STATE OF CALIFORNIA – CALIFORNIA NATURAL RESOURCES AGENCY

EDMUND G. BROWN JR., *Governor*

DEPARTMENT OF WATER RESOURCES
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March 27, 2014

Ms. Robin Singler
Administrative Director
The River Exchange
Post Office Box 784
Dunsmuir, California 96025

Subject: Plan Review Process, Draft Results for the Upper Sacramento, McCloud and Lower Pit River (USR) Region IRWM Plan

Dear Ms. Singler:

Attached please find the Department of Water Resources (DWR) Draft Review of the USR Region IRWM Plan. Based on the draft review, the IRWM Plan is consistent with the IRWM Planning Act and the related IRWM Plan Standards contained in the 2012 IRWM Grant Program Guidelines.

Pursuant to Appendix H of the Guidelines the public comment period for this review will begin on April 1, 2014. The Draft Review will be available for public comments for 30 calendar days on the following website: http://www.water.ca.gov/irwm/grants/prp.cfm.

We will inform you of any comments received. After the public comment period ends the link to the draft review will become inactive on the website. DWR will consider comments, and if necessary work with you on any resultant follow up action. DWR will finalize the review and send it to you prior to posting on our website. The final review cover letter will state the plan's applicability in satisfying existing grant agreement conditions and plan eligibility requirements for future IRWM grant solicitations.

The Draft Review consists of a summary of the overall review and an individual review form for each of the 16 Plan Standards. On the individual review forms locations in the plan are noted for applicable material. Although they may be found elsewhere in the plan, these are the locations of the requirements used to show sufficiency with a given standard.

If you have any questions, please contact Ted Daum at (916) 651-9264 or Theodore.Daum@water.ca.gov.

Sincerely,

Tracie L. Billington, P.E. Chief
Financial Assistance Branch
Division of Integrated Regional Water Management

INTRODUCTION

IRWM planning regions must have an IRWM Plan that has been reviewed and deemed consistent with the 2012 IRWM Plan Standards by DWR for eligibilty to receiving Round 3 Proposition 84 funding. This 2012 IRWM Plan Standards Review Form for DWR staff use provides a consistent means in determining whether the 2012 IRWM Guidelines are being addressed in the IRWM Plan. It is part of the Plan Review Process that will begin prior to Round 3 solicitation. The form is similar to a grant application review form in that there is a checklist for each of the 16 Plan Standards and narrative evaluations where required. However, the evaluation is pass/fail; there is no numeric scoring. Each Plan Standard is either sufficient or not based on its associated requirements. Each Standard consists of between one and fourteen requirements. A Yes or No is automatically calculated in each Plan Standard header based on the individual requirement evaluations. In general, a passing score of "C" (i.e. 70% of the requirements for a given Plan Standard) is required for a Standard to pass. Standards with only one or 2 requirements will need one or both of those requirements to pass. Standards with 3 requirements will need at least 2 of the requirements to pass. Standards with 4 or 5 requirements will need at least 3 to pass. Some plan elements are legislated requirements. Such plan elements must be met in order to be considered consistent with plan standards. A summary of the sufficiency of each Standard is automatically calculated on the Standards Summary worksheet. A "No" evaluation indicates that a Standard was not met due to insufficient requirements comprising the Standard. The evaluation for each Plan Standard and any associated insufficiencies is automatically compiled on the Standards Summary page. Additional reviewer comments may be added at the bottom of each standards work sheet.

Note: This review form is meant to be a tool used in conjunction with the 2012 IRWM Guidelines document to assist in the evaluation of IRWM plans. It is not designed to be a substitute for the Guidelines document itself. Reviewers must use the Guidelines in determining plan consistency.

DEFINITION OF TABLE HEADINGS

IRWM Plan Standard: As named in the November 2012 IRWM Prop 84 and 1E Guidlelines.

Overall Standard Sufficient: This field is either "YES" or "NO" and is automatically calculated based on the "Sufficient" column described below. If all fields are "y", the the overall standard is deemed sufficient. Any entry other than a "y" in the Sufficient column (i.e. "n", ?, not sure, more detail needed, etc.) results in a NO.

Plan Standard Requirements Which Must Be Addressed **Fields with an asterisk * are required by legislation to be included in an IRWM Plan.**

Requirement	Requirements are taken directly from the November 2012 Guidelines.
Included	Is the Guideline Requirement included in the IRWM Plan? The options are: y = yes, requirement is included in the IRWMP; or n = no, requirement is not included in the IRWMP. If only y or n then presence/absence of the requirement is sufficient for evaluation. If there is a "q" (qualitative) then add a brief narrative, similar to a Grant Application Review public evaluation or supporting information.
Plan Standard Source	
2012 IRWM Grant Program Guidelines Source Page(s)	Page(s) in the Guidelines (November 2012) which pertain to the Requirement.
Legislative Support and/or Other Citations	The CWC or other regulations that pertain to the Requirement, **if applicable**. This is for reference purposes. The cell links to a weblink of the regulatory code.
Evidence of Sufficiency	
Location of Standard in Grantee IRWM Plan	The page(s) or sections in the IRWM Plan where information on the Requirement can be found. This can be specific paragraphs or entire chapters for more general requirements.
Brief Qualitative Evaluation Narrative	Supporting information for the Requirement if a "q" is in the Included column. This can be just a few sentences or a paragraph and can be taken directly from the IRWM Plan. Comments or supporting information may be entered regardless of whether required.
Sufficient	Is the Guidelines requirement sufficiently represented in the IRWM Plan (y/n).

2012 IRWM Plan Standards Review Form

Regional Acceptance Process Planning Region:

Regional Water Management Group: Upper Sacramento, McCloud, Lower Pit Regional Water Management Group
IRWM Plan Title: Upper Sacramento, McCloud, Lower Pit Integrated Regional Water Management Plan

PLAN IS SUFFICIENT

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IRWM Plan Standard	Overall Standard Sufficient	Requirement(s) Insufficient
Governance	Yes	
Region Description	Yes	
Objectives	Yes	
Resource Management Strategies	Yes	
Integration *	Yes	
Project Review Process	Yes	
Impact and Benefit	Yes	
Plan Performance and Monitoring	Yes	
Data Management	Yes	
Finance	Yes	
Technical Analysis	Yes	
Relation to Local Water Planning	Yes	
Relation to Local Land Use Planning	Yes	
Stakeholder Involvement	Yes	
Coordination	Yes	
Climate Change	Yes	

* If not included as an individual section use Governance, Project Review Process, and Data Management Standards per November 2012 Guidelines, p. 44.

Additional Comments:

Two standard elements within the Governance Standard were not met. In both instances, the plan seems to document past outreach and participation efforts. It is not clear that the processes used in past efforts are the processes the Plan is carrying forward as established methodology for the IRWM region. DWR recommends that clarifications be made in future versions of the IRWM Plan.

IRWM Plan Standard: Governance					Overall Standard Sufficient	Yes
Requirement	**Included**	**Plan Standard Source**		**Evidence of Sufficiency**		**Sufficient**
From IRWM Guidelines	**y/n - Present/Not Present in the IRWMP. If y/n/q**	**2012 IRWM Grant Program Guidelines Source Page(s)**	**Regulatory and/or Other Citations**	**Location of Standard in Grantee IRWM Plan**	**Brief Evaluation Narrative**	**y/n**
Document a governance structure to ensure updates to the IRWM Plan						
The name of the RWMG responsible for implementation of the IRWMP	**y/n**	**18/35**	CWC §10539	16.1		y
A description of the IRWM governance structure	**y/n**	**19/36**		16.2; 2.2.7		y
A description of how the chosen form of governance **addresses** and **ensures**:						
Public outreach and involvement processes	**y/n/q**	**19/36-37**	§10540, §10541	2.2	While the plan documents past IRWM processes that provided opportunities for outreach and involvement in plan development, it is not clear if these processes will be utilized in the future.	n
Effective decision making	**y/n/q**	**19/37**		2.2.7; 16.3	The plan contains provisions for decision making.	y
Balanced access and opportunity for participation in the IRWM process	**y/n/q**	**19/37**		16.4	While the plan documents past IRWM processes that provided opportunities for participation in plan development, it is not clear if these processes will be utilized in the future.	n
Effective communication – both internal and external to the IRWM region	**y/n/q**	**19/37-38**		16.5	Chapter 16 discusses both internal and external communication.	y
Long term implementation of the IRWM Plan	**y/n/q**	**19/38**		15,16	Long term implementation of the plan is discussed in both Chapters 15 and 16.	y
Coordination with neighboring IRWM efforts and State and federal agencies	**y/n/q**	**19/38**		16.7; 2.3.1	The plan describe methods of interaction and opportunities for coordination	y
The collaborative process(es) used to establish plan objectives	**y/n/q**	**19/38**		16.2; 16.8; 2.2.8; 7.1	The plan contains an accounting of the collaborative effort to establish plan objectives.	y
How interim changes and formal changes to the IRWM Plan will be performed	**y/n/q**	**19/38**		16.5.3	The plan describes how revisions are performed.	y
Updating or amending the IRWM Plan	**y/n/q**	**19/38**		12.1.2 ; 16.5.4	Plan includes a section describing the process to update or amend the plan.	y
Publish NOI to prepare/update the plan; adopt the plan in a public meeting	**y/n/q**	**35**	CWC §10543	16.5.1	A discussion of the public notification process to adopt the plan is presented in Section 16.	y

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IRWM Plan Standard: Region Description					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
If applicable, describe and explain how the plan will help reduce dependence on the Delta supply regionally	y/n	20	--	5.1; 10.1.1		Y
Describe watersheds and water systems	y/n	19/39	PRC §75026.(b)(1) and CWP Update 2009	3.7; 3.7.1		Y
Describe internal boundaries	y/n	19/39	--	3.2		Y
Describe water supplies and demands for minimum 20 year planning horizon	y/n	19/39	--	3.5.4		Y
Describe water quality conditions	y/n	19/40	--	3.7.2		Y
Describe social and cultural makeup, including specific information on DACs and tribal communities in the region and their water challenges.	y/n/q	19/40	--	3.4	The Regional Water Management Group did a good describing the social and cultural makeup of the region and job incorporating the tribal perspective into the plan. Tribal water management challenges are also discussed.	Y
Describe major water related objectives and conflicts *	y/n/q	19/40	§10541. (e)(3)	Sec. 7; 6.4	Section 7 contains a table and discussion of objectives and metrics for meeting them. "Challenges" are discussed in Section 6.3.	Y
Explain how IRWM regional boundary was determined and why region is an appropriate area for IRWM planning.	y/n/q	19/40	--	3.2.1	Plan discusses how regional boundaries were determined and why the region is appropriate for IRWM planning.	Y
Describe neighboring and/or overlapping IRWM efforts	y/n	19/40	--	3.2.4; 16.7		Y
Explain how opportunities are maximized (e.g. people at the table, natural features, infrastructure) for integration of water management activities	y/n	38	--	3.5.7		Y

* Requirement must be addressed.

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IRWM Plan Standard: Objectives					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Qualitative Narrative	y/n
Through the objectives or other areas of the plan, the 7 items on pg 41 of GL are addressed.*	y/n	20/40 - 41	§10540.(c)	Sec 7; 7.4		Y
Describe the collaborative process and tools used to establish objectives: - How the objectives were developed - What information was considered (i.e., water management or local land use plans, etc.) - What groups were involved in the process - How the final decision was made and accepted by the IRWM effort	y/n	20/41	--	7.1		Y
measureable objectives: Objectives must be measurable - there must be some metric the IRWM region can use to determine if the objective is being met as the IRWM Plan is implemented. Neither quantitative nor qualitative metrics are considered inherently better. *	y/n/q	20/41 - 42	10541.(e)	7.4	Section 7.4 provides metrics for measuring progress towards meeting objectives. Many of the objective's metrics are qualitative.	Y
Explain how objectives are prioritized or reason why the objectives are not prioritized	y/n/q	20/42-43	--	7.2	Section 7.2 explains the reason for not prioritizing objectives.	Y
Reference specific overall goals for the region: RWMGs may choose to use goals as an additional layer for organizing and prioritizing objectives, or they may choose to not use the term at all.	y/n	43	--	7.3		Y

* Requirement must be addressed.

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IRWM Plan Standard: Resource Management Strategies (RMS)					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Identify RMS incorporated in the IRWM Plan: Consider all California Water Plan (CWP) RMS criteria (29) listed in Table 3 from the CWP Update 2009 *	y/n	20/43	CWP Update 2009 Volume II; 10541(e)(1)	8.1		Y
Consideration of climate change effects on the IRWM region must be factored into RMS	y/n	20/43	--	8.1		Y
Address which RMS will be implemented in achieving IRWM Plan Objectives	y/n	44	--	8.3; table 8.1		Y

* Requirement must be addressed.

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IRWM Plan Standard: Integration					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Contains structure and processes for developing and fostering integration [1]: - Stakeholder/institutional - Resource - Project implementation	y/n/q	20/44 - 45	§10540.(g); §10541.(h)(2)	2.3	Multiple sections of the plan contain structure and processes for developing and fostering integration. Section 2.3 Integration, describes the process for the region with references to Section 10, Project Integration and Implementation.	Y

1. If not included as an individual section use Governance, Project Review Process, and Data Management Standards per November 2012 Guidelines, p. 44.

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IRWM Plan Standard: Project Review Process					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Regulatory and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Process for projects included in IRWM plan must address 3 components: - procedures for submitting projects - procedures for reviewing projects - procedures for communicating lists of selected projects	y/n	20/45		10.2		y
Does the project review process in the plan incorporate the following factors:						
How a project contributes to plan objectives	y/n	20		10.6; Table 10.3		Y
How a project is related to Resource Management Strategies identified in the plan.	y/n	20		10.6; Table 10.4		Y
The technical feasibility of a project.	y/n	20		10.5		Y
A projects specific benefits to a DAC water issue.	y/n	20	§75028.(a)	10.7		Y
Environmental Justice considerations.	y/n	20		10.5		Y
Project costs and financing	y/n	20		10.5		Y
Address economic feasibility	y/n	21		10.9; 10.5		Y
Project status	y/n	21		10.8; 10.5		Y
Strategic implementation of plan and project merit	y/n	21/48		10.8		Y
Project's contribution to climate change adaptation	y/n	21		10.5; 10.9		Y
Contribution of project in reducing GHGs compared to project alternatives	y/n	21		10.5		Y
Status of the Project Proponent's IRWM plan adoption	y/n	21		App. D; 10.2.2		Y
Project's contribution to reducing dependence on Delta supply (for IRWM regions receiving water from the Delta).	y/n	21		10.1.1		N/A

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IRWM Plan Standard: Impact and Benefit					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Discuss potential impacts and benefits of plan implementation within IRWM region, between regions, with DAC/EJ concerns and Native American Tribal communities	y/n	21	--	11.1; 11.2; 11.3; 11.4; 11.6; Table 11.1	The plan does a good job of describing the issues in the region. Most of the region is a DAC and tribes were well represented in work groups.	Y
State when a more detailed project-specific impact and benefit analysis will occur (prior to any implementation activity)	y/n	49	--	11.5		Y
Review and update the impacts and benefits section of the plan as part of the normal plan management activities	y/n	50	--	11.7		Y

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IRWM Plan Standard: Plan Performance and Monitoring					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Contain performance measures and monitoring methods to ensure that IRWM objectives are met *	y/n	21/53	PRC §75026.(a)	12.1; Table 12.1		Y
Contain a methodology that the RWMG will use to oversee and evaluate implementation of projects.	y/n	21/53		12.1.2; 12.1.3		Y

* Requirement must be addressed.

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IRWM Plan Standard: Data Management					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Regulatory and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Describe data needs within the IRWM region	y/n	54	--	13.1; 13.1.2; 14.3		Y
Describe typical data collection techniques	y/n	54	--	13.2.2		Y
Describe stakeholder contributions of data to a data management system	y/n	54	--	13.2.3; 13.2.4		Y
Describe the entity responsible for maintaining data in the data management system	y/n	54	--	13.2.5		Y
Describe the QA/QC measures for data	y/n	54	--	13.2.6; 13.2.1		Y
Explain how data collected will be transferred or shared between members of the RWMG and other interested parties throughout the IRWM region, including local, State, and federal agencies *	y/n	54	--	13.2.1; 13.2.7		Y
Explain how the Data Management System supports the RWMG's efforts to share collected data	y/n	54	--	13.2.4		Y
Outline how data saved in the data management system will be distributed and remain compatible with State databases including CEDEN, Water Data Library (WDL), CASGEM, California Environmental Information Catalog (CEIC), and the California Environmental Resources Evaluation System (CERES).	y/n	54	--	13.2.2		Y

* Requirement must be addressed.

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IRWM Plan Standard: Finance					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Include a programmatic level (i.e. general) plan for implementation and financing of identified projects and programs* including the following:	y/n	21		15.1; 15.2		Y
List known, as well as, possible funding sources, programs, and grant opportunities for the development and ongoing funding of the IRWM Plan.	y/n	21		15.1.3	The region has prepared a list of potential funding sources appropriate for the regional conditions.	Y
List the funding mechanisms, including water enterprise funds, rate structures, and private financing options, for projects that implement the IRWM Plan.	y/n	21	§10541.(e)(8)	15.2.1; 15.2.2; 15.2.3; 15.2.4		Y
An explanation of the certainty and longevity of known or potential funding for the IRWM Plan and projects that implement the Plan.	y/n	21		15.1; 15.2; Tables 15.3 and 15.4		Y
An explanation of how operation and maintenance (O&M) costs for projects that implement the IRWM Plan would be covered and the certainty of operation and maintenance funding.	y/n	21		15.3		Y

* Requirement must be addressed.

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IRWM Plan Standard: Technical Analysis					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Document the data and technical analyses that were used in the development of the plan *	y/n	22	--	14.2		Y

* Requirement must be addressed.

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IRWM Plan Standard: Relation to Local Water Planning					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Identify a list of local water plans used in the IRWM plan	y/n	22	§10540.(b)	Ch. 4; Table 4.1	Small population with large land area and mostly forested. Local plans are limited but plan includes a good discussion of those that exist.	Y
Discuss how the plan relates to these other planning documents and programs	y/n	22		4.1; 4.2; 4.3; 4.4; 4.5; 4.6		Y
Describe the dynamics between the IRWM plan and other planning documents	y/n	22		4.1; 4.2; 4.3; 4.4; 4.5; 4.6		Y
Describe how the RWMG will coordinate its water mgmt planning activities	y/n	58		4.7		Y

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IRWM Plan Standard: Relation to Local Land Use Planning					Overall Standard Sufficient	Yes
Requirement	**Included**	**Plan Standard Source**		**Evidence of Sufficiency**		**Sufficient**
From IRWM Guidelines	**y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.**	**2012 IRWM Grant Program Guidelines Source Page(s)**	**Legislative Support and/or Other Citations**	**Location of Standard in Grantee IRWM Plan**	**Brief Evaluation Narrative**	**y/n**
Document current relationship between local land use planning, regional water issues, and water management objectives	**y/n**	**22/59 - 62**	--	5.0; 5.1; 5.2; 5.3; 5.4; 5.5		Y
Document future plans to further a collaborative, proactive relationship between land use planners and water managers	**y/n**	**22/59 - 62**	--	5.6		Y

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IRWM Plan Standard: Stakeholder Involvement					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Contain a public process that provides outreach and opportunity to participate in the IRWM plan *	y/n	22/63	§10541.(g)	2.2; 16.5		Y
Identify process to involve and facilitate stakeholders during development and implementation of plan regardless of ability to pay; include barriers to involvement *	y/n	64	§10541.(h) (2)	2.2	Good effort to engage stakeholders. Barriers to involvement are implied. Plan development was communicated with stakeholders like Shasta County, PG&E and Bureau of Reclamation, through email notifications even thought they were not actively participating pg 2-6.	Y
Discuss involvement of DACs and tribal communities in the IRWM planning effort	y/n	23	--	2.2.4; 2.2.5	The region is almost entirely a DAC and tribal outreach efforts are well documented through out the plan.	Y
Describe decision-making process and roles that stakeholders can occupy	y/n	23	--	2.2.7; 16.2; 16.3; 16.4	Clearly stated in these sections and supported throughout the document.	Y
Discuss how stakeholders are necessary to address objectives and RMS	y/n	23	--	2.2.8; 16.8		Y
Discuss how a collaborative process will engage a balance in interest groups	y/n	23	--	2.2.8		Y

* Requirement must be addressed.

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IRWM Plan Standard: Coordination					Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Identify the process to coordinate water management projects and activities of participating local agencies and stakeholders to avoid conflicts and take advantage of efficiencies *	y/n	23/65	§10541.(e)(13)	16.7		Y
Identify neighboring IRWM efforts and ways to cooperate or coordinate, and a discussion of any ongoing water management conflicts with adjacent IRWM efforts	y/n	23/65	--	16.7		Y
Identify areas where a state agency or other agencies may be able to assist in communication or cooperation, or implementation of IRWM Plan components, processes, and projects, or where State or federal regulatory decisions are required before implementing the projects.	y/n	23	--	16.7		Y

* Requirement must be addressed.

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IRWM Plan Standard: Climate Change						Overall Standard Sufficient	Yes
Requirement	Included	Plan Standard Source		Evidence of Sufficiency			Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.	2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative		y/n
Evaluate IRWM region's vulnerabilities to climate change and potential adaptation responses based on vulnerabilites assessment in the DWR Climate Change Handbook for Regional Water Planning *	y/n	23/66 - 73	Climate Change Handbook vulnerability assessment: http://www.water.ca.gov/climatechange/CCHandbook.cfm; November 2012 Guidelines Legislative and Policy Context, p. 66 §10541.(e)(11)	9.4			Y
Provide a process that considers GHG emissions when choosing between project alternatives *	y/n	23/68		9.6			Y
Include a list of prioritized vulnerabilites based on the vulnerability assessment and the IRWM's decision making process.	y/n	23/66 - 73		9.5			Y
Contain a plan, program, or methodology for further data gathering and analysis of prioritized vulnerabilities	y/n	23/66 - 73		9.1 (Paragraph 3)			Y
Include climate change as part of the project review process	y/n	23/68		9.6; 10.5			Y

* Requirement must be addressed.

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Regulatory Citation	Link	Notes
IRWM Prop 84 and 1E Guidelines	http://www.water.ca.gov/irwm/grants/docs/Guidelines/GL_2012_FINAL.pdf	DWR November 2012 Guidelines - Final
CWC §10539	http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&group=10001-11000&file=10532-10539	
CWC §10540, §10541	http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&group=10001-11000&file=10540-10543	
CWC §10543	http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&group=10001-11000&file=10540-10543	
PRC §75026, §75028, CWP Update 2009, and California Watershed Portal	http://www.leginfo.ca.gov/cgi-bin/displaycode?section=prc&group=75001-76000&file=75020-75029.5	The Department of Water Resources shall give preference to proposals that satisfy the criteria specified in PRC §75026.(b)(1). §75028.(a) - the department shall defer to approved local project selection, and review projects only for consistency with the purposes of Section 75026.
	http://www.waterplan.water.ca.gov/cwpu2009/index.cfm	2009 California Water Plan Volumes I and II
	http://www.conservation.ca.gov/dlrp/watershedportal/Pages/Index.aspx	California Watershed Portal
§10541. (e)(3)	http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&group=10001-11000&file=10540-10543	