

**Frequently Asked Questions
Proposition 1E Stormwater Flood Management Grant Program (Round 1)**

#	Question Type	Question	Answer	Date Posted
1	IRWM Plan Standards and Adoption	The SWFM PSP states that in order to demonstrate eligibility, a region must submit "verification that the IRWM Plan addresses all the Plan Standards as listed in the Guidelines." Does this mean that at the time a proposal is submitted that the plan needs to be up to current standards described in the guidelines?	At the time of application, the SWFM applicant must have an adopted IRWM Plan. However, if the Plan was adopted on or before September 30, 2008, then grantee must enter into a binding agreement with DWR to update the IRWM Plan, within two years from the date of the agreement signed, that addresses all the Plan Standards as listed in the Guidelines.	12/14/2010
2	Funding Match Eligibility	Are funds provided by property tax increment financing (via a Redevelopment Agency) considered State funds?	No. Property tax increment financing is not considered State funds.	3/11/2011
3	Project Eligibility	If a portion of proposed project is approved for Prop 84 Subventions, are the other portions eligible for Prop 1E SWFM funding, assuming the 50% non-State match is met?	Yes, as long as the proposed project meets the SWFM PSP eligibility requirements and is consistent with an adopted IRWMP. Note that Prop 84 Subvention funds will not be considered as non-State match for the SWFM grant program.	3/11/2011
4	Funding Eligibility	Can a proposed project receive both Prop 84 Implementation grant funds and Prop 1E SWFM grant funds?	Yes, a project can be funded by Prop 84 Implementation grant and Prop 1E SWFM grant. However, project benefits costs and "cost share" must be clearly shown as different for respective project components. Otherwise, this would be considered double counting (see Grant Guidelines, Page 32, Appendix B).	3/11/2011
5	Reimbursement	Are grant reimbursable costs based on: (a) Work carried out after September 1, 2011? or (b) Invoices submitted after September 1, 2011?	Costs will be reimbursed for work carried out after the grant award date. The SWFM PSP assumes this date to be September 1, 2011, but the actual date may be different.	3/11/2011
6	Agreement Timeline	What is the timeline that should be estimated between award of the grant (September 1, 2011) and execution of the grant agreement?	The time between the grant award date and execution of the grant agreement varies from grantee to grantee due to various reasons. However, for estimation purposes, you may want to assume three to six months.	3/11/2011
7	Questionnaire/ Attachment	If an applicant answers 'no' to the application submittal questions (addendum) 14, 15, and 16, will that affect its eligibility?	These questions are associated with the new funding target identified in the SWFM addendum due to the enactment of SB 855. They will not affect an application's eligibility or scoring.	3/11/2011
8	Funding Match	Is federal funding an eligible component of matching fund?	Yes. Any non-state fund can be claimed as matching fund.	3/11/2011
9	Economic Analysis	For a project that will be completed in separate phases, how should the economic analysis be structured in the application?	Costs for all phases needed to achieve the full benefits must be included. If the project is in a second or later phase, then sunk costs and opportunity costs should be included as appropriate. Sunk costs: Costs that have already been spent and cannot be recovered or salvaged, need not be included. Examples of sunk costs could be money spent on CEQA compliance, or concrete work if it would have no salvage value. Opportunity costs: Items already purchased that have value (e.g. land, machinery that could be re-sold or used elsewhere), should be shown as a cost at its current value. If there will be future phases, and the application is claiming benefits from those future phases, the costs of the future phases must be included in the cost table.	3/11/2011
10	Project Schedule	Is there a deadline for the completion of a project?	As stated in the PSP on page 18, under Schedule, an assumed end date of the grant agreement will not be established by DWR, instead applicants must include a reasonable estimate of the end date, based on their Proposal including time for any final reports and invoicing.	3/11/2011
11	Funding	Can funding sources among the non-state contributions be swapped?	Yes, as long as the overall level of match remains the same.	3/11/2011
12	Funding Match	How far back can expenditure of funding match portion extend?	As stated in Guidelines (page 28), costs incurred after September 30, 2008, and before grant award are eligible for match, but not eligible for reimbursement. For IRWM Implementation Grants and SWFM Grants, only work performed after the grant is awarded will be eligible for reimbursement.	3/11/2011
13	Project Schedule	When must a project construction begin?	The PSP does not specify a specific date that construction must begin, however the maximum available points for the "Schedule" criterion cannot be awarded unless a project is ready to proceed within 6 months from the grant award date (the PSP assumes September 1, 2011 as the grant award date).	3/11/2011
14	CEQA	When does CEQA need to be completed with respect to the submitted schedule?	If CEQA has not been completed upon execution of the agreement, DWR would prefer the sub grantee (Lead Agency) not begin construction until DWR has made their responsible agency review and concurrence with the Lead Agency's final CEQA document. DWR will only reimburse for activities other than construction until DWR has reviewed and concurred with the Lead Agency's final CEQA document. In the IRWM grant program, CEQA is more of a timing of disbursement for construction activities than an agreement execution issue.	3/11/2011

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15	Economic Analysis	How does one weight multiple benefits of a single project, say a project that simultaneously reduces storm water discharges, while increasing the amount of water available for park irrigation?	A project yielding multiple benefits may count the separate benefits cumulatively, as long as the applicant is sure it is not 'double counting' wherein mutually exclusive benefits accrue simultaneously for a given parcel of water. For the example above, the benefits could be separate and cumulative, to the extent that the storm water captured and not discharged could be later beneficially utilized for irrigation. Conversely, if all the captured water could not be used for irrigation, then the benefit of the captured storm water for irrigation would be concomitantly less than the full benefit realized if all the water could be used for irrigation.	3/11/2011
16	Economic Analysis	If our project does not have water supply benefits can it still get full credit in the economic analysis section?	As stated in the PSP's Table 4 - Supplemental Scoring Criteria and Scoring Standards, to receive a score of 3-4 for the "Economic Analysis - Flood Damage Reduction and Water Supply Benefits" criterion, a proposal must show "high levels of flood damage reduction AND water supply benefits."	3/11/2011
17	Economic Analysis	In order to quantify recreation benefits associated with a detention basin, is a park worth what it cost to build it? In this case, the basin doubles as a soccer field or habitat.	At a minimum the park is worth what it costs. Alternatively, if studies which have monetized the benefits of a park are available, it may be possible to use those monetized values. This is called the "Benefits Transfer" methodology. However, the characteristics and geographical location of the study site must be similar to the proposed site, otherwise this methodology cannot be employed. The other alternative is the use of "least cost alternative" methodology.	3/11/2011
18	Economic Analysis	Can business losses for road flooding that prevents potential customers from accessing those businesses be quantified?	Try to estimate the time it would take customers to detour around the flooding and apply a value to that or report lost revenue.	3/11/2011
19	Application Scoring	If an application includes multiple projects, will each project be scored separately or will they be scored jointly similar to Prop 84 Implementation?	It depends: a. If an application includes multiple projects where each project is to be independent from one another, with distinct work plans, budgets, schedules, economic analyses, etc., then each project will be scored separately. Each project, and the corresponding attachments, will need to be submitted in separate applications via BMS. A single agency is permitted to submit multiple applications in BMS. b. If an application includes multiple projects where projects are linked together, with a joint work plan, budget, schedule, economic analysis, etc., then these projects will be considered as one project and scored accordingly. All projects, and the corresponding attachments, may be included in a single BMS application.	3/17/2011
20	BMS PIN	Where do I get the 5-digit PIN for the filing name convention referenced on page 10 of the PSP?	BMS does not assign a 5-digit PIN. Ignore the 5-digit PIN in the file naming convention. The reference to a PIN was removed via errata.	3/22/2011
21	Economic Analysis	In reference to Table 9 - Update Factors of the PSP, if a project is using cost estimates prior to 2002, what Update Factor should be applied?	As an update to Table 9, the following Update Factors should be applied: 1997 1.34 1998 1.32 1999 1.29 2000 1.25 2001 1.21	3/23/2011

This table will be periodically updated; however, DWR will not circulate an email each time an update occurs. Please compare the "last updated" date with any previous versions you may have printed to ensure you have the latest information.