

Public Meeting

To Solicit Comments on the
2015 Draft Guidelines and
Proposal Solicitation Package

San Joaquin River Water Quality
Grant Program



September 9, 2015 – Modesto
September 10, 2015 – Fresno

Purpose of Meeting

- Provide background on Grant Program
- Describe components of Draft Guidelines and Proposal Solicitation Package
- Solicit public comments and questions
- Invite written comments
 - Comments due Friday September 25, 2015

Agenda

- Background on Grant Program
- Draft Guidelines & PSP
 - Eligibility
 - General Program Requirements
 - Funding Amounts
 - Proposal Solicitation
 - How to Apply
 - Scoring Criteria
 - Schedule
- Comments and Questions

Background & Purpose

Background & Purpose

■ Program

- Funded by Proposition 84
- Part of Bay Delta funding legislation

■ Purpose

- Improve water quality in the San Joaquin River
- Reduce or eliminate discharge of subsurface agricultural drainage water to the SJR

■ Why the San Joaquin River?

- SJR drains to the Delta, so improvements to the river mean improvements to the Delta

Guidelines & PSP

Eligible Applicants

- Local agencies
 - Cities
 - Counties
 - Districts
 - Joint Powers Authorities (JPA)
 - Other political subdivisions of the State
 - Public utility
 - Mutual water companies
 - Federally recognized tribes as a part of a JPA
- Project proponents
 - May collaborate with a local agency

General Eligibility Criteria

- Urban Water Suppliers
 - Urban Water Management Plans
 - AB 1420 & Best Management Practices (BMPs)
 - Water Meter Compliance
- Agricultural Water Suppliers
 - Ag Water Management Plans
- Surface Water Diverters
 - Surface water diversion reporting compliance
- Groundwater Users or Projects
 - CASGEM
 - Groundwater Management Plans

Eligible Projects

- Reduce or eliminate discharges of *subsurface* agricultural drainage water
 - To the San Joaquin River
 - From the west side of the San Joaquin Valley
- Must provide public benefit
- Satisfy eligibility requirements of Guidelines & PSP

Eligible Projects - Preferences

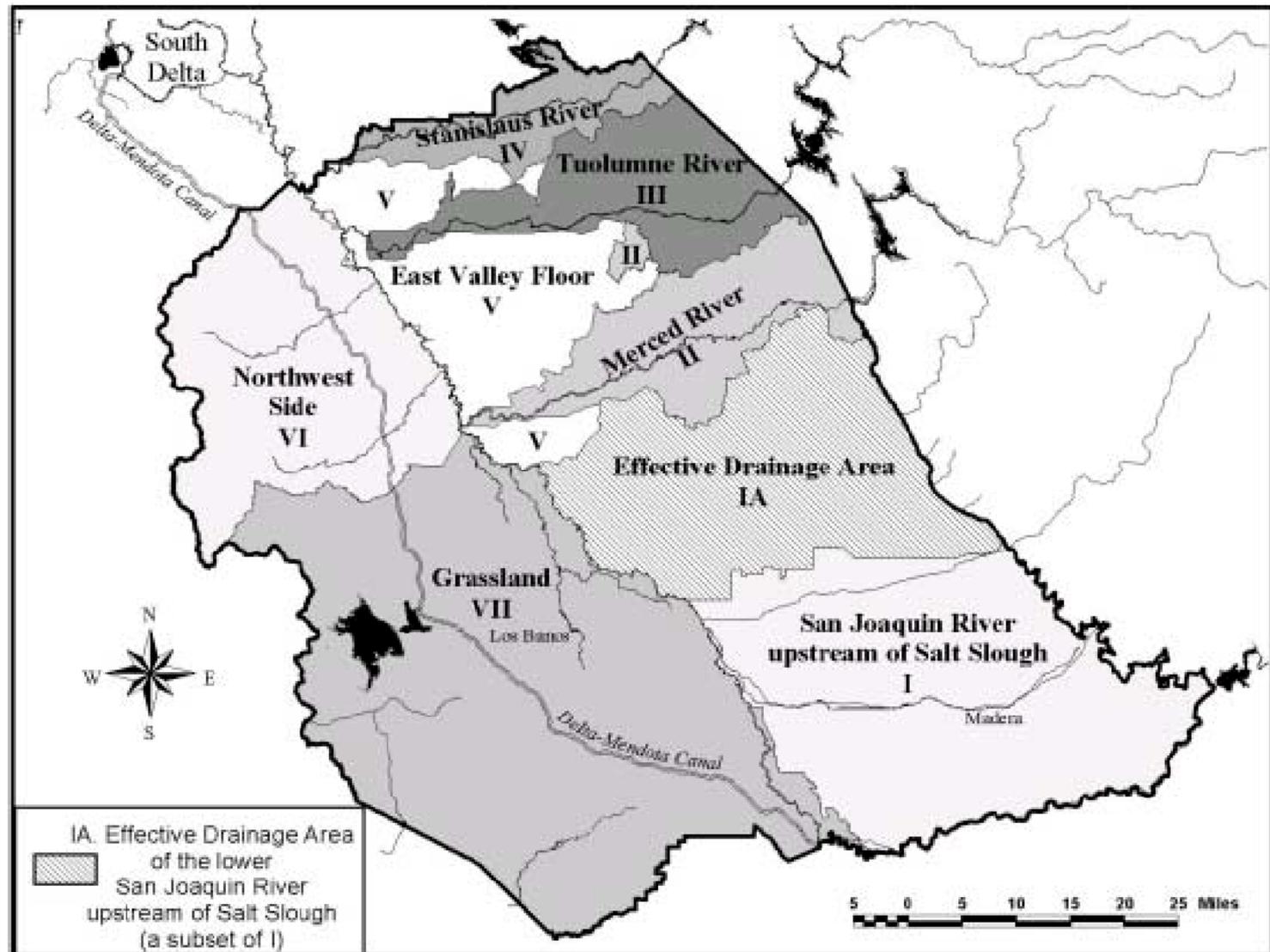
- Preference will be given to projects that:
 - Protect water quality and the environment.
 - Improve water supply reliability.
 - Integrate multiple water quality strategies/benefits (e.g. agricultural water use, land use, watershed management, ecosystem restoration, and salt management).
 - Improve water quality or water supply reliability for disadvantaged communities (DACs).
 - Include regional projects or programs that are identified in an IRWM Plan that accomplish the regional goals defined by CWC §10537.

Geographic Requirements

- Legislation requires that projects must reduce or eliminate drainage from the *west side of the San Joaquin Valley*
- Definition:
 - Projects must be located in the portion of the Lower San Joaquin River (LSJR) watershed that drains, either naturally or mechanically, into the San Joaquin River between Salt Slough (southern, upstream boundary) and Mossdale Bridge (northern, downstream boundary)

Geographic Requirements

Figure 3-4: LSJR Subareas



Program Requirements

- Conflict of Interest

- Participants are subject to State and Federal conflict of interest laws

- Confidentiality

- Confidentiality protections are waived once proposal has been submitted to DWR

- Labor Code Compliance

- Grantees must adopt and enforce a labor compliance program.
- Compliance is an obligation of the grant recipient and sub-recipients (i.e., individual project proponents that will receive grant funds).

Program Requirements

- Environmental permits & CEQA
 - All project proponents are required to obtain necessary permits, licenses, and approvals to comply with environmental requirements
 - DWR's Environmental Information Form (EIF) to be completed by successful applicants
 - Grantees will be required to submit CEQA compliance documents to DWR for concurrence prior to final funding approval of project by DWR

Grant Amounts

- Total Available Grant Amount: \$36.6 million
- Maximum grant cap: None
 - Scalability will be assessed if multiple projects funded
- Only one proposal per applicant will be accepted.
- Proposals may include multiple projects that meet eligibility requirements

Funding Match

- Minimum required funding match: 25%
- Can include federal funds, local funds, or donated (in-kind) services from non-state sources
- Must meet reimbursable cost requirements
- Costs must be incurred after June 20, 2014
- Calculated as percentage of total project costs

Funding Match for DACs

- For proposals that include one or more projects that meet the needs of a disadvantaged community (DAC), a funding match reduction can be requested
- Minimum required funding match for DAC: 1%
- Other funding match requirements are the same

Reimbursable Costs

- Costs incurred after execution of grant agreement and that are reasonable costs associated with...
 - engineering, design, land and easement
 - legal fees
 - preparation of environmental documentation and environmental mitigation
 - project implementation including administrative costs and incidental costs

Reimbursable Costs

- Costs incurred prior to the execution of the grant agreement that are reasonable costs directly associated with...
 - Grant application preparation
 - Costs of conducting income surveys for the purpose of establishing disadvantaged community status
- Advance funds cannot be provided

Non-Reimbursable Costs

- Costs for preparing and filing a grant application belonging to another solicitation.
- Operation and maintenance costs, including post-construction project performance and monitoring costs.
- Purchase of equipment not an integral part of the project.
- Establishing a reserve fund.
- Purchase of water supplies.

Non-Reimbursable Costs

- Replacement of existing funding sources for ongoing programs.
- Support of existing punitive regulatory agency requirements or mandates in response to negligent behavior.
- Purchase of land in excess of the minimum required acreage necessary to operate.

Non-Reimbursable Costs

- Payment of principal or interest of existing debt unless the debt is incurred after effective date of a grant agreement with the State, the State agrees in writing to the eligibility of the costs for reimbursement before the debt is incurred, and the purposes for which the debt is incurred are otherwise eligible costs.
- Overhead not directly related to project costs.
- Meals, food items, or refreshments.
- Costs associated with travel, mileage, lodging, or per diem.

Proposal Solicitation

- Draft Guidelines and PSP is posted on DWR's website:

<http://www.water.ca.gov/drainage/grants/sjrwq/index.cfm>

How to Apply for a Grant

- Review and complete requirements outlined in the *Guidelines and Proposal Solicitation Package*
- Complete proposals due no later than:

5:00 PM on January 15, 2016*

- All application components must be submitted together, at the same time
- Must be submitted *electronically* and *hardcopy*

Electronic Submittal

- Complete application online using GRanTS
- Required content of application is provided in Table 1 – Grant Application Checklist (page 13)

Table 1 – Grant Application Checklist

APPLICANT INFORMATION TAB		
<i>The following information applies to the applicant and the overall proposal. Project-specific information should be detailed in the separate "Projects Tab" provided in the GRanTS application.</i>		
APPLICANT INFORMATION		
<input type="checkbox"/>	1	<u>Organization Name</u> : Provide the name of the agency/organization responsible for submitting the application. Should the proposal be successful, this agency/organization will be the grantee.
<input type="checkbox"/>	2	<u>Tax ID</u> : Tax ID is automatically displayed for registered organizations. Verify the applicant's federal tax ID number.
<input type="checkbox"/>	3	<u>Point of Contact</u> : <ul style="list-style-type: none"> • Select "Existing Register Users" to select the registered user associated with the organization specified above. The rest of the contact information (Division, Address, E-mail, etc.) are automatically populated once the above registered user is selected. • Select "Add New User" to add an unregistered user. Please select Division (address will be automatically populated) and type the First Name, Last Name, E-mail, and Phone (Direct) of the new user. Please note that the e-mail address will be the new user's login name.
<input type="checkbox"/>	4	<u>Point of Contact Position Title</u> : Position title.
<input type="checkbox"/>	5	<u>Proposal Name</u> : Provide the title of the proposal (<i>maximum character limit: 150</i>)

Hardcopy Submittal

- Applicants must submit ONE complete application in hardcopy
 - Preferably double-sided
 - Includes wet signatures on attachments requiring signatures
- Hardcopy application includes:
 - Print-out of GRanTS application
 - Print-out of applicable attachments

Scoring Criteria

- Scoring Criteria is provided in Table 5 – Evaluation Criteria for GRanTS (page 26)
- Qualitative and quantitative evaluation criteria

Scoring Criteria	
Category A – Qualitative Criteria	
A-1 Applicant Authority	Pass/Fail
A-2 Funding Match	Pass/Fail
A-3 Attachments	Pass/Fail
Total Possible Maximum – Category A	Pass
Category B – Quantitative Criteria	
B-1 thru B-14	Variable points values
Total Possible Maximum – Category B	130

Grant Schedule

Milestone or Activity	Draft Schedule*
Draft Guidelines/PSP released for public review	August 7, 2015
Public meeting to solicit comments on Draft Guidelines/PSP – Modesto	September 9, 2015
Public meeting to solicit comments on Draft Guidelines/PSP – Fresno	September 10, 2015
Due date for comments on Draft Guidelines/PSP	September 25, 2015
Final Guidelines/PSP Released	November 2015
Applicant Assistance Workshop	December 2015
Grant Applications Due	Friday, January 15, 2016
Draft Funding Recommendations Released	May 2016
Public Meeting on Draft Funding Recommendations	May 2016
Due date for comments on Draft Funding Recommendations	May 2016
Final Funding Recommendations Released	July 2016
Begin Grant Agreement Process	July 2016

**Future dates are tentative and are subject to change.*

Questions & Comments

Dates to remember...

Public Comments due **September 25, 2015**
Final Guidelines/PSP issued **November 2015**
Applications due **January 15, 2016***
Draft funding recommendations issued **May 2016**
Letters of Commitment sent **late July 2016**

For more information, contact:
Maggie Dutton
Margaret.Dutton@water.ca.gov
(559) 230-3303