California State Water Resources Control Board
California Department of Water Resources
California Department of Public Health

CERTIFICATION FOR
COMPLIANCE WITH WATER METERING REQUIREMENTS
FOR FUNDING APPLICATIONS

Funding Agency name: Department of Water Resources

Funding Program name: Local Groundwater Assistance Grant Program

Applicant (Agency name): City of Santa Rosa

Project Title (as shown on application form): Monitoring well installation and data analysis

Please check one of the boxes below and sign and date this form.

☐ As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the agency is not an urban water supplier, as that term is understood pursuant to the provisions of section 529.5 of the Water Code.

☑ As the authorized representative for the applicant agency, I certify under penalty of perjury under the laws of the State of California, that the applicant agency has fully complied with the provisions of Division 1, Chapter 8, Article 3.5 of the California Water Code (sections 525 through 529.7 inclusive) and that ordinances, rules, or regulations have been duly adopted and are in effect as of this date.

I understand that the Funding Agency will rely on this signed certification in order to approve funding and that false and/or inaccurate representations in this Certification Statement may result in loss of all funds awarded to the applicant for its project. Additionally, for the aforementioned reasons, the Funding Agency may withhold disbursement of project funds, and/or pursue any other applicable legal remedy.

Miles Ferris
Name of Authorized Representative
(Please print)

Signature

Director of Utilities
Title

7/12/12
Date

AB 1420 Self- Certification Statement Table 1

Note: Table 1 documents Status of Past and Current BMP implementation.

Self-Certification Statement: The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1, and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self Certification Statement Table 1, and Table 2 and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory Miles A. Ferris **Title of Signatory** Director of Utilities **Signature of signatory** Miles Ferris **Date** 7/12/12

Application Date: 13-Feb-12

Proposal Identification Number: LGA12 - Santa Rosa **CUWCC Member?** Yes

Has Urban Water Supplier submitted a 2005 Urban Water Management Plan? Yes **Is the UWM Plan Deemed Complete by DWR? Yes/No** Yes

Applicant Name: City of Santa Rosa

Project Title: Monitoring well installation and data analysis

Applicant's Contact Information: **Name:** Jennifer Burke **Phone:** 707-543-3938 **E-mail:** jburke@srcity.org

Participants:

Retailer (List Below)	*Wholesaler (List Below)*
City of Santa Rosa	*Sonoma County Water Agency*

C1	C2	C3	C4	C5	*C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
				BMP Implemented by Retailers and/or Wholesalers / *BMP*			**Compliance Options/Alternative Conservation Approaches (1)**			**BMP Is Exempt (2)**			**BMP Implementation Requirements Met**				
BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	Retailer *Yes/No*	Wholesaler *Yes/No*	Regional *Yes/No*	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer *Yes/No*	CUWCC MOU Requirement Met: Wholesaler *Yes/No*	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No
	✓	BMP 1 Water Survey for Single/Multi-Family Residential Customers	✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 2 Residential Plumbing Retrofit	✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes

C1	C2	C3	C4	C5	*C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
				BMP Implemented by Retailers and/or Wholesalers / *BMP*			Compliance Options/Alternative Conservation Approaches (1)			BMP Is Exempt (2)			BMP Implementation Requirements Met				
BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs		Retailer *Yes/No*	Wholesaler *Yes/No*	Regional *Yes/No*	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer *Yes/No*	CUWCC MOU Requirement Met: Wholesaler *Yes/No*	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No
✓	✓	BMP 3 System Water Audits, Leak Detection		✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
✓	✓	BMP 3 Leak Repairs		✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 4 Metering with Commodity Rates for All New connections		✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 4 Retrofit of Existing Connections		✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 5 Large Landscape Conservation Programs and Incentives		✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 6 High-Efficiency Washing Machine Rebate Programs		✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
✓	✓	BMP 7 Public Information		✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes

C1	C2	C3	C4	C5	*C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
				BMP Implemented by Retailers and/or Wholesalers / *BMP*			Compliance Options/Alternative Conservation Approaches (1)			BMP Is Exempt (2)			BMP Implementation Requirements Met				
BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	Retailer *Yes/No*	Wholesaler *Yes/No*	Regional *Yes/No*	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer *Yes/No*	CUWCC MOU Requirement Met: Wholesaler *Yes/No*	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No
✓	✓	BMP 8 School Education		✓		✓							Yes	07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 9 Conservation programs for Commercial, Industrial, and Institutional (CII) Accounts	✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
✓		BMP 10 Wholesale Agency Assistance Programs		✓									Yes	07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 11 Conservation Pricing	✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
✓	✓	BMP 12 Conservation Coordinator	✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 13 Water Waste Prohibitions	✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes
	✓	BMP 14 Residential ULFT Replacement Programs	✓					✓				Yes		07/08 report submitted 12/2008; 08/09 & 09/10 report submitted 5/2011		Yes

***C6:** Wholesaler may also be a retailer (supplying water to end water users)

****C8, **C9, **, and C10:** Agencies choosing an alternative conservation approach are responsible for achieving water savings equal or greater than that which they would have achieved using only BMP list.

C1	C2	C3	C4	C5	C6	C7	**C8	**C9	**C10	C11	C12	C13	C14	C15	C16	C17	C18
				BMP Implemented by Retailers and/or Wholesalers / *BMP*			Compliance Options/Alternative Conservation Approaches (1)			BMP Is Exempt (2)			BMP Implementation Requirements Met				
BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs		Retailer *Yes/No*	Wholesaler *Yes/No*	Regional *Yes/No*	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	CUWCC MOU Requirement Met: Retailer *Yes/No*	CUWCC MOU Requirement Met: Wholesaler *Yes/No*	Date of BMP Report Submitted to CUWCC for (2007-2008) (MOU Signatories)	Date BMP Implementation Data Submitted to DWR in CUWCC Format (Non MOU Signatories) (3)	All Supporting Documents have been Submitted Yes/No

(1) For details, please see: http://www.cuwcc.org/mou/exhibit-1-bmp-definitions-schedules-requirements.aspx.
(2) BMP is exempt based on cost-effectiveness, lack of funding, and lack of legal authority criteria as detailed in the CUWCC MOU
(3) Non MOU signatories must submit to DWR reports and supporting documents in the same format as CUWCC.

AB 1420 Self- Certification Statement Table 2

Provide Schedule, Budget, and Finance Plan to Demonstrate Commitment to Implement All BMP's to Become in Compliance with BMP Implementation - Commencing Within 1st Year of Agreement for Which Applicant Receives Funds.

Self-Certification Statement: The Urban Water Supplier and its authorized representative certifies, under penalty of perjury, that all information and claims, stated in this table, regarding compliance and implementation of the BMPs, including alternative conservation approaches, are true and accurate. This signed AB 1420 Self-Certification Statement Table 1 and Table 2 are the basis for granting funds by the Funding Agency. Falsification and/or inaccuracies in AB 1420 Self Certification Statement Table 1 and Table 2, and in any supporting documents substantiating such claims may, at the discretion of the funding agency, result in loss of all State funds to the applicant. Additionally, the Funding Agency, in its sole discretion, may halt disbursement of grant or loan funds, not pay pending invoices, and/or pursue any other applicable legal remedy and refer the matter to the Attorney General's Office.

Name of Signatory_Miles A. Ferris_____Title of Signatory __Director of Utilities_____ Signature of signatory Miles Ferris Date 7/12/12

Application Date: Feb 13, 2012

Proposal Identification Number: LGA-12

CUWCC Member? Yes/No: Yes

Applicant Name: City of Santa Rosa

Is the UWM Plan Deemed Complete by DWR? Yes/No: Yes

Project Title: Monitoring well installation and data analysis

Applicant's Contact Information: Name Jennifer Burke, Sr Water Resources Planner, 707-543-3938, email: jburke@srcity.org

	Retailer (List Below)		
Participants:	City of Santa Rosa		

C1	C2	C3	C4	C5	*C6	C7	C8	**C9	**C10	**C11	C12			C13	C14	C15	C16	C17	C18	C19
				BMP Implemented by Retailers and/or Wholesalers				Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)			Implementation Scheduled to Commence within 1st Year of Agreement						
CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	Retailer Yes/No	Wholesaler Yes/No	Regional Yes/No	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	Start Date (MM/YR)	Completion Level (%)	BMP Completion Date (MM/YR)	Budget (Dollars)	Funding Source & Finance Plan to Implement BMPs	Meets CUWCC Coverage Yes/No	Funds Requested, if Available. (See AB 1420 Compliance Table 3) Yes/No
1. Utility Operations Programs																				
1.11	✓	✓	BMP 12 Conservation Coordinator	Yes			No			✓				Jul-91	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
1.12		✓	BMP 13 Water Waste Prohibitions	Yes			No			✓				May-99	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
1.13	✓	✓	BMP 10 Wholesale Agency Assistance Programs		Yes		No			✓				N/A		N/A	N/A	Wholesale Agency	Yes	No
1.20	✓	✓	BMP 3 System Water Audits, Leak Detection/Repair	Yes			No			✓				Jul-98		5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
1.30		✓	BMP 4 Metering with Commodity Rates for All New/Retrofit of Existing connections	Yes			No			✓				Jan-90	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	no
1.40		✓	BMP 11 Conservation Pricing	Yes			No			✓				Jul-98	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
2. Educational Programs																				

CUWCC 2010 Flex Track BMPs	BMPs required for Wholesale Supplier	BMPs required for Retail Supplier	BMPs	BMP Implemented by Retailers and/or Wholesalers				Compliance Options / Alternative Conservation Approaches (1)			BMP is Exempt (2)			Implementation Scheduled to Commence within 1st Year of Agreement						
				Retailer *Yes/No*	Wholesaler *Yes/No*	Regional *Yes/No*	Alternative Conservation Approaches Yes/No	BMP Checklist	Flex Track	Gallons Per Capita Per Day GPCD	Not Cost Effective	Lack of Funding	Lack of Legal Authority	Start Date (MM/YR)	Completion Level (%)	BMP Completion Date (MM/YR)	Budget (Dollars)	Funding Source & Finance Plan to Implement BMPs	Meets CUWCC Coverage Yes/No	Funds Requested, If Available: (See AB 1420 Compliance Table 3) Yes/No
2.10	✓	✓	BMP 7 Public Information	Yes			No			✓				Jul-91	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
2.20	✓	✓	BMP 8 School Education		Yes		No	✓						Jul-99		5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates	Yes	No
3. Residential																				
3.11		✓	BMP 1 Indoor Water Survey for Single/Multi-Family Residential Customers	Yes			No			✓				Jan-95	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
3.12			BMP 1 Outdoor Water Survey for Single/Multi-Family Residential Customers	Yes			No			✓				Jul-05	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
3.20		✓	BMP 2 Residential Plumbing Retrofit	Yes			No			✓				Jan-95	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	no
3.30		✓	BMP 6 High-Efficiency Washing Machine Rebate Programs	Yes			No			✓				Jul-98	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
3.40		✓	BMP 14 Residential ULFT Replacement Programs	Yes			No			✓				Jan-95	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
4. Commercial, Industrial, Institutional																				
4.00		✓	BMP 9 Conservation programs for Commercial, Industrial, and Institutional (CII) Accounts	Yes			No			✓				Jul-95	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No
5. Landscape																				
5.00		✓	BMP 5 Large Landscape Conservation Programs and Incentives	Yes			No			✓				Jul-99	100	5/2011 (Date of 09/10 CUWCC Reporting	$1.1 Million for entire Conservation Program	Rates & Demand Fc	Yes	No

*C6: Wholesaler may also be a retailer (supplying water to end water users)
**C9, ** C10, and **C11: Agencies choosing an alternative conservation approach are responsible for achieving water savings equal or greater than that which they would have achieved using only BMP list.
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