date for having a complete UWMP.
Groundwater Projects/Users		
☐	☑	5) Groundwater Projects: Does the proposal include any groundwater projects or other projects that directly affect groundwater levels or quality? If so, provide the name(s) of the project(s) and list the agency(ies) that will implement the project(s).
☐	☑	6) Groundwater Projects: For the agency(ies) listed in #5 above, how has the agency complied with CWC §10753 regarding Groundwater Management Plans (GWMPs)?
☐	☑	7) Groundwater Users: List the groundwater users that will receive funding from the proposed grant. Please provide the agency/organization name, a contact phone number, and an e-mail address. If there are none, please indicate so and skip to #9.
☐	☑	8) Groundwater Users: Have all of the groundwater users, listed in #7 above met the requirements of DWR's CASGEM Program? http://www.water.ca.gov/groundwater/casgem/ If not, explain and provide the anticipated date for meeting the requirements.
Agricultural Water Suppliers		
☐	☑	9) Agricultural Water Suppliers: List the agricultural water suppliers that will receive funding from the proposed grant. Please provide the agency/organization name, a contact phone number and e-mail address. If there are none, please indicate so and go to #11.
☐	☑	10) Agricultural Water Suppliers: Have all of the agricultural water suppliers, listed in #9 above, submitted complete Agricultural Water Management Plans (AWMPs) to DWR? Have those plans been verified as complete by DWR? If the plans have not been submitted, please indicate the anticipated submittal date.
Surface Water Diverters		
☐	☑	11) Surface Water Diverters: List the surface water diverters that will receive funding from the proposed grant. Please provide the agency/organization name, a contact phone number, and an e-mail address. If there are none, please indicate so.
☐	☑	12) Surface Water Diverters: Have all of the surface water diverters, listed in #11 above, submitted surface water diversion reports to the State Water Resources Control Board in compliance with requirements outlined in Part 5.1 (commencing with §5100) of Division 2 of the CWC? If not, explain and provide the anticipated date for meeting the requirements.