

Attachment 1 – Authorization and Eligibility Requirements

The South Orange County Wastewater Authority (SOCWA) is pleased to submit the following documents, as applicable, in support of the application for funding under the Department of Water Resources (DWR) 2014 Water-Energy Grant Program.

Attachment 1 Documents and AttachmentName *	
Documents	AttachmentName
Authorizing Documentation	AuthDoc – see attached SOCWA Board of Directors Resolution
Eligible Applicant Documentation	EligDoc – see page 2
Urban Water Management DWR Verification of Urban Water Management Plan Demand Management Measures (AB 1420 Compliance Forms) Water Meter Requirements (AB1420 Metering Compliance Form)	None/ not applicable – No urban water suppliers will receive funds from the proposed grant. This document is not applicable because SOCWA is not an urban water supplier.
Groundwater Management CASGEM	None/not applicable – The SOCWA projects do not affect groundwater levels. SOCWA is not a groundwater monitoring entity.
Agricultural Water Management	None/not applicable – No agricultural water suppliers will receive funds from the proposed grant. This document is not applicable because SOCWA is not an agricultural water supplier.
Surface Water Diversion	None/ not applicable – No surface water diverters will receive funds from the proposed grant. This document is not applicable because SOCWA is not a surface water diverter.

*From Table 5 of the 2014 Water-Energy Grant Program Guidelines and Proposal Solicitation Package.

Attachment 1 – Eligible Applicant Documentation

The South Orange County Wastewater Authority (SOCWA) is eligible to apply for and receive funding from the State of California Department of Water Resources under the 2014 Water-Energy Grant Program because it is a joint powers authority. SOCWA is pleased to respond to the following questions from the 2014 Water-Energy Grant Program Guidelines and Proposal Solicitation Package.

- ✓ *Is the applicant a local agency, joint powers authorities, or nonprofit organization, as defined in Appendix B?*

Yes. SOCWA is a joint powers authority, in conformance with the definition in Appendix B, which states a “joint powers authority [is] an entity permitted under Section 6502 of the California Government Code, whereby two or more public authorities – such as a local state or federal governments, counties, cities, federally recognized Native American tribes, or special districts – create another legal entity or establish a joint approach to work on a common problem, fund a project, or act as a representative body for a specific activity, and which may jointly exercise any power to them all.”

- ✓ *What is the statutory or other legal authority under which the applicant was formed and is authorized to operate?*

SOCWA is a joint powers authority with ten member agencies that is responsible for the treatment and disposal of treated wastewater. The service area is approximately 220 square miles in southern Orange County. SOCWA member agencies include the following cities and special districts:

- El Toro Water District
- Emerald Bay Service District
- Irvine Ranch Water District
- City of Laguna Beach
- Moulton Niguel Water District
- City of San Clemente
- City of San Juan Capistrano
- Santa Margarita Water District
- South Coast Water District
- Trabuco Canyon Water District

SOCWA was formed on July 1, 2001, by the consolidation of three separate joint powers authorities: the Aliso Water Management Agency (AWMA), the South East Regional Reclamation Authority (SERRA), and the South Orange Reclamation Authority (SOCRA). AWMA was created in March 1972 and SERRA was created in March 1970 in an effort to regionalize wastewater treatment in south Orange County and take maximum advantage of the Federal and State Clean Water Acts that were enacted at that time. AWMA and SERRA were constructed around the Aliso Creek and San Juan Creek Watershed Basins respectively. The third joint power authority that was merged into SOCWA was the SOCRA. SOCRA was formed in 1991 to manage water reuse permits within south Orange County. SOCRA did not directly

manage any physical facilities. Through a consolidation, SOCWA is the legal successor of these three earlier joint powers authorities.

SOCWA is governed by a Board of Directors comprised of each of its member agencies, who represent the interests of their own respective agencies, while also serving the interests, mission and programs of SOCWA.

- ✓ *Does the applicant have legal authority to enter into a grant agreement with the State of California?*

Yes, SOCWA has the legal authority to enter into a grant agreement with the State of California.

- ✓ *Describe any legal agreements among partner agencies and/or organizations that ensure performance of the project and tracking of funds.*

SOCWA has no partner agencies and/or organizations that are participants in the projects for which the grant applicants are being made. SOCWA is the sole entity responsible for performance of the projects and tracking of funds.