ATTACHMENT 11. GWMP, AB 1420 AND WATER METER COMPLIANCE INFORMATION

Att11_IG2_SelfCert_1of1

As specified in the PSP an original wet-signature copy of the GWMP, AB1420 and Water Meter Compliance self-certifications has been provided with the hard copies of this grant application. Facsimile copies have been included here to allow upload of Attachment 10 to BMS.

GROUNDWATER MANAGEMENT PLAN

A facsimile of the Groundwater Management Plan Self Certification is presented in Figure 19.

AB 1420

A facsimile of the AB1420 Compliance Self Certification is presented in Figure 20.

WATER METER COMPLIANCE INFORMATION

A facsimile of the Water Meter Compliance Self Certification is presented in Figure 21.

Figure 19 - Facsimile of SEWD GWMP Compliance Self-Certification

California Department of Water Resources
Integrated Regional Water Management Grant Programs

CERTIFICATION FOR GROUNDWATER MANAGEMENT PLAN COMPLIANCE FOR THE PROPOSITION 84, IMPLEMENTATION AND PROPOSITION 1E, STORMWATER FLOOD MANAGEMENT GRANT PROGRAMS

Grant Program: XX☑ Implementation ☑ SWFM

IRWM Region: San Joaquin County

Agency name: Stockton East Water District

Project Title (as shown on application form): Calaveras River Integrated Stormwater Management Project

Please check one of the boxes below and sign and date this form.

☐ As the authorized representative for the agency, I certify under penalty of perjury under the laws of the State of California, that the agency has prepared and implemented a GWMP in compliance with CWC §10753.7.

XX☑ As the authorized representative for the agency, I certify under penalty of perjury under the laws of the State of California, that the agency participates or consents to be subjected to an existing GWMP, basin-wide management plan, or other IRWM program or plan that meets the requirements of CWC §10753.7(a).

☐ As the authorized representative for the agency, I certify under penalty of perjury under the laws of the State of California, that agency consents to be subjected to a GWMP that will will meet the requirements of CWC §10753.7 and be completed within 1-year of the grant application submittal date.

☐ As the authorized representative for the agency, I certify under penalty of perjury under the laws of the State of California that the agency conforms to the requirements of an adjudication of water rights in the subject groundwater basin.

I understand that the Department of Water Resources will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Department of Water Resources may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Kevin M. Kauffman 3/26/13

Kevin M. Kauffman
Name of Authorized Representative
(Please print)

Signature

01/30/2013

Consulting General Manager

Title

Date

Figure 20 - AB1420 Self-Certification for SEWD

AB 1420 Self- Certification Statement Table 1

Note: Table 1 documents Status of Past and Current BMP implementation.

Self-Certification Statement: The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1, and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self Certification Statement Table 1, and Table 2 and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory: Kevin M. Kauffman-Title of Signatory: Consulting General Manager-Signature of Signatory: Kevin M. Kauffman Date: 01/30/13 Kevin M. Kauffman 3-26-13

Application Date: 01/30/13

Proposal Identification Number: CUWCC Member? Yes/No NO

Has Urban Water Supplier submitted a 2005 Urban Water Management Plan? Yes/No YES Is the UWM Plan Deemed Complete by DWR? YES

Applicant Name: STOCKTON EAST WATER DISTRICT

Project Title: CALAVERAS RIVER INTEGRATED STORMWATER MANAGEMENT PROJECT

Applicant's Contact Information: Name: KEVIN M. KAUFFMAN Phone: 209-948-0333 E-mail: kkauffman@sewd.net

Participants:

Retailer (List Below)	Wholesaler (List Below)
	STOCKTON EAST WATER DISTRICT

C1	C2	C3	C4	C5	*C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
				BMP Implemented by Retailers and/or Wholesalers / BMP			Compliance Options/Alternative Conservation Approaches (1)			BMP Is Exempt (2)			BMP Implementation Requirements Met				
	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer Yes/No	CUWCC MOU Requirement Met Wholesaler Yes/No	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No
		✓	Survey for Single/Multi-Family Residential Customers														
		✓	BMP 2 Residential Plumbing Retrofit														
	✓	✓	BMP 3 System Water Audits, Leak Detection		YES		X							YES		N/A	YES
	✓	✓	BMP 3 Leak Repairs		YES		X							YES		N/A	YES
		✓	BMP 4 Metering with Commodity Rates for All New														
		✓	BMP 4 Retrofit of Existing														

C1	C2	C3	C4	C5	*C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
				BMP Implemented by Retailers and/or Wholesalers / *BMP*			Compliance Options/Alternative Conservation Approaches (1)			BMP Is Exempt (2)			BMP Implementation Requirements Met				
	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	Retailer *Yes/No*	Wholesaler *Yes/No*	Regional *Yes/No*	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer *Yes/No*	CUWCC MOU Requirement Met Wholesaler *Yes/No*	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No
		✓	BMP 5 Large Landscape Conservation Programs and Incentives														
		✓	BMP 6 High-Efficiency Washing Machine Rebate Programs														
✓	✓		BMP 7 Public Information		YES		X							YES		N/A	YES
✓	✓		BMP 8 School Education		YES		X							YES		N/A	YES
		✓	Conservation programs for Commercial, Industrial, and Institutional (CII) Accounts														
✓			BMP 10 Wholesale Agency Assistance Programs		YES		X							YES		N/A	YES
		✓	Conservation Pricing														
✓	✓		BMP 12 Conservation Coordinator		YES		X							YES		N/A	YES
		✓	BMP 13 Water Waste Prohibitions														
		✓	Residential ULFT Replacement Programs														

*C6: Wholesaler may also be a retailer (supplying water to end water users)
**C8, **C9, **, and C10: Agencies choosing an alternative conservation approach are responsible for achieving water savings equal or greater than that which they would have achieved using only BMP list.

(1) For details, please see: http://www.cuwcc.org/mou/exhibit-1-bmp-definitions-schedules-requirements.aspx.
(2) BMP is exempt based on cost-effectiveness, lack of funding, and lack of legal authority criteria as detailed in the CUWCC MOU
(3) Non MOU signatories must submit to DWR reports and supporting documents in the same format as CUWCC

AB 1420 Self- Certification Statement Table 2

Provide Schedule, Budget, and Finance Plan to Demonstrate Commitment to Implement All BMP's to Become in Compliance with BMP Implementation - Commencing Within 1st Year of Agreement for Which Applicant Receives Funds.

Self-Certification Statement: The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1 and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self Certification Statement Table 1 and Table 2, and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory: Kevin M. Kauffman-Title of Signatory: Consulting General Manager-Signature of Signatory: Date: 01/30/13

Kevin M. Kauffman 3-26-13

Application Date: 01/30/13

Proposal Identification Number:

CUWCC Member? Yes/No: NO

Applicant Name: STOCKTON EAST WATER DISTRICT

Is the UWM Plan Deemed Complete by DWR? Yes/No: YES

Project Title:

Applicant's Contact Information: Name: KEVIN M. KAUFFMAN

Retailer (List Below)			
Participants:			

C1	C2	C3	C4	C5	*C6	C7	C8	**C9	**C10	**C11	C12				C13	C14	C15	C16	C17	C18	C19
				BMP Implemented by Retailers and/or Wholesalers				Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)				Implementation Scheduled to Commence within 1st Year of Agreement						
CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority		Start Date (MM/YR)	Completion Level (%)	BMP Completion Date (MM/YR)	Budget (Dollars)	Funding Source & Finance Plan to Implement BMPs	Meets CUWCC Coverage Yes/No	Funds Requested, if Available. (See AB 1420 Compliance Table 3) Yes/No
1. Utility Operations Programs																					
1.11	✓	✓	BMP 12 Conservation Coordinator		YES											100		122,000		YES	
1.12		✓	BMP 13 Water Waste Prohibitions																		
1.13	✓	✓	BMP 10 Wholesale Agency Assistance Programs		YES								X			100		0		YES	
1.20	✓	✓	BMP 3 System Water Audits, Leak Detection/Repair		YES											100		45,500		YES	
1.30		✓	BMP 4 Metering with Commodity Rates for All New/Retrofit of Existing connections																		
1.40		✓	BMP 11 Conservation Pricing																		
2. Educational Programs																					
2.10	✓	✓	BMP 7 Public Information		YES											100		30,000		YES	
2.20	✓	✓	BMP 8 School Education		YES											100		45,000		YES	
3. Residential																					
3.11		✓	BMP 1 Indoor Water Survey for Single/Multi-Family Residential Customers																		
3.12			BMP 1 Outdoor Water Survey for Single/Multi-Family Residential Customers																		
3.20		✓	BMP 2 Residential Plumbing Retrofit																		

CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	BMP Implemented by Retailers and/or Wholesalers				Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)			Implementation Scheduled to Commence within 1st Year of Agreement						
				Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	Start Date (MM/YR)	Completion Level (%)	BMP Completion Date (MM/YR)	Budget (Dollars)	Funding Source & Finance Plan to Implement BMPs	Meets CUWCC Coverage Yes/No	Funds Requested, if Available (See AB 1420 Compliance Table 2) Yes/No
3.30		✓	BMP 6 High-Efficiency Washing Machine Rebate Programs																	
3.40		✓	BMP 14 Residential ULFT Replacement Programs																	
4. Commercial, Industrial, Institutional																				
4.00		✓	BMP 9 Conservation programs for Commercial, Industrial, and Institutional (CII) Accounts																	
5. Landscape																				
5.00		✓	BMP 5 Large Landscape Conservation Programs and Incentives																	

*C8: Wholesaler may also be a retailer (supplying water to end water users)
**C9, ** C10, and **C11: Agencies choosing an alternative conservation approach are responsible for achieving water savings equal or greater than that which they would have achieved using only BMP list
(1) For details, please see http://www.cuwcc.org/mou/exhibit-1-bmp-definitions-schedules-requirements.aspx
(2) BMP is exempt based on cost-effectiveness, lack of funding, or lack of legal authority, as detailed in the CUWCC MOU

AB 1420 Compliance Table 3

Note: This Table may be completed only if Funding Agency provides cost-share grant funds for BMP implementation and only if Applicant requests such funds.

Applicant:
Pin Number:

FILL IN THE SHADED AREAS ONLY. THE TABLE IS FORMATTED WITH FORMULAS.

NOT APPLICABLE FOR THIS GRANT APPLICATION
Kyrin M. Kaufman 1/30/13
SEWD

(I)	Year 1 $	Year 2 $	Year 3 $	Total $	Contingency % (III)	Cost + Contingency = $ (IV)	Applicant cost share = $ (V)	State Share = $ (VI)	Life of investment in years (VII)	Annualized Costs (IX)
Kyrin M. Kaufman 3-26-13										
Foundational: Utility Operations Programs										
Operations (BMP 12 Conservation Coordinator)										
subtask 1-				-						-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Operations (BMP 13 Water Waste Prohibitions)										
subtask 1-				-						-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Operations (BMP 10 Wholesale Agency Assistance Programs)										
subtask 1-				-						-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Water Loss Control (BMP 3 System Water Audits, Leak Detection/Repair)										
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Metering (BMP 4 Metering with Commodity Rates for All New/Retrofit of Existing connections)										
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Pricing (BMP 11 Conservation Pricing)										
subtask 1-	$0			-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal										
Foundational: Educational Programs										
Public Information Programs (BMP 7 Public Information)										

(I)	Year 1 $	Year 2 $	Year 3 $	Total $	Contingency % (III)	Cost + Contingency = $ (IV)	Applicant cost share = $ (V)	State Share = $ (VI)	Life of investment in years (VII)	Annualized Costs (IX)
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
School Education Programs (BMP 8 School Education)										
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Programmatic: Residential										
Residential-Indoor (BMP 1 Indoor Water Survey for Single/Multi-Family Residential Customers)										
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Residential-Outdoor (BMP 1 Outdoor Water Survey for Single/Multi-Family Residential Customers)										
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Residential-Plumbing (BMP 2 Residential Plumbing Retrofit)										
subtask 1-				-		-		-		-
subtask 2-				-		-		-		-
Subtotal	--	--		--		--	--	--		$0
Residential-Financial Incentive Programs (BMP 6 High-Efficiency Washing Machine Rebate Programs)										
subtask 1-				-		-		-		-
subtask 2-				-		-		-		-
Subtotal	--	--		--		--	--	--		$0
Residential-UFLT Programs (BMP 14 Residential ULFT Replacement Programs)										
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Programmatic: Commercial, Industrial, Institutional										
Conservation Programs (BMP 9 Conservation programs for Commercial, Industrial, and Institutional (CII) Accounts)										
subtask 1-				-		-		-		-----
subtask 2-				-		-		-		-----
Subtotal	--	--		--		--	--	--		$0
Programmatic: Landscape										
Conservation Programs (BMP 5 Large Landscape Conservation Programs and Incentives)										
subtask 1-				-		-		-		-
subtask 2-				-		-		-		-
Subtotal	--	--		--		--	--	--		$0
TOTAL	$0	$0		$0		$0	$0	$0		$0
Cost Share -Percentage							0%	100%		

Figure 21 - Facsimile of SEWD Water Meter Self-Certification

California State Water Resources Control Board
California Department of Water Resources
California Department of Public Health

Water Boards

CERTIFICATION FOR
COMPLIANCE WITH WATER METERING REQUIREMENTS
FOR FUNDING APPLICATIONS

Funding Agency name: California Dept. of Public Health

Funding Program name: Prop. 84, Implementation and Prop 1E, Stormwater Flood Management

Applicant (Agency name): Stockton East Water District

Project Title (as shown on application form): Calaveras River Integrated Stormwater Management Project

Please check one of the boxes below and sign and date this form.

☐ As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

☑ As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Kevin M. Kauffman 3/26/13

Kevin M. Kauffman
Name of Authorized Representative
(Please print)

Signature

Consulting General Manager
Title

1/30/2013
Date