

Attachment 1: Authorization and Eligibility Requirements

Urban Water Management Compliance

Urban water suppliers that will receive funding from the proposed grant include:

Agency	Contact	Phone	Email
City of Santa Cruz	Terrill Tompkins, Deputy Director	831-420-5454	ttompkins@cityofsantacruz.com
Scotts Valley Water District	Piret Harmon, General Manager	831-438-2363 ext. 202	pharmon@svwd.org

For the listed urban water suppliers, the following documentation is included:

1. DWR verification documentation for UWMPs
2. AB 1420 self-certification documentation from each urban water supplier – hard-copy with wet signature also submitted under separate cover on 18 July 2014
3. CWC §525 self-certification documentation from each urban water supplier.- – hard-copy with wet signature also submitted under separate cover on 18 July 2014

DEPARTMENT OF WATER RESOURCES1416 NINTH STREET, P.O. BOX 942836
SACRAMENTO, CA 94236-0001
(916) 653-5791

January 17, 2014

Mr. Martin Bernal
City Manager
City of Santa Cruz
809 Center Street
Santa Cruz, California 95060

Dear Mr. Bernal:

The Department of Water Resources (DWR) has reviewed the City of Santa Cruz's 2010 Urban Water Management Plan (UWMP) received January 12, 2012. The California Water Code (CWC) directs DWR to report to the legislature once every five years on the status of submitted plans. In meeting this legislative reporting requirement, DWR reviews all submitted plan.

DWR's review of Santa Cruz's 2010 UWMP has found that the plan has addressed the requirements of the CWC. DWR's review of plans is limited to assessing whether suppliers have addressed the required legislative elements. In its review, DWR does not evaluate or analyze the supplier's UWMP data, projections, or water management strategies. This letter is meant to acknowledge that the City of Santa Cruz's 2010 UWMP has addressed these requirements. The results of the review will also be provided to DWR's Financial Assistance Branch.

If you have any questions regarding the review of the plan or urban water management planning, please do not hesitate to contact me.

Sincerely,

Peter Brostrom
UWMP Program Manager
brostrom@water.ca.gov
(916) 651-7034cc: Toby Goddard ✓
City of Santa Cruz
212 Locust Street
Santa Cruz, California 95060Luis Avila
DWR South Central Regional OfficeMarty Berbach
DWR Headquarters OfficeGwen Huff
DWR Headquarters Office

DEPARTMENT OF WATER RESOURCES

1416 NINTH STREET, P.O. BOX 942836
SACRAMENTO, CA 94236-0001
(916) 653-5791



June 13, 2014

Mr. Jay Mosley, President
Scotts Valley Water District
2 Civic Center Drive
Scotts Valley, California 95066

Dear Mr. Mosley:

The Department of Water Resources (DWR) has reviewed the Scotts Valley Water District's (District) 2010 Urban Water Management Plan (UWMP) received on October 11, 2011. The California Water Code (CWC) directs DWR to report to the legislature once every five years on the status of submitted plans. In meeting this legislative reporting requirement, DWR reviews all submitted plans.

DWR's review of the District's 2010 plan has found that the plan has addressed the requirements of the CWC. DWR's review of plans is limited to assessing whether suppliers have addressed the required legislative elements. In its review, DWR does not evaluate or analyze the supplier's UWMP data, projections, or water management strategies. This letter acknowledges that the District's 2010 UWMP has addressed these requirements. The results of the review will also be provided to DWR's Financial Assistance Branch.

If you have any questions regarding the review of the plan or urban water management planning please don't hesitate to email or call.

Sincerely,

A handwritten signature in blue ink, appearing to read "Peter Brostrom".

Peter Brostrom
UWMP Program Manager
brostrom@water.ca.gov
(916) 651-7034

cc: Ms. Haleigh Kleiman
Water Conservation Coordinator
Scotts Valley Water District
PO Box 660006
Scotts Valley, CA 95067-0006

Luis Avila
DWR South Central Regional Office

Joanne Tang
DWR Water Use & Efficiency Branch

Gwen Huff
DWR Water Use & Efficiency Branch

AB 1420 Self-Certification Statement Table 1

Note: Table 1 documents Status of Past and Current BMP implementation.

Self-Certification Statement: The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1, and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self-Certification Statement Table 1, and Table 2 and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory: Piret Harmon Title of Signatory: General Manager Signature of signatory:  Date: 7/10/2014

Application Date: _____

Proposal Identification Number: _____ CUVWC Member? Yes/No Yes No

Has Urban Water Supplier submitted a 2005 Urban Water Management Plan? Yes/No Yes No Is the UWM Plan Deemed Complete by DWR? Yes/No Yes No

Applicant Name: Scotts Valley Water District

Project Title: SWWD - Conjunctive Use and Enhanced Groundwater Recharge at Hanson Quarry Project

Applicant's Contact Information: Name: Piret Harmon Phone: 831-438-2363 E-mail: pharmon@swwd.org

Participants:

Retailer (List Below)
Scotts Valley Water District

Wholesaler (List Below)

C1	C2	C3	C4	C5	*C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs for Single/Multi-Family Residential Customers	BMP 1 Water Survey for Single/Multi-Family Residential Customers	BMP Implemented by Retailers and/or Wholesalers / BMP			Compliance Options/Alternative Conservation Approaches (1)			BMP is Exempt (2)			BMP Implementation Requirements Met			All Supporting Documents have been Submitted Yes/No	
				Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer Yes/No	CUWCC MOU Requirement Met: Wholesaler Yes/No	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatures)		Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatures) (3)
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	yes			x						yes		12/4/2008		yes
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	yes			x						yes		12/4/2008		yes
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	yes			x						yes		12/4/2008		yes
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	yes			x						yes		12/4/2008		yes
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	yes			x						yes		12/4/2008		yes

C1	C2	C3	C4	C5	*C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
				BMP Implemented by Retailers and/or Wholesalers / BMP			Compliance Options/Alternative Conservation Approaches (1)			BMP is Exempt (2)			BMP Implementation Requirements Met				
BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs		Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer Yes/No	CUWCC MOU Requirement Met: Wholesaler Yes/No	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No
✓	✓	BMP 5 Large Landscape Conservation Programs and Incentives		yes			X						yes		12/4/2008		yes
		BMP 6 High-Efficiency Washing Machine Rebate Programs					X						yes		12/4/2008		yes
✓	✓	BMP 7 Public Information		yes			X						yes		12/4/2008		yes
		BMP 8 School Education		yes			X						yes		12/4/2008		yes
✓	✓	BMP 9 Conservation programs for Commercial, Industrial, and Institutional (CII) Accounts		yes			X						yes		12/4/2008		yes
		BMP 10 Wholesale Agency Assistance Programs		n/a													
✓	✓	BMP 11 Conservation Pricing		yes			X						yes		12/4/2008		yes
✓	✓	BMP 12 Conservation Coordinator		yes			X						yes		12/4/2008		yes
	✓	BMP 13 Water Waste Prohibitions		yes				X					yes		12/4/2008		yes
	✓	BMP 14 Residential ULFT Replacement Programs		yes				X					yes		12/4/2008		yes

*C6: Wholesaler may also be a retailer (supplying water to end water users)

**C8, **C9, * and C10: Agencies choosing an alternative conservation approach are responsible for achieving water savings equal or greater than that which they would have achieved using only BMP list.

(1) For details, please see: <http://www.cuwcc.org/mou/exhibit-1-bmp-definitions-schedules-requirements.aspx>.

(2) BMP is exempt based on cost-effectiveness, lack of funding, and lack of legal authority criteria as detailed in the CUWCC MOU

(3) Non MOU signatories must submit to DWR reports and supporting documents in the same format as CUWCC.

AB 1420 Self-Certification Statement Table 2

Provide Schedule, Budget, and Finance Plan to Demonstrate Commitment to Implement All BMP's to Become in Compliance with BMP Implementation - Commencing Within 1st Year of Agreement for Which Applicant Receives Funds.

Self-Certification Statement: The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1 and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self-Certification Statement Table 1 and Table 2, and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory: Priet Harmon

Title of Signatory: General Manager

Signature of signatory:



Date: 7/10/2014

Application Date:

Proposal Identification Number:

Scotts Valley Water District

is the UWMN Plan Deemed Complete by DWR?

CUWCC Member? Yes/No Yes/No No Yes

Applicant Name:

Scotts Valley Water District

Applicant's Contact Information:

Name: Priet Harmon, pharmon@swwd.org, 831-438-2363

Participants: *Retailer (See below)*
Scotts Valley Water District

C1 C2 C3 C4 C5 *C6 C7 C8 **C9 **C10 **C11 C12 C13 C14 C15 C16 C17 C18 C19

CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Retail Supplier	BMPs required for Retail Supplier	BMP Implemented by Retailers and/or Wholesalers			Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)		Implementation Scheduled to Commence within 1st Year of Agreement	Funding Source & Finance Plan to Implement BMPs	Meets CUWCC Coverage Yes/No	Funds Requested, if Available: (See AB 1420 Compliance Table 3) Yes/No							
			Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective					Lack of Funding	Lack of Legal Authority	Start Date (MM/YR)	Completion Level (%)	BMP Completion Date (MM/YR)	Budget (Dollars)	
1.11	✓	✓	yes					x						05/07	100%	05/07	\$90,000	Annual Op Budget	yes		
1.12	✓	✓	yes					x						04/83	100%	06/11	incl in 1.11	Annual Op Budget	yes		
1.13	✓	✓	n/a																		
1.20	✓	✓	yes					x						01/96	100%	11/10	n/a		yes		
1.30	✓	✓																			
1.40	✓	✓	yes					x						09/61	100%	09/61	\$70,000	Annual Op Budget	yes		
2.10	✓	✓	yes					x						05/07	100%	09/08	incl in 1.11	Annual Op Budget	yes		
2.20	✓	✓	yes					x						05/07	100%	09/08		Annual Op Budget	yes		
3.11	✓	✓	yes											03/08	25%	06/17	\$4,000	Annual Op Budget	yes		
3.12	✓	✓	yes											03/08	25%	06/17	incl in 3.11	Annual Op Budget	yes		
3.20	✓	✓	yes					x						07/07	100%	06/12	\$1,850	Annual Op Budget	yes		

CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	BMP Implemented by Retailers and/or Wholesalers			Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)	Implementation Scheduled to Commence within 1st Year of Agreement							Funds Requested, if Available. (See AB 1400 Compliance Table 3) Yes/No	
				Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track GFCD		Gallons Per Capita Per Day	Not Cost Effective	Lack of Funding	Lack of Legal Authority	Start Date (MM/YY)	Completion Level (%)	BMP Completion Date (MM/YY)		Budget (Dollars)
3.30	✓	✓	BMP 6 High-Efficiency Washing Machine Rebate Programs	yes				x				01/01	100%	06/05	\$7,000	Annual Op Budget	yes		
3.40	✓	✓	BMP 14 Residential ULF Replacement Programs	yes				x				01/01	100%	06/05	\$70,000	Annual Op Budget	yes		
4. Commercial, Industrial, Institutional																			
4.00	✓		BMP 9 Conservation programs for Commercial, Industrial, and Institutional (CI/I) Accounts	yes					x			07/07	25%	06/17	n/a			yes	
5. Landscapes																			
5.00	✓		BMP 5 Large Landscape Conservation Programs and Incentives	yes					x			05/02	100%	06/08	\$53,500	Annual Op Budget	yes		

*C6. Wholesaler may also be a retailer (supplying water to end water users)
**C9, ** C10, and ** C11. Agencies choosing an alternative conservation approach are responsible for achieving water savings equal or greater than that which they would have achieved using only BMP list.
(1) For details, please see <http://www.cuwcc.org/mou/exhibit-1-bmp-definitions-schedules-requirements.aspx>
(2) BMP is exempt based on cost-effectiveness, lack of funding, or lack of legal authority, as detailed in the CUWCC MOU.

California State Water Resources Control Board
California Department of Water Resources
California Department of Public Health



#2

**CERTIFICATION FOR
COMPLIANCE WITH WATER METERING REQUIREMENTS
FOR FUNDING APPLICATIONS**

In 2004, Assembly Bill 2572 added section 529.5 to the Water Code, providing that, commencing January 1, 2010, urban water suppliers must meet certain volumetric pricing and water metering requirements in order to apply for permits for new or expanded water supply, or state financial assistance for the following types of projects:

1. wastewater treatment projects
2. water use efficiency projects (including water recycling projects)
3. drinking water treatment projects

For the purposes of compliance with Section 529.5, a "water use efficiency project" means an action or series of actions that ensure or enhance the efficient use of water or result in the conservation of water supplies.

Please consult with your legal counsel and review sections 525 through 529.7 of the Water Code before completing this certification.

Applicants Affected

This requirement applies to urban water suppliers.

"Urban water supplier" means a supplier, either publicly or privately owned, providing water for municipal purposes either directly or indirectly to more than 3,000 customers or supplying more than 3,000 acre-feet of water annually. An urban water supplier includes a supplier or contractor for water, regardless of the basis of right, which distributes or sells for ultimate resale to customers.

When Certification is Required

State Water Resources Control Board (SWRCB): The application for financial assistance must include a completed and signed certification form demonstrating compliance with the water metering requirements.

Department of Water Resources (DWR) funding applications: This certification must be completed and submitted with the funding application. Check the specific proposal solicitation package for directions on applicability and submittal instructions.

Department of Public Health (DPH) Safe Drinking Water State Revolving Fund Program: This certification must be completed and submitted with the executed Notice of Acceptance of Application (NOAA).

California State Water Resources Control Board
California Department of Water Resources
California Department of Public Health



**CERTIFICATION FOR
COMPLIANCE WITH WATER METERING REQUIREMENTS
FOR FUNDING APPLICATIONS**

Funding Agency name: Department of Water Resources
Funding Program name: Proposition 84 2014 Drought Funding Application
Applicant (Agency name): City of Santa Cruz Water Department
Project Title (as shown on application form): (1) Tait Wells Replacement; and (2) Improve Potable Water Quality and Water Supply Reliability to Address Drought Impacts

Please check one of the boxes below and sign and date this form.

- As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.
- As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date. *(See attached addendum).*

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Rosemary Menard
Name of Authorized Representative
(Please print)

Water Director

Title

Rosemary Menard
Signature

6/26/2014

Date

ADDENDUM TO
CERTIFICATION FOR COMPLIANCE WITH WATER METERING
REQUIREMENTS FOR FUNDING APPLICATIONS

Applicant: City of Santa Cruz

All of the City's 24,429 water connections are fully metered and equipped with Automated Meter Reading (AMR) technology. In addition, the City charges each customer that has a service connection based on the actual volume of deliveries as measured by the water meter. There are, however, seven (7) metered connections on the system which exchanged property rights as consideration for water service pursuant to historical contracts, and which continue to receive water service at no charge.