

**Proposition 50 Chapter 8
Integrated Regional Water Management
Grant Program
Supplemental Funding Guidelines
And Proposal Solicitation Package
March 2010**



The Natural Resources Agency
Department of Water Resources
Division of Integrated Regional Water Management

I. INTRODUCTION

Proposition 50, the Water Security, Clean Drinking Water, Coastal and Beach Protection Act of 2002, was passed by California voters in November 2002 and authorized the Legislature to appropriate \$500 million for Integrated Regional Water Management (IRWM). The intent of the IRWM Grant Program is to encourage integrated regional strategies for management of water resources and to provide funding for projects that protect communities from drought, protect and improve water quality, and improve local water security by reducing dependence on imported water. The Department of Water Resources (DWR) and State Water Resources Control Board (SWRCB) jointly administer the Proposition IRWM Grant Program.

The Proposition 50 IRWM Grant Program included two separate grant types - planning and implementation grants. DWR and the SWRCB awarded funds for both types of grants in two grant funding cycles. Total funds awarded can be found on the DWR website.

DWR has approximately \$7.4 million in funding for the Proposition 50 IRWM Implementation Grant Program. As a result, an abbreviated proposal solicitation package (PSP) is being issued to allow active Grantees who, under the Proposition 50 IRWM Implementation Grant program were partially funded, to compete for this supplemental grant funding. These Grantees successfully competed in prior grant solicitation. However, their funding requests were only partially met due to limitations on the available funds. This grant solicitation is targeting these successful grant applicants in an effort to partially fulfill their original grant request.

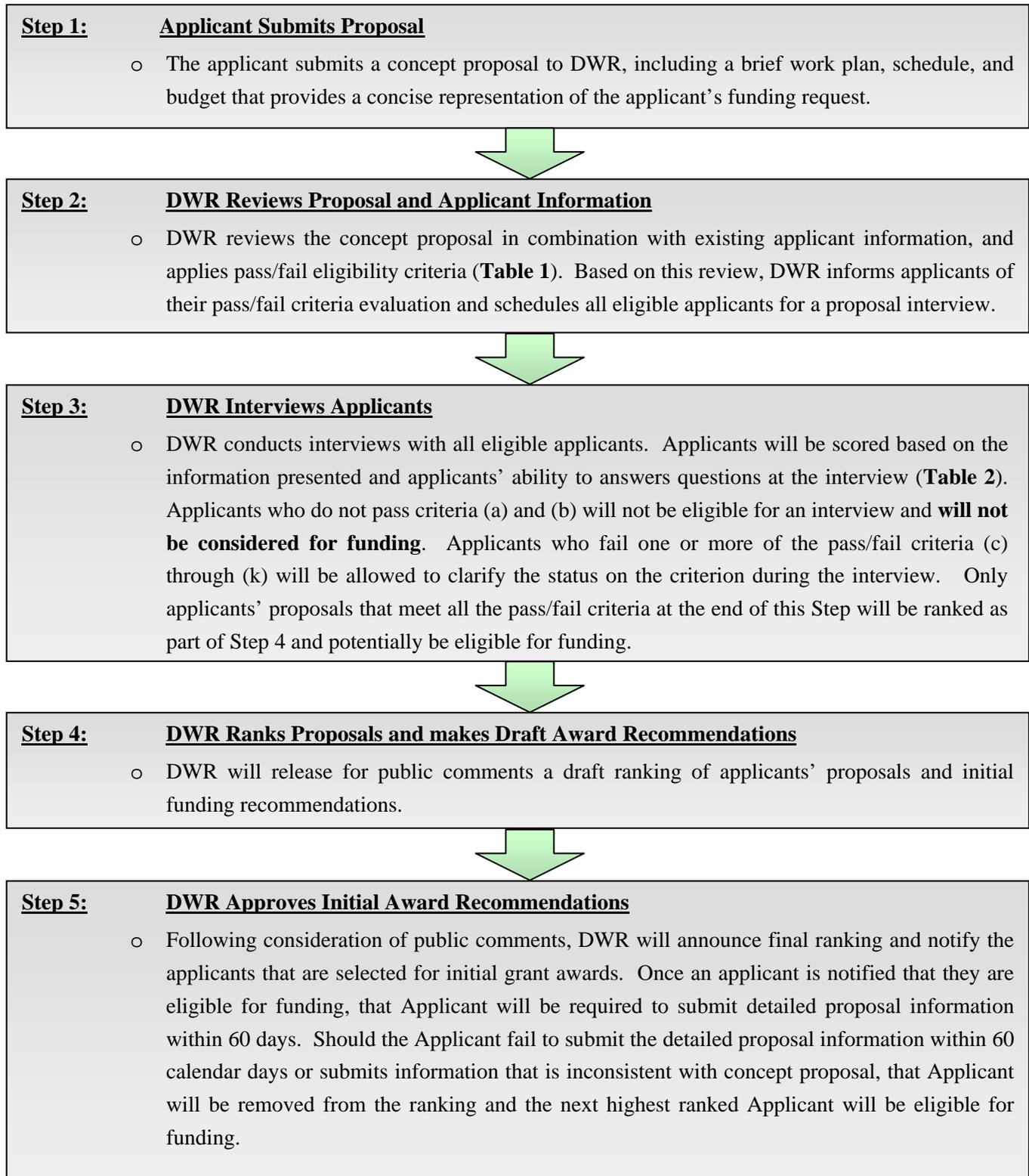
DWR has developed an abbreviated review and award process for this supplemental funding for the following reasons:

- ◆ The grant candidate pool is limited to the partially-funded Implementation Grantees
- ◆ The available funding is limited
- ◆ A desire to minimize the applicant's and DWR's administrative burden while maintaining a competitive grant program that ensures wise investments of State grant funds.

This process consists of five (5) steps which are illustrated in **Figure 1**. The specific detailed requirements and process necessary to complete these steps are described within chapter II through V of this PSP. It should be noted that "proposal" refers to a project or suite of projects and actions that are proposed for funding.

FIGURE 1**Supplemental Funding Review and Award Process Flow Chart**

The following flow chart provides a visual illustration of the review and award process:



II. Requirements

A. EXISTING PROPOSITION 50 REQUIREMENTS

Under the existing Proposition 50 program there were both Round 1 and Round 2 Guidelines. However, For this submission to be eligible for funding, proposal applications must be prepared in compliance with the existing DWR and SWRCB *Proposition 50 Chapter 8 Integrated Regional Water Management Grant Program Guidelines Proposal Solicitation Packages Round 2 (June 2007)*. For this reason, the applicant is encouraged to read through these documents carefully. The documents may be found at:

http://www.water.ca.gov/irwm/docs/prop50/round2/guidelinesp/Round_2_Guidelines_060107_Final.pdf

B. SUPPLEMENTAL ELIGIBILITY FUNDING PSP REQUIREMENTS

In addition to the guidelines and requirements outlined within the above referenced document, proposals must meet the following requirements to be eligible for supplemental funding. In the event of differences the requirements of this document supersede those contained in *Proposition 50 Chapter 8 Integrated Regional Water Management Grant Program Guidelines Proposal Solicitation Packages Round 2 (June 2007)* documents.

Eligibility Requirements

Eligible Applicant – Only partially funded Proposition 50 IRWM Implementation Grant Rounds 1 or 2 Grantee recipients are eligible to receive funding. The Grantee's funding agency (i.e. DWR or SWRCB) does not affect eligibility.

Eligible Proposals – Proposals must either be projects not already funded by the existing IRWM Implementation grant or a definitive new phase of a project that is currently included in the IRWM Implementation grant agreement. A new project or new phase of an existing project is defined as:

- ◆ A project that was not submitted in either the Proposition 50 IRWM Implementation Grant Rounds 1 or 2 application but is included in the relevant IRWM plan at the time the application for supplemental funding is submitted¹,
- ◆ A completely distinct or separate phase of a project that was awarded as part of the Proposition 50 IRWM Implementation Grant Round 1 or 2 grant agreement, or
- ◆ A project that was included as part of the Proposition 50 IRWM Implementation Grant Round 1 or 2 application but, was not included in the grant agreement due to partial fund.

Project Types - Eligible project elements are defined in Section III C of *Proposition 50 Chapter 8 Integrated Regional Water Management Grant Program Guidelines Solicitation Packages Round 2 (June 2007)*.

Grant Agreement Compliance – Eligible applicants must have executed an IRWM Implementation Grant Agreement with the funding agency, either DWR or SWRCB, and must be in compliance with all terms and conditions of that agreement, including routine reporting requirements.

¹ Projects submitted (if not part of original IRWM Plan) must have been selected as a result of the applicants IRWM Plan where the plan identifies that the selection and list of projects will be periodically reviewed and therefore updated or subject to change.

Groundwater Monitoring – On November 6, 2009, Senate Bill 6 (SBx7-6) was enacted. SBx7-6 revised CWC section 10920 *et seq.* and established a groundwater monitoring program designed to monitor and report groundwater elevations in all or part of a basin or subbasin. These new requirements also limit counties and various entities (CWC Section 10927.(a)-(d), inclusive) ability to receive state grants or loans in the event that DWR is required to perform groundwater monitoring functions pursuant to CWC 10933.5. Future implementation of this newly enacted groundwater monitoring requirements will be required for subsequent grant funding.

Monitoring and Data Submittal Requirements - CWC Section 10927 requires various entities, including local agencies that are managing all or part of a groundwater basin pursuant to CWC 10750, to assume responsibilities for groundwater elevation monitoring and reporting, as required by CWC Section 10920 *et seq.* Future implementation of this newly enacted monitoring and data submittal requirements will be required for subsequent grant funding.

Assembly Bill (AB) 1420 Compliance – AB 1420 ((Stats. 2007, ch. 628) amendment of the Urban Water Management Planning Act, CWC Section 10610 *et seq.*) requires implementation of certain water use efficiency actions in order to be eligible for water management grant funding. If the applicant, or project sponsor whose project is included in the proposal is an urban water supplier, to be eligible for supplemental funding, then the applicant must complete and submit AB 1420 Compliance Tables 1 and 2 (found at :

<http://www.water.ca.gov/wateruseefficiency/finance/>

- ◆ If the applicant has previously prepared the tables and finds that no updates or changes are required at the time of submitting for supplemental funding, then the applicant can assume they are in compliance; therefore there is no need to re-submit these tables. In this case, DWR requests a “Statement of Compliance” validating that the applicant has already submitted AB 1420 Compliance Tables 1 and 2 to DWR’s Water Use and Efficiency Branch and there are no changes at this time.
- ◆ If the applicant needs to update existing table information or submit information for the first time then the applicant must update or complete AB 1420 Compliance Tables 1 and 2. Existing information and/or newly populated information on these tables must be submitted with the concept proposal (as described within Section III A.).
- ◆ If urban water suppliers are not implementing all (Best Management Practices (BMPs) at the coverage level determined by the California Urban Water Conservation Council (CUWCC) Memorandum of Understanding (MOU), they may be eligible to receive grant funds by providing a schedule, budget, and finance plan to implement all BMPs at the coverage level determined by the CUWCC MOU. For this reason, updated information must include any changes in the implementation schedule, financing, budget, and level of coverage.

Water Meter Compliance – CWC Section 529, providing that, commencing January 1, 2010, urban water suppliers must meet certain volumetric pricing and water metering requirements in order to apply for permits for new or expanded water supply, or state financial assistance for proposed wastewater projects, water use efficiency projects, or drinking water projects. For the purposes of compliance with Section 529.5, a “water use efficiency project” means an action or series of actions that ensure or enhance the efficient use of water or result in the conservation of water supplies.

If the applicant is an urban water supplier in accordance with **Attachment A**, then to be eligible for funding, the applicant must complete the attached self certification form (**Attachment A**) and found at:

http://www.water.ca.gov/irwm/implementation/prop50/integregio_implementation_prop50supl2009.cfm

Funding Requirements

Maximum Funding Amount – The maximum grant amount is \$3.7 million.

Funding Match –The required minimum funding match for supplemental funding is 10 percent of the total proposal costs unless the applicant can clearly explain why the minimum 10 percent funding match cannot be met. If a waiver or reduction in funding match is requested, the applicant will need to document this request as described within **Attachment B**. All *funding* match requirements must be consistent with the DWR and SWRCB prepared *Proposition 50 Chapter 8 Integrated Regional Water Management Grant Program Guidelines (June 2007)*.

III. Application Preparation and Interview

The concept proposal, the written portion of the application and interview, consists of four elements.

1. A general proposal summary and agency contact information. The applicant must submit this information by completing **Attachment C**. This includes a statement that all required submittals to DWR and the SWRCB starting from the date of the existing Proposition 50 Implementation Grant commitment letter have been submitted as stipulated in the Grant Agreement and that these conditions were met within the required timeframe. Under the terms of the applicants existing Grant Agreement, once the Grant Agreement has been executed, Grantees are required to submit regular reports quarterly. If these requirements have not been met, any outstanding reporting and/or contracting requirements must be delivered to DWR and SWRCB prior to the application due date in order to pass this criterion.
2. A general description of the proposal which includes a conceptual work plan, schedule, and budget in the format described subsequently in this chapter.
3. AB 1420 compliance materials.
4. The proposal interview consists of two elements.
 - ◆ A presentation which includes an overview of the proposal, work plan, schedule, budget, and an in depth discussion of:
 - The benefits and feasibility and
 - The need and consequences.
 - ◆ Answering questions related to benefits and feasibility, need and consequences of the proposal, as well as questions unique to the written application or presentation. An explanation (if applicable) of the applicants position on failed pass/fail criteria.

A. CONCEPT PROJECT PROPOSAL - WRITTEN APPLICATION

The application details summarized above must be received together (not piecemeal), at or before the requested submittal date and time as described in chapter V.

Proposal Specifics

Applicants must submit the specifics of the proposal as outlined within the following section descriptions. The descriptions should be organized by section and topic as follows.

Section 1 Work Plan

Provide a conceptual or generalized work plan description of the proposal, including needed facilities and their location, the area covered, and the goals of the proposal. Generally describe how the proposal is consistent with the goals and objectives of the IRWM plan. If multiple projects or project phases exist, include a conceptual or generalized work plan with multiple tasks, respectively. The work plan must match the schedule and budget. The work plan must be limited to 5 pages, not including maps.

Elements to provide within a proposal work plan include:

- ◆ Scope of the proposal including, as appropriate, maps of the region and/or applicant service area and the proposal area;
- ◆ Specific purpose, goals, and objectives of the proposal;
- ◆ Work items to be performed under each task of the proposal; and
- ◆ Project deliverables for assessing progress and accomplishments of individual projects.

Section 2 Schedule

Provide a proposal schedule that clearly illustrates the sequence and timing for the proposal starting from grant award to proposal completion. Label tasks that are on the critical path. Assume a start date of, **December 1, 2010** and indicate an anticipated completion date. The schedule must match the work plan and budget.

Section 3 Budget

Provide a budget, using **Attachment D**, Example Program Budget Form, which shows the current cost estimate for the proposal. If multiple projects are included, a summary budget (roll-up) must be completed and clearly marked as such. Document that local cost share is available to allow the proposal to proceed. The budget tasks must match those of the work plan and schedule.

Section 4 AB 1420 Compliance

Submit an AB 1420 “Statement of Compliance” or AB 1420 Compliance Tables 1 and 2, as applicable.

Section 5 Water Meter Compliance (if applicable)

If applicable, submit a “Certification for Compliance with Water Metering Requirements for Funding Applications”.

B. PROPOSAL INTERVIEW

The proposal interview will allow the applicant² to present and discuss their proposal to review team. Presentations should be concise and organized to effectively convey the requested information. The interview process will consist of a presentation not to exceed 30 minutes and a question and answer period as described below. The presentation should be well organized and concise, since the time allotted for the presentation will be limited. DWR will provide a computer and projector for applicants that desire to use PowerPoint as a presentation aid.

Concept Proposal Presentation

The applicants shall discuss the following components of the concept proposal.

- ◆ Component 1A (Work Plan, Budget, and Schedule) – Applicants will provide an overview of the proposal and the associated work plan, schedule, and budget. Applicants will also describe how this proposal was identified and elevated by the Regional Water Management Group (RWMG) as part of the IRWM planning process.
- ◆ Component 1B (Proposal Benefits and Feasibility) – Applicants will provide a description of the proposals benefits to the IRWM region and the feasibility of the proposal. They will describe the benefits and any changes to the proposal or other conditions that will increase or decrease the benefits to the IRWM region. This includes the magnitude and breadth of proposal benefits, including water supply reliability, water quality, environmental, and/or other benefits.

Applicant will also discuss how the proposal is technically feasible. The description will focus on the level of technical complexity of the proposal, how technical feasibility was evaluated during the planning phase of development, and how the RWMG plans to ensure that the proposal works and/or provides the proposal benefit as envisioned for the region.

- ◆ Component 1C (Need and Consequences) – Applicants will provide an overview of the proposal funding need and consequences. They will describe the IRWM region's need for the proposal and how the proposal will meet the goals and objectives of the IRWM plan. They will describe the consequences if funds are not awarded. They will also describe how receipt of grant funding will clearly meet recognized need and conversely if funding is not received, how this would adversely impact the IRWM region.

Question and Answer

After the presentation is given, the review team will ask questions relating to both the written and oral portions of the proposal, and the status of the IRWM region's existing Proposition 50 IRWM Implementation grant. Applicants will (if applicable) clarify any "fail" scores on the pass/fail criteria.

IV. Review Process

This section provides information relating to the application review process for this supplemental funding PSP. Applications will be screened based on pass/fail eligibility and completeness criteria and then ranked based on

² During the interview, the presenter need not be limited to the agency or non-profit organization submitting the proposal. DWR encourages that the applicant attendees/presenters include representatives of the Regional Water Management Group (RWMG). However, the applicant interview party must be limited to no more than four (4) RWMG representatives.

scored criteria. It is important that the applicant understand and follow this chapter to ensure their proposal meets the criteria.

A. EVALUATION FACTORS

Pass/Fail Criteria

Review will initially consist of an evaluation based on pass/fail criteria. The applicant must pass all of the pass/fail criteria outlined in **Table 1** to be ranked and ultimately eligible. Upon review of the application information provided, an applicant who does not pass criteria (a) and (b) will not be eligible for an interview and **will not be considered for funding**, as these criteria are fundamental to the approach of this solicitation. Applicants who fail one or more of the pass/fail criteria (c) through (k) will be allowed to clarify the status on the criterion during the interview.

Scored Criteria

Applicants will be scored based on the information presented and the applicant's ability to answer questions at the interview. The presentation will be scored based on criteria as outlined in **Table 2**. Scores will be assessed by a review team consisting of a minimum of three reviewers consisting of DWR and SWRCB staff and management.

**TABLE 1
WRITTEN APPLICATION
SCORING CRITERIA**

Section	PASS FAIL CRITERIA	Range of Points Possible	Weighting Factor	Maximum Score
(a)	Is the applicant one of the successful Proposition 50 IRWM Implementation Grant Rounds 1 and 2 partially funded Grantee recipients whose supplemental funding request and existing Proposition 50 Implementation Award Grant amount is less than \$25 million?	NA	NA	Pass/ Fail
(b)	Does the applicant have an existing Proposition 50 executed contract?	NA	NA	Pass/ Fail
(c)	Does the proposal meet all existing DWR and SWRCB prepared Proposition 50 Chapter 8 Integrated Regional Water Management Grant Program Guidelines (June 2007), guidelines and requirements unless otherwise specified within this PSP?	NA	NA	Pass/ Fail
(d)	Has the applicant complied with all the requirements of the existing Proposition 50 implementation agreements, including reporting requirements?	NA	NA	Pass/ Fail
(e)	Has the applicant clearly demonstrated a minimum funding match requirement of 10 percent of the total proposal costs? Or alternatively clearly explain why the minimum 10 percent funding match can not be met. If a waiver or reduction in funding match is requested, the applicant will need to document this request as described within Attachment B .	NA	NA	Pass/ Fail
(f)	Is this a new project (not previously awarded) or a completely distinct or separate phase of an existing project that was awarded funds or a project that was included as part of the Proposition 50 IRWM Implementation Grant Round 1 or 2 application but, was not included in the grant agreement due to partial funding?	NA	NA	Pass/ Fail
(g)	Attachment C: Did the applicant submit the General Proposal Summary and Agency Contact Information as requested in Attachment C?	NA	NA	Pass/ Fail
(h)	Proposal Work Plan: Did the applicant submit a Proposal Work Plan that adequately described the scope, purpose, goals, objectives, work items, tasks, and deliverables as described in the work plan instructions?	NA	NA	Pass/ Fail
(i)	Proposal Schedule: Did the applicant submit a schedule that includes a reasonable timeline with a project start date December 1, 2010 and a proposed end date?	NA	NA	Pass/ Fail
(j)	Proposal Budget: Did the applicant submit a budget that presented all of the work tasks identified in the proposal work plan and schedule as well as the applicants and DWR's share of the total costs?	NA	NA	Pass/ Fail
(k)	AB1420 Compliance: If the applicant or project proponent is an Urban Water Supplier, did the application include an AB1420 Statement of Compliance or AB 1420 Compliance Tables 1 and 2, as applicable?	NA	NA	Pass/ Fail

**TABLE 2
INTERVIEW
SCORING CRITERIA AND STANDARDS**

Element	SCORING CRITERIA	Weighting Factor	Range of Points Possible	Score	Scoring Standards
<i>Scoring will be based on the scoring standards provided.</i>					
1a	<p>Concept Project Proposal Overview: Did the presentation provide a clear and concise overview of the proposal that included a proposal description, the work plan, schedule, budget, and IRWM planning process?</p> <p>Were graphics and illustrations provided that clearly support presentation material?</p>	1	1-5	5	The presentation was clear, concise, and provided an excellent overview of the proposal description, the work plan, schedule, budget, and IRWM planning process. Graphics and illustrations were provided that clearly supported presentation material. The proposal benefits and feasibility, and need and consequences were thoroughly covered.
				4	The presentation was clear, concise, and provided a good overview of the proposal description, the work plan, schedule, budget, and IRWM planning process. However, the Graphics and illustrations only partially supported presentation material or were at times unclear. The proposal benefits and feasibility, and need and consequences were covered.
				3	The presentation was clear and concise, covered most, but not all of the topics that were requested and the graphics and illustrations only partially supported presentation material. The proposal benefits and feasibility, and need and consequences were generally covered.
				2	The presentation was clear and concise, covered some of the topics requested and the graphics and illustrations only partially supported presentation material. The proposal benefits and feasibility, and need and consequences were covered but still need clarification to fully understand the proposal.
				1	The presentation was not clear and concise, covered some of the topics requested and the graphics and illustrations only partially supported presentation material. The proposal benefits and feasibility, and need and consequences were covered but still need clarification to fully understand the proposal.

Element	SCORING CRITERIA	Weighting Factor	Range of Points Possible	Score	Scoring Standards
1b	<p>Benefit and Technical Feasibility: Are the proposal benefits to the IRWM region clearly described in sufficient detail?</p> <p>Does this description include the magnitude and breadth of the proposal benefits?</p> <p>Does the description of feasibility demonstrate the level of technical complexity of the proposal and that the proposal is technically feasible?</p> <p>Has the applicant sufficiently analyzed technical feasibility during the planning phase of proposal development?</p>	3	3-15	5	Proposal benefits were clearly described. Benefit is large in magnitude and breadth and clearly improves water supply, water quality, and or environment for the IRWM region and/or disadvantaged community (DAC). Feasibility was clearly explained. Proposal is clearly feasible and substantiated evidence was provided.
				4	Proposal benefits were clearly described. Benefit is fairly large in magnitude and breadth (or affects a DAC) and clearly improves water supply, water quality, and or environment for the IRWM region. Feasibility was clearly explained. Proposal is clearly feasible but all evidence may not have been adequately substantiated.
				3	Proposal benefits were clearly described. Benefit is medium in magnitude and breadth and clearly improves water supply, water quality, and or environment for the IRWM region. Feasibility was explained and seems reasonable, but only limited substantiating evidence was provided.
				2	Proposal benefits were clearly described. Benefit is small in magnitude and breadth but does improve water supply, water quality, and or environment for the IRWM region. Feasibility was explained and seems reasonable, but no substantiating evidence was provided.
				1	Proposal benefits were not clearly defined. Benefit scale is small in magnitude and breadth and it is not clear that it improves water supply, water quality, and or environment for the IRWM region. Feasibility was not clearly explained or feasibility is in question and may need additional clarification.
1c	<p>Need and Consequences. Does the description clearly describe that receiving grant funds will meet a clearly recognized need(s) within the IRWM region?</p> <p>Does the description clearly describe that not receiving the grant funds will adversely impact the IRWM region?</p>	3	3-15	5	The need for the proposal was clearly explained. Urgency is high and the IRWM region is clearly in need for proposal completion. Consequences were clearly explained. Consequences of not receiving grant funds will represent significant existing or new challenges for the IRWM region or DAC.
				4	The need for the proposal was clearly explained. Urgency is moderate and the IRWM region is in need for proposal completion. Consequences were clearly explained. Consequences of not receiving grant funds will place the IRWM region in a worsening position.
				3	The need for the proposal was clearly explained. Urgency is moderate and the IRWM region may be in need. Consequences were clearly explained. Consequences of not receiving grant funds will at worse, maintain the status quo.
				2	The need for the proposal was clearly explained. Urgency is minimal, but the proposal will meet a current or future need for the IRWM region. Consequences were clearly explained. Consequences of not receiving grant funds will not adversely affect the IRWM region.
				1	The need for the proposal was not clearly explained or has minimal urgency and won't affect the IRWM region significantly. Consequences may not have been clearly explained. Consequences of not receiving grant funds will not significantly affect the IRWM region.

Element	SCORING CRITERIA	Weighting Factor	Range of Points Possible	Score	Scoring Standards
2	Question and Answers: Questions will be asked by the review team and will relate to the benefits and feasibility as well as the need and consequences sections of the proposal.	5	5-15	3	The answers were on point, clear, and adequately described.
				2	The answers were only partially on point, slightly clear, and/or not completely described.
				1	The answers were not on point, unclear, and/or inadequately described.
Total Range of Points Possible			12 to 50		

V Submittal Instructions

This chapter provides instructions for submitting both the concept proposal and the detailed submittal for awardees. Applicants are reminded that once the request has been submitted to DWR, any privacy rights as well as other confidentiality protections afforded by law with respect to the request package will be waived.

A. CONCEPT PROJECT PROPOSAL – WRITTEN APPLICATION

Section 1: What to Submit

Concept Proposal - Submit two (2) hard copy submittals and one (1) CD including a compiled PDF and a word document (preferably in MS WORD format) of the original application of the following items:

- ◆ A completed General Proposal Summary and Agency Contact Information Attachment (**Attachment C**), and
- ◆ The remaining application material outlined by section description:

Section 1 - Work Plan

Section 2 - Schedule

Section 3 - Budget

Section 4 – AB 1420 Compliance

Section 5 – Water Meter Compliance (if applicable)

- ◆ At time of interview, copy of presentation slides.

Detailed Application Instruction – Applicants that are selected to receive grant funding must submit detailed proposal information as described with **Attachment B**.

Section 2: How to Submit

The completed application must be submitted to DWR **no later than 5:00 p.m. on June 1, 2010** to the appropriate address listed below. Incomplete requests will not be reviewed or considered for funding. Questions as to the content or information requested should be directed to Mr. Trevor Joseph, DWR, at (916) 651-9218 (tjoseph@water.ca.gov).

By U. S. mail:

California Department of Water Resources
Division of IRWM
Financial Assistance Branch
Post Office Box 942836
Sacramento, California 94236-0001
Attn: Trevor Joseph

Or overnight courier:

California Department of Water Resources
Division of IRWM
Financial Assistance Branch
1416 Ninth Street, Room 338
Sacramento, California 95814
Attn: Trevor Joseph

Or hand-deliver:

California Department of Water Resources
901 P Street, Guard Station
Sacramento, California 95814
Attn: Trevor Joseph

B. SCHEDULE

The schedule below shows the timeline for this process from release of the Final Guidelines package through final approval of the supplemental funding awards. Some of the events are tentative (shown in italics) and changes may be required and will be posted at the following website:

http://www.water.ca.gov/irwm/implementation/prop50/integregio_implementation_prop50supl2009.cfm

Request for Additional Funding Process and Schedule	
Milestone or Activity	Schedule
FINAL - Supplemental Funding PSP Released	May 5, 2010
Proposition 50 Implementation Supplemental Funding application must be submitted to DWR by 5:00 p.m. Late submittals will not be reviewed or considered for funding.	June 1, 2010
Applicant Interviews	<i>June 20 through July 2, 2010</i>
DWR releases draft rankings and draft award recommendation for public comment	<i>Late July 2010</i>
Public meeting to discuss Draft Rankings and Award Recommendations	<i>Late July 2010</i>
Public Comments Due to DWR	<i>Early August 2010</i>
DWR announces initial award recommendations	<i>Mid August 2010</i>

Attachment A

California State Water Resources Control Board
California Department of Water Resources
California Department of Public Health



**CERTIFICATION FOR
COMPLIANCE WITH WATER METERING REQUIREMENTS
FOR FUNDING APPLICATIONS**

In 2004, Assembly Bill 2572 added section 529.5 to the Water Code, providing that, commencing January 1, 2010, urban water suppliers must meet certain volumetric pricing and water metering requirements in order to apply for permits for new or expanded water supply, or state financial assistance for the following types of projects:

1. wastewater treatment projects
2. water use efficiency projects (including water recycling projects)
3. drinking water treatment projects

For the purposes of compliance with Section 529.5, a "water use efficiency project" means an action or series of actions that ensure or enhance the efficient use of water or result in the conservation of water supplies.

Please consult with your legal counsel and review sections 525 through 529.7 of the Water Code before completing this certification.

Applicants Affected

This requirement applies to urban water suppliers.

"Urban water supplier" means a supplier, either publicly or privately owned, providing water for municipal purposes either directly or indirectly to more than 3,000 customers or supplying more than 3,000 acre-feet of water annually. An urban water supplier includes a supplier or contractor for water, regardless of the basis of right, which distributes or sells for ultimate resale to customers.

When Certification is Required

State Water Resources Control Board (SWRCB): The application for financial assistance must include a completed and signed certification form demonstrating compliance with the water metering requirements.

Department of Water Resources (DWR) funding applications: This certification must be completed and submitted with the funding application. Check the specific proposal solicitation package for directions on applicability and submittal instructions.

Department of Public Health (DPH) Safe Drinking Water State Revolving Fund Program: This certification must be completed and submitted with the executed Notice of Acceptance of Application (NOAA).

California State Water Resources Control Board
California Department of Water Resources
California Department of Public Health



**CERTIFICATION FOR
COMPLIANCE WITH WATER METERING REQUIREMENTS
FOR FUNDING APPLICATIONS**

Funding Agency name: _____

Funding Program name: _____

Applicant (Agency name): _____

Project Title (as shown on application form): _____

Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Name of Authorized Representative
(Please print)

Signature

Title

Date

Attachment B

Detailed Proposal Application Instructions

Attention - This section is for those applicants that receive grant awards.

Awardees will be given sixty (60) calendar days to provide a detailed submittal covering the work plan, schedule, budget, and other applicable items as identified below. Failure to provide the required information as requested within this time period will result in Awardees disqualification and allow the subsequent ranked applicant to become eligible. Grantees will be required to submit the information described below. The selected grantees will receive specific instructions from DWR via a formal funding notification letter.

◆ **Work Plan.**

The Work Plan shall be submitted in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 33 and Exhibit 1 on p. 42.

◆ **Proposal Schedule**

The Proposal Schedule shall be submitted in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 34.

◆ **Proposal Budget**

The Proposal Budget shall be submitted in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 33 and Exhibit 2 on p. 44.

◆ **Modification of River or Stream Channel (If Applicable)**

This section shall be provided for only if applicable. It shall be in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 36.

◆ **CALFED ROD Consistency (If Applicable)**

This section shall be provided for only if applicable. It shall be in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 36.

◆ **Letters of Support or Opposition (If Applicable)**

This section shall be provided for only if applicable. It shall be in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 36.

◆ **Request For Waiver or Reduction of Funding Match (If Applicable)**

This section shall be provided for only if applicable. It shall be in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 37.

◆ **Authorizing Documentation/Resolution**

This section shall be provided for. It shall be in accordance with Proposition 50 Chapter 8 Grant Program Guidelines p. 31.

Attachment C General Proposal Summary and Agency Contact Information

Proposal Title:		
Proposition 50 Implementation Grant Agreement Number:		
Proposed DWR Grant Amount:		
Grantee Cost Share:		
Total Proposal Budget (total capital costs):		
Briefly Summarize Proposal Work Plan (i.e. Provide Brief Proposal Description):		
Project Start Date: (assume December 1, 2010 or describe otherwise)		Anticipated Project Completion Date:
Provide Statement on Existing Proposition 50 Reporting Requirement Consistency:		
Briefly Summarize Proposal Benefit(s) and Feasibility:		
Summarized need for funding and consequences if funds not awarded:		
Project Director:		
Phone Number:		
Facsimile Number:		
E-Mail:		
Organization Address:		

Attachment D Example Proposal Budget Form

Name of Applicant: _____
 Previous Grant Agreement No: _____

% DWR Grant _____
 % Cost Share _____
 Total Proposal Amount _____

PROPOSAL BUDGET				
Project(s) Name	Project Total Amount	Proposed DWR Grant Amount	Cost Share	Other Applicable Funding (if any)
X				
Y				
Totals				

PROJECT(S) BUDGET					
Project X	Task Name	Task Amount	Proposed DWR Grant Amount	Cost Share	Other Applicable Funding (if any)
	Total				
Project Y					
	Total				

NOTE: If work tasks exceed the number of rows permitted under applicable table, insert additional pages as necessary.