

# ATTACHMENT 1. AUTHORIZATION AND ELIGIBILITY REQUIREMENTS

## ✓ Urban Water Management Compliance

The urban water suppliers that will receive funding from the proposed grant include the Cities of Modesto and Turlock; the City of Hughson and Del Puerto Water District do not meet the criteria for an urban water supplier. Contact information for each city is provided below.

City of Modesto: Jim Alves, Associate Civil Engineer, 209-571-5557,  
[jalves@modestogov.com](mailto:jalves@modestogov.com)

City of Turlock: Michael Cooke, Director of Municipal Services, 209-668-5599,  
[mcooke@turlock.ca.us](mailto:mcooke@turlock.ca.us)

Both cities' 2010 Urban Water Management Plans (UWMPs) have been verified by DWR as addressing the requirements of the California Water Code. Documentation is provided in Appendix 1.6.

DWR reviewed and accepted the City of Turlock's Self-Certification Statement – Tables 1 and 2 (AB1420) on May 28, 2014. A copy of this review correspondence is included in Appendix 1.7. AB1420 Self-Certification Statements Tables 1 and 2 for the City of Modesto are also included in Appendix 1.7. AB1420 Self-Certification Statements Tables 1 and 2 are not included for the City of Hughson and Del Puerto Water District as they do not meet the requirements of an urban water supplier.

All three cities (Modesto, Turlock and Hughson), whose projects are contained herein, have signed the Water Metering compliance forms, as has Del Puerto Water District, a co-project proponent with the Cities of Modesto and Turlock for the **North Valley Regional Recycled Water Program** (the inter-regional project). All certification forms are included in Appendix 1.8.

**Appendix 1.6 - UWMP Documentation from DWR**

**DEPARTMENT OF WATER RESOURCES**

1416 NINTH STREET, P.O. BOX 942836  
SACRAMENTO, CA 94236-0001  
(916) 653-5791



May 15, 2012

Mr. Jim Alves  
Associate Civil Engineer  
City of Modesto  
Post Office Box 462  
Modesto, California 95353

Dear Mr. Alves:

The Department of Water Resources (DWR) has reviewed the City of Modesto's 2010 Urban Water Management Plan (UWMP) received on June 9, 2011. The California Water Code (CWC) directs DWR to report to the legislature once every five years on the status of submitted plans. In meeting this legislative reporting requirement, DWR reviews all submitted plans.

DWR's review of Modesto's 2010 plan has found that the plan has addressed the requirements of the CWC. DWR's review of plans is limited to assessing whether suppliers have addressed the required legislative elements. In its review, DWR does not evaluate or analyze the supplier's UWMP data, projections, or water management strategies. This letter is simply meant to acknowledge that Modesto's 2010 UWMP has addressed these requirements. The results of the review will also be provided to DWR's Financial Assistance Branch.

If you have any questions regarding the review of the plan or urban water management planning please don't hesitate to email or call.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter Brostrom".

Peter Brostrom  
UWMP Program Manager  
brostrom@water.ca.gov  
(916) 651-7034

cc: Luis Avila  
DWR South Central Regional Office

**DEPARTMENT OF WATER RESOURCES**

1416 NINTH STREET, P.O. BOX 942836  
SACRAMENTO, CA 94236-0001  
(916) 653-5791



May 15, 2012

Mr. Dan Wilde  
Utilities Specialist  
City of Turlock  
156 South Broadway, Suite 270  
Turlock, California 95380

Dear Mr. Wilde:

The Department of Water Resources (DWR) has reviewed the City of Turlock's 2010 Urban Water Management Plan (UWMP) received on June 30, 2011. The California Water Code (CWC) directs DWR to report to the legislature once every five years on the status of submitted plans. In meeting this legislative reporting requirement, DWR reviews all submitted plans.

DWR's review of Turlock's 2010 plan has found that the plan has addressed the requirements of the CWC. DWR's review of plans is limited to assessing whether suppliers have addressed the required legislative elements. In its review, DWR does not evaluate or analyze the supplier's UWMP data, projections, or water management strategies. This letter is simply meant to acknowledge that Turlock's 2010 UWMP has addressed these requirements. The results of the review will also be provided to DWR's Financial Assistance Branch.

If you have any questions regarding the review of the plan or urban water management planning please don't hesitate to email or call.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter Brostrom".

Peter Brostrom  
UWMP Program Manager  
brostrom@water.ca.gov  
(916) 651-7034

cc: Dave Todd  
DWR Headquarters

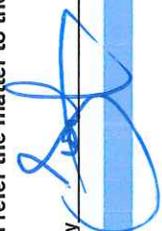
Luis Avila  
DWR South Central Regional Office

**Appendix 1.7 - AB1420 Compliance**

**AB 1420 Self-Certification Statement Table 1**

Note: Table 1 documents Status of Past and Current BMP implementation.

**Self-Certification Statement:** The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1, and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self Certification Statement Table 1, and Table 2 and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory Juan Tejada Title of Signatory Water Conservation Specialist Signature of signatory  Date 7-15-14

**Application Date:**

Proposal Identification Number:  CUVCC Member? Yes/No  No  Yes

Has Urban Water Supplier submitted a 2010 Urban Water Management Plan? Yes/No  Yes  No

Applicant Name:  City of Modesto

**Project Title:**

Applicant's Contact Information: Name:  Juan Tejada Phone:  209-342-2262 E-mail:  [jtejada@modestogov.com](mailto:jtejada@modestogov.com)

Participants:

Retailer (List Below)		Wholesaler (List Below)	
	City of Modesto		N/A

C1	C2	C3	C4	C5	C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
		BMPs required for Wholesale Supplier		BMPs implemented by Retailers and/or Wholesalers / BMP		Compliance Options/Alternative Conservation Approaches (1)			BMP Is Exempt (2)			BMP Implementation Requirements Met					
				Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer Yes/No	CUWCC MOU Requirement Met: Wholesaler Yes/No	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories) (3)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No
	✓		BMP 1 Water Survey for Single/Multi-Family Residential Customers	Yes	--	--	--	--	X	--	--	--	Yes	--	N/A	7/8/2014	Yes
	✓		BMP 2 Residential Plumbing Retrofit	--	--	--	--	--	X	--	--	--	--	--	--	--	--
✓			BMP 3 System Water Audits, Leak Detection	Yes	--	--	--	--	X	--	--	--	Yes	--	N/A	--	Yes
✓			BMP 3 Leak Repairs	Yes	--	--	--	--	X	--	--	--	Yes	--	N/A	--	Yes
			BMP 4 Metering with Commodity Rates for All New connections	Yes	--	--	--	--	X	--	--	--	Yes	--	N/A	--	Yes
	✓		BMP 4 Retrofit of Existing Connections	Yes	--	--	--	--	X	--	--	--	Yes	--	N/A	--	Yes



**AB 1420 Self-Certification Statement Table 2**

**Provide Schedule, Budget, and Finance Plan to Demonstrate Commitment to Implement All BMP's to Become in Compliance with BMP Implementation - Commencing Within 1st Year of Agreement for Which Applicant Receives Funds.**

**Self-Certification Statement:** The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1 and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self Certification Statement Table 1 and Table 2, and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory, Juan Tejada Title of Signatory, Water Conservation Specialist Signature of signatory,  Date 7-15-14

**Application Date:**

Proposal Identification Number:  CUWCC Member? Yes/No  No

Applicant Name:  (City of Modesto) Is the UWM Plan Deemed Complete by DWR? Yes/No  Yes

Project Title:

Applicant's Contact Information: Name Juan Tejada, 209-342-2262, jtejada@modestogov.com

Participants:	Retailer (List Below)	
	City of Modesto	

C1	C2	C3	C4	C5	*C6	*C7	C8	**C9	**C10	**C11	C12	C13	C14	C15	C16	C17	C18	C19
Implementation Scheduled to Commence within 1st Year of Agreement																		
CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs required for Supplier	BMP Implemented by Retailers and/or Wholesalers		Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)			Start Date (MM/YR)	Completion Level (%)	BMP Completion Date (MM/YR)	Budget (Dollars)	Funding Source & Finance Plan to Implement BMPs	Meets CUWCC Coverage Yes/No	Funds Requested, if Available (See AB 1420 Compliance Table 3) Yes/No
				Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day SPCD	Not Cost Effective							
1.11	✓	✓	✓	Yes	-	-	No	-	-	X		1987	100%	1987	\$96,839 / FY	Water Enterprise Fund	Yes	No
1.12	✓	✓	✓	Yes	-	-	No	-	-	X		2003	100%	2003	\$72,800/ FY	Water Enterprise Fund	Yes	No
1.13	✓	✓	✓	N/A	-	-	N/A	-	-	N/A		N/A	N/A	N/A	N/A	N/A	N/A	N/A
1.20	✓	✓	✓	Yes	-	-	No	-	-	X		Jul-11	95%	2015	\$5,000 / FY	Water Enterprise Fund	Yes	No
1.30	✓	✓	✓	Yes	-	-	No	-	-	X		Dec-04	80%	2022	\$5.0 M / FY	Water Enterprise Fund	Yes	No
1.40	✓	✓	✓	Yes	-	-	No	-	-	X		Jan-05	78%	2022	**\$0.00/ FY	Water Enterprise Fund	Yes	No
<b>2. Educational Programs</b>																		
2.10	✓	✓	✓	Yes	-	-	No	-	-	X		Jul-11	100%	1987	\$95,000/ FY	Water Enterprise Fund	Yes	No
2.20	✓	✓	✓	Yes	-	-	No	-	-	X		Jul-11	100%	1987	\$56,000 / FY	Water Enterprise Fund	Yes	No
<b>3. Residential</b>																		
3.11	✓	✓	✓	-	-	-	-	-	-	X		-	-	-	-	-	-	-
3.12	✓	✓	✓	Yes	-	-	No	-	-	X		May-09	100%	2009	\$37,000 / FY	Water Enterprise Fund	-	-

CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMP Implemented by Retailers and/or Wholesalers		Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)		Implementation Scheduled to Commence within 1st Year of Agreement								
			Retailer Yes/No	Wholesaler Yes/No	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	Start Date (MM/YR)	Completion Level (%)	BMP Completion Date (MM/YR)	Budget (Dollars)	Funding Source & Finance Plan to Implement BMPs	Meets CUWCC Coverage Yes/No	Funds Requested, if Available. (See AB 1420 Compliance Table 3) Yes/No
3.20	✓	✓	--	--	--	--	--	X			--	--	--	--	--	--	--	--
3.30	✓	✓	Yes	--	No	--	--	X			Jul-13	1%	2020	\$15,000 / FY	Water Enterprise Fund	Yes	No	
3.40	✓	✓	Yes	--	No	--	--	X			Jul-12	25%	2020	\$15,000 / FY	Water Enterprise Fund	Yes	No	
<b>4. Commercial, Industrial, Institutional</b>																		
4.00	✓	✓	--	--	--	--	--	X			--	--	--	--	--	--	--	--
<b>5. Landscape</b>																		
5.00	✓	✓	--	--	--	--	--	X			--	--	--	--	--	--	--	--

\*C6: Wholesaler may also be a retailer (supplying water to end water users)  
 \*\*C9, \*\* C10, and \*\*C11: Agencies choosing an alternative conservation approach are responsible for achieving water savings equal or greater than that which they would have achieved using only BMP list.  
 (1) For details, please see <http://www.cuwcc.org/mou/exhibit-1-bmp-definitions-schedules-requirements.aspx>.  
 (2) BMP is exempt based on cost-effectiveness, lack of funding, or lack of legal authority, as detailed in the CUWCC MOU.

**DEPARTMENT OF WATER RESOURCES**

1416 NINTH STREET, P.O. BOX 942836  
SACRAMENTO, CA 94236-0001  
(916) 653-5791



May 28, 2014

JUN - 5 2014

Mr. Roy Wasden  
City Manager  
City of Turlock  
156 South Broadway, Suite 270  
Turlock, California 95380

Dear Mr. Wasden:

The Department of Water Resources (DWR) has reviewed The City of Turlock's (City) Self-Certification Statement – Tables 1 and 2 submitted on May 9, 2014, regarding implementation of the Urban Best Management Practices (BMPs).

The purpose of DWR's review is to determine the City's eligibility to receive water management grant or loan funds. DWR has followed the *AB 1420 Compliance Requirements* dated January 1, 2009. For detailed information, please visit <http://www.water.ca.gov/wateruseefficiency/finance/>.

Based on DWR's review of the information in Tables 1 and 2, the City has and is currently implementing the BMPs consistent with AB 1420 and, therefore, is eligible to receive water management grant or loan funds.

DWR reserves the right to request additional information and documentation, including reports from the City to substantiate the accuracy of the information provided in Tables 1 and 2. DWR may reverse or modify its eligibility determination and notify you and the funding agency if inaccuracies are found in the supporting documentation or in Tables 1 and 2.

If you have any questions, please contact me at (916) 651-7034 or Betsy Vail at (916) 651-9667.

Sincerely,

A handwritten signature in black ink, appearing to read "Peter Brostrom".

Peter Brostrom  
Urban Water Unit  
Water Use and Efficiency Branch

**Appendix 1.8 - Water Meter Compliance Forms**

California State Water Resources Control Board  
California Department of Water Resources  
California Department of Public Health



**CERTIFICATION FOR  
COMPLIANCE WITH WATER METERING REQUIREMENTS  
FOR FUNDING APPLICATIONS**

Funding Agency name: California Department of Water Resources  
Funding Program name: Prop 84 IRWM Planning Grant Program  
Applicant (Agency name): City of Modesto, California  
Project Title (as shown on application form): East Stanislaus IRWM Prop 84 Planning Grant Application

Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

<u>Allen Lagarbo</u>	
Name of Authorized Representative (Please print)	Signature
<u>Deputy Public Works Director, Water</u>	<u>1-13-2012</u>
Title	Date

***Do NOT modify the Compliance with Water Metering Certification form other than adding the Agency Name, Project Title, Name of Authorized Representative, and Title.***

California State Water Resources Control Board  
California Department of Water Resources  
California Department of Public Health



**CERTIFICATION FOR  
COMPLIANCE WITH WATER METERING REQUIREMENTS  
FOR FUNDING APPLICATIONS**

Funding Agency name: California Department of Water Resources

Funding Program name: Proposition 84 IRWM Expedited Drought Grant Program

Applicant (Agency name): City of Modesto

Project Title (as shown on application form): North Valley Regional Recycled  
Water Program

Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Dave Savidge [Signature]  
Name of Authorized Representative Signature  
(Please print)  
Water Systems Manager 7-15-14  
Title Date

California State Water Resources Control Board  
California Department of Water Resources  
California Department of Public Health



**CERTIFICATION FOR  
COMPLIANCE WITH WATER METERING REQUIREMENTS  
FOR FUNDING APPLICATIONS**

Funding Agency name: California Department of Water Resources

Funding Program name: 2014 IRWM Drought Grant Solicitation

Applicant (Agency name): City of Turlock

Project Title (as shown on application form): East Stanislaus 2014 Drought Grant

Application

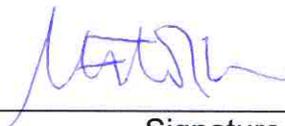
Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Michael Cooke  
Name of Authorized Representative  
(Please print)  
Municipal Services Director

  
Signature

\_\_\_\_\_  
Title

6/30/14  
Date

California State Water Resources Control Board  
California Department of Water Resources  
California Department of Public Health



**CERTIFICATION FOR  
COMPLIANCE WITH WATER METERING REQUIREMENTS  
FOR FUNDING APPLICATIONS**

Funding Agency name: California Department of Water Resources

Funding Program name: Proposition 84 IRWM Expedited Drought Grant Program

Applicant (Agency name): City of Turlock

Project Title (as shown on application form): North Valley Regional Recycled  
Water Program

Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Roy W. Wasden  
Name of Authorized Representative  
(Please print)

Roy W. Wasden  
Signature

City Manager  
Title

5/9/14  
Date

California State Water Resources Control Board  
California Department of Water Resources  
California Department of Public Health



**CERTIFICATION FOR  
COMPLIANCE WITH WATER METERING REQUIREMENTS  
FOR FUNDING APPLICATIONS**

Funding Agency name: California Office of Emergency Services

Funding Program name: Proposition 84

Applicant (Agency name): City of Hughson

Project Title (as shown on application form): Potable Supply Offset

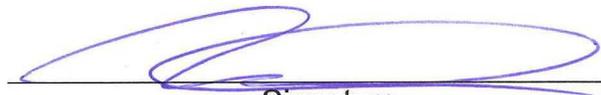
Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Raul L. Mendez  
Name of Authorized Representative  
(Please print)

  
Signature

City Manager  
Title

June 27, 2014  
Date

California State Water Resources Control Board  
California Department of Water Resources  
California Department of Public Health



**CERTIFICATION FOR  
COMPLIANCE WITH WATER METERING REQUIREMENTS  
FOR FUNDING APPLICATIONS**

Funding Agency name: California Department of Water Resources

Funding Program name: Proposition 84 IRWM Expedited Drought Grant Program

Applicant (Agency name): Del Puerto Water District

Project Title (as shown on application form): North Valley Regional Recycled  
Water Program

Please check one of the boxes below and sign and date this form.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

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Anthea G. Hansen  
Name of Authorized Representative  
(Please print)

Anthea C. Hansen  
Signature

General Manager  
Title

05/27/2014  
Date